

The "Virtual Design Team": A Computational Model of Engineering Design Teams

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ABSTRACT

Mathematical and computational models have been widely applied to support analysis and optimization of physical systems. In contrast, the use of computers to support analysis in the design of social systems has been very limited. The goal of the Virtual Design Team (VDT) research project is to develop computerized analysis tools to support the systematic design of organization structures for complex, project-oriented tasks. The Virtual Design Team is a computational discrete event simulation model incorporating qualitative reasoning concepts derived from artificial intelligence research. VDT explicitly incorporates information processing and communication models from organization theory that allow qualitative predictions of organizational performance. VDT's behavior has been validated extensively for internal consistency. Its behavior also compares well with theoretical predictions about, and the observed behavior of, real project teams designing a petrochemical refinery, offshore oil systems, and power plants. In this paper we describe the theoretical basis for, and implementation of, VDT and explain how it can be used to teach future project managers about the design of organization structures.

1. MODELING ORGANIZATIONS TO ANALYZE THEIR PERFORMANCE

Design of artifacts to meet human needs—whether they be physical artifacts such as buildings, or social artifacts such as business organizations—is an ubiquitous human activity and can be broken down into the following generic steps: *requirements definition, synthesis, analysis, evaluation, and acceptance or recycling* based on the evaluation of performance (Levitt, Jin & Dym, 1991). *Analysis* plays an important role in this process since it is the basis of *evaluation* and iterating *synthesis* for optimal design.

Engineering disciplines have long had mathematical models and, more recently, numerical computational models, to support analysis and optimization of physical systems. In contrast, the use of computers to support analysis in the design of social systems has been very limited. The goal of the Virtual Design Team (VDT) research project is to develop computational analysis models of engineering design teams to support the systematic design of organization structures—including communication tools and coordination policies.

Building a computational model of organizations such as design teams is difficult because of the complexity of human organizations composed of different participants with different values, interests, and capabilities, working on complicated design tasks, and the requirement for *detailed* predictions of behavior and performance needed to support organization design. Identifying suitable types of organizations and choosing an appropriate abstraction level for the model are key issues that must be addressed in computer modeling of organizations.

2. THE VIRTUAL DESIGN TEAM APPROACH

To avoid the extreme complexity of real organizations, our research models boundedly rational engineering designers working only on relatively routine tasks. Our approach to developing an organization model, the Virtual Design Team, combines organization theory, to create a conceptual framework of organizations, with artificial intelligence (AI) techniques which provide means for us to operationalize the framework. In order to observe detailed changes in behavior and performance, we take a simulation approach. VDT is a computer simulation system that contains user inputs describing tasks, project policy, actors, and organization structures. The resulting organizational behavior and performance emerge from the simulation.

Organization theorists have treated organizations as fundamentally information-processing structures—a view of organizations that dates back to Max Weber's work in the early 1900s, and that is elaborated in the work of March & Simon (1958), Simon (1976), and Galbraith (1977). In this view, an organization is an information-processing and communication system, structured to achieve a specific set of tasks, and comprised of limited information processors (individuals or sub-teams). These information processors send and receive messages along specific lines of communication (e.g., formal lines of authority) via communication tools with limited capacity (e.g., memos, voice mail, meetings). This information processing perspective serves as a basic conceptual framework of VDT.

From a computational organization design perspective, the problem with conventional organization theory is that the theory makes only qualitative predictions about aggregate behaviors of organizations, treating environmental constraints and contingencies like point loads at the center of mass of an organization. In order to operationalize the

conceptual framework obtained from the synthesis of organization theories and to predict organizational performance at a sufficient level of detail, VDT incorporates AI techniques to describe the properties of specific tasks, and the behaviors of individual actors. By modeling organizations at the micro-level (vs. the macro-level of conventional organization theory), VDT can simulate organizational performance changes given changes in specific tasks, individual behavior, and organizational settings.

3. THE GOAL OF VDT

The Virtual Design Team is a computational discrete event simulation model incorporating qualitative reasoning concepts derived from artificial intelligence research. The goal of the Virtual Design Team research project is to develop computerized analysis tools to support the systematic design of organization structures—including the communication tools that permit data, decisions and knowledge to be shared within and between organizations—for complex, project-oriented tasks.

4. AN OVERVIEW OF VDT

VDT explicitly incorporates information processing and communication models from organization theory that allow qualitative predictions of organizational performance. The inputs to VDT are: a description of the design task, including project policy, the subtasks called activities that comprise it, and sequential dependencies between the activities; a description of the actors in the design team and of their organizational structure; and a listing of the communication tools (e.g., facsimile, voice mail, electronic mail, meetings) available to each actor. The output of VDT is a prediction of the total processing time required to complete all subtasks (a surrogate for total labor cost of design), the duration to complete the entire design project along the longest or "critical" path through activities, and verification and coordination quality. VDT's behavior has been validated extensively for internal consistency. Its behavior also compares well with theoretical predictions about, and the observed behavior of concurrent design teams in several facility engineering domains.

The simulation model can serve as a facility to formulate and test specific conjectures regarding the qualitative effect on project cost and duration of changes in the organization structure of the team, or in the communications tools available to participants. Engineering disciplines have long had mathematical models and, more recently, numerical computational models, to support analysis and optimization of physical systems. This work provides initial evidence that symbolic computer modeling can be used to express and test social science theories applied to real world organizations and the communication tools that they employ.

VDT can simulate changes in different aspects of project team performance, given changes in organization structure, communication tool availability and project policy, such as centralized decision making and formalized communication. The implementation of VDT is based on discrete event

simulation of the design process for a given set of product requirements. Given a description of the product to be designed, the design team organization, and the design process, the simulation produces predictions of the efficiency and effectiveness of the design process through explicitly simulating design actions of and interactions among design actors.

VDT measures both efficiency and effectiveness as aspects of the performance of design teams. Measures of efficiency are obtained from the critical path duration and from the sum of all activity durations (the cost). Measures of effectiveness are obtained from considering how coordination items are dealt with during project execution. Coordination is modeled by *communication* and *verification*, and thus design process effectiveness is measured by the relative number of uncorrected exceptions (the verification quality) and the relative number of non-attended communications (the communication quality).

5. ORGANIZATION THEORY CONCEPTS IN VDT

The basic premise of the VDT model is that organizations are fundamentally information-processing structures (Galbraith 1977). To operationalize the information processing model, VDT employs explicit descriptions of tasks, communications, actors, tools, and structures. Figure 1 illustrates our view of organizations implemented in VDT.

5.1 TASK

Our goal is to analyze engineering design teams carrying out routine designs. We, therefore, view the task of the design team as the completion of a set of pre-determined activities. These activities consist of the design, review, and approval of a series of components or sub-systems of the artifact to be designed. For instance, in the case of a refinery, the activities include chemical process design, piping design, and structural design. Each activity involves processing of an amount of information defined as the magnitude of the activity, communication of information between and among design team participants, and craft requirements. Activities are also characterized by their complexity and uncertainty. The more complex and uncertain an activity is, the more likely it is that exceptions (such as failures) may occur during task processing. The activities are modeled as being either reciprocally interdependent, i.e., a failure occurring in one activity may affect the processing of another activity, or sequentially interdependent, i.e., the output of a given task is the input for a succeeding task (Thompson 1967).

5.2 COMMUNICATIONS

A communication in VDT is an elementary packet of information sent from one actor through a specified channel to another actor, using a single communication tool. Completion of each activity involves processing the number of communications specified by the activity's magnitude. Each communication has attributes of: time stamp, author, recipient, work volume, distribution list, ranking of natural idioms, variability of the associated task, and priority.

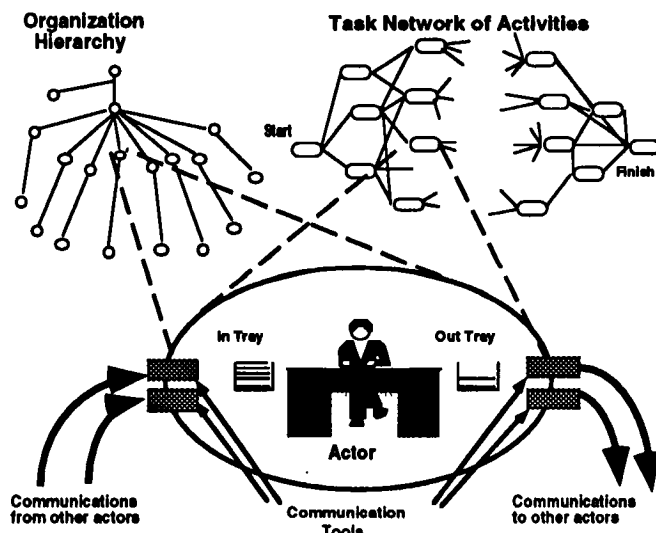


Figure 1: Overview of the Virtual Design Team.

VDT models the design task, actors, organization structure, communication tools, and project policy. The design task is broken down into a precedence network of activities. Actors are information processors with skills and attention allocation rules for selecting items from an "in-tray". The organization structure is defined by supervision and communication relationships among actors.

VDT represents four basic types of communications: design communications, exceptions, decisions and noise. Design communications carry the information required to perform the specified design activities. Exceptions (Galbraith 1977) are generated when there is a stochastic task failure or the need to review the task. Decisions are made by managers in response to exceptions referred to them by a subordinate. The decision is sent back to the subordinate who submitted the exception. Finally, VDT recognizes that some communications received by individuals are irrelevant to accomplishing the task; nevertheless, sorting through and processing these communications, called noise, consumes time of design-team participants.

5.3 ACTORS

Actors include managers and design subteams from various disciplines, such as electrical, process, and mechanical engineering. The actor description includes role characteristics, such as position in the team hierarchy; authority for design, approval and coordination tasks; and allowed communication patterns (either strictly hierarchical or allowing peer-to-peer contact). The actor description also includes individual attributes, such as craft and skill (e.g., high skill in mechanical engineering); task experience (high, medium or low) and the natural idioms of communications that the actor processes most effectively (e.g., words, schematics, plans).

Actors execute the following behaviors:

1. *Allocate attention:* Managers have limited time and attention to allocate to both routine activities and

exceptions, and limited information with which to determine the importance of communications; that is, managers are boundedly rational (Simon 1976). Managers in the VDT have an "in-tray"—a metaphorical, dynamic queue of communications through which any attempt to communicate with the manager must pass. Managers must decide which communications in the in-tray should be addressed. According to our limited field observations (Cohen 92), design managers appear to evidence a characteristic pattern in the way that they pay attention to waiting messages. Items from each manager's in-tray are selected stochastically, based on these criteria, shown in Table 1.

2. *Process information:* Since the content of activities is not modeled in VDT, the time spent on information processing becomes the only measurement. VDT simulates the time to process a communication or a task based on the work volume, the match between the capabilities of an actor and the requirements of the design task, and on failures and interruptions that occur during the processing.

Table 1: Manager's attention rules for selecting items in the in-tray

Attention Rule	Percentage of Time Used
Sending priority alone	50%
Length of time in in-tray, weighted by priority	20%
The item lying on top of the manager's desk.	20%
Random selection of items from the in-tray	10%

3. *Send communications to other actors:* VDT actors send communications to supervisors when there is an exception in task processing, to managers of predecessor, successor or reciprocal tasks when there is a stochastic need to exchange information, and to subordinates when a decision about an exception is made. They choose a tool for sending the communication based on: message priority, primary natural idioms in message, proximity of sender to recipient, and cost, as shown in Table 2.

5.4 TOOLS

Each communication is transmitted via a tool selected by an actor. The VDT framework represents each tool in terms of values on a set of variables that are theorized to affect both the choice of tool and the results of that choice. The adoption and behavior of tools is then defined in terms of the relationships among the tool variables and the characteristics of the task, actors and organizational structure. In the present version of the VDT, tools are characterized by their: synchronicity (synchronous, partial, asynchronous); cost (low, medium, or high); recordability (whether or not a permanent record of the communication is available routinely); proximity to user (close or distant); capacity

(volume of messages that can be transmitted concurrently); and bandwidth (low, medium or high) representing the capability of the tool for communicating information represented in each of the natural idioms supported (i.e., text, schematics, etc.).

For example, voice mail is partially synchronous, low cost, recordable, close proximity, high capacity for concurrent transmission, and high bandwidth for text, but low bandwidth for geometry; telephone is similar except that it is synchronous, not recordable, and has low capacity for concurrent transmission; and electronic mail is asynchronous and has high concurrent transmission. Thus, a manager who wants to send a textual communication to a large number of individuals simultaneously will choose a tool such as voice mail or electronic mail rather than telephone. In contrast, the need for synchronous communication (arising from priority) will encourage the use of the telephone as opposed to the other two tools.

Table 2: Probabilities for stochastic selection of communication tools

Communication Tool to be Used	Message Priority		
	High	Medium	Low
Meeting	35%	15%	10%
Phone	35%	30%	25%
Fax	10%	15%	10%
Mail	15%	35%	53%
E-mail	3%	3%	2%
Video	2%	2%	0%
Totals	100%	100%	100%

5.5 ORGANIZATION STRUCTURE

Structure in VDT is defined by a set of organizational relationships among actors, and levels of authority of actors in specific roles. Organizational relationships among actors delimit the channels along which tools can be used to send communications. Relationships modeled in VDT include: *supervised-by* to implement hierarchical structure; *coordinates-with* to implement lateral relations among interdependent actors; and *socializes-with* to implement informal structure. A set of project-specific coordination policies assigns decision-making authority to actors in particular roles (e.g., *design manager*) for reviews and approvals. A centralized structure is implemented by policies that require these exceptions to be resolved by high level managers (e.g., the *design manager*); decentralized structures vest this authority in lower level managers (e.g., the *sub-team managers*).

6. THE VDT SIMULATION ENVIRONMENT

VDT operationalizes Galbraith's information processing model of organizations by explicitly incorporating specific tasks and actors with attention allocation capabilities, and by looking into coordination issues at the micro-level in terms of explicit interaction among team participants. The VDT simulation environment can be characterized by a number of objects representing tasks, actors, and organizations as shown in Figure 1, and the organizational processes that facilitate coordination among team participants. The model is formal in that it includes the basic concepts of, and predicts behavior based on, a set of widely accepted theories. VDT is implemented on a Sun Microsystems IPX Sparcstation using Kappa, an object-oriented programming environment from IntelliCorp, and the SIMLIB, a discrete event simulation system we developed on top of Kappa.

6.1 HOW VDT WORKS

System Initialization: A simulation starts from system initialization. Based on user inputs, the initialization process sets up initial values of the key intermediate variables including actor processing speed, activity verification failure probability (VFP), and activity communication intensity. For example, the user specifies the actors' ability (craft, skill and task-experience) and the craft requirements of the activities for which the actors are responsible. Based on the degree of match between these two input values, VDT determines the processing speed of the actor. Similarly, activity verification failure probability is determined based on each activity's complexity, its responsible actor's capability, and the degree of match between the activity's craft requirement and the actor's craft. Communication intensity is decided based on the activity's uncertainty.

Attention allocation and task processing: Tasks including design tasks and communications arrive to the in-tray of an actor and wait for processing. Actors allocate their attention to incoming tasks based on their attention rules described above. After a task item is selected from the in-tray, an actor calculates the time requirement for the task processing based on its processing speed and the work volume of the task, and then advances the clock based on the calculated duration. While processing a task item, an actor may be interrupted by an incoming communication from other actors. In this case, the actor may or may not choose to stop the current task processing to do the new task depending on the priority of the interrupting communication.

Exception processing and decision-making: After a task is finished an actor verifies the result by Monte Carlo simulation to see if the task processing is successful or not. The verification failure probability of the activity determines the probability with which a task verification may fail. If a task fails, then the responsible actor generates an exception. After determining who should make the decision about the exception (based on the project policy on centralization), the actor sends the exception to the decision maker and then waits for a decision. Upon receiving the exception, the decision-making actor decides whether the failed task should

be reworked, corrected or ignored. Once a decision is made, it is sent back to the exception generator who then follows the decision to rework, correct or ignore the failed task. If the exception generator does not get a decision back from the decision-maker within a given time for any reason, it will then follow the "delegation by default" rule, ignoring the failure and continuing to work on the next task.

Meeting and information exchange: Coordinations in VDT are composed of exception-decision processes described above and communications among actors, including formal meetings and informal information exchanges. Meeting schedules are set up deterministically based on input data. There can be multiple meetings in a single project and different meetings may have different participants. Information exchange intensity is derived from the activity uncertainty, and from interdependencies among the activities. When an actor receives a meeting notice or an information exchange communication, it may choose to attend or not to attend the meeting or information exchange. Actors' preference among meetings vs. information exchange depends on the project culture (weak vs strong matrix). Although attending meetings and information exchange takes time, nonattendance to both meetings and information exchange will negatively affect the projects coordination quality. The higher the frequency of nonattendance, the worse coordination quality is.

6.2 THE OPDL LANGUAGE AND GRAPHICAL INTERFACE

In order to make it easy for students and project managers to create input files for simulation in VDT, we developed a high level language called OPDL, an Organization and Project Description Language. OPDL is a computer language for describing and simulating organizational behavior and performance of teams working on engineering projects. Using OPDL, a user can program his/her project activities, project policy, actors and organizations, load the program into VDT, and then simulate the project's performance. OPDL is not only an interface to VDT but has been designed as a more general language for formal description of organizations and projects. Figure 2 shows part of an OPDL program.

VDT views organizational performance as the results of actors' micro-level processes. To understand how the micro-level processes contribute to organizational performance, VDT has a graphical interface to show how many items are in the in-tray of certain actors, how many meetings and communications have been attended so far, and how verification failure probability changes as result of actors' decision on whether to do reworks and/or to attend communications, etc. Through the graphical interface, one can clearly understand who (which actor) is overloaded, and who is spending excessive amount of time in waiting for approval from supervisors.

```
(Activity Architectural_design
:WorkVolume      6000    % An integer [1000]
:TaskNumber      100     % An integer [10]
:Uncertainty      High    % High/[Medium]/Low
:RequirementComplexity Medium % High/[Medium]/Low
:SolutionComplexity High  % High/[Medium]/Low
:CraftRequirement Architecture
                        % [Civil]/Mechanical/
                        % Electrical/Management/
                        % Architecture
)

(Actor Architect-John
:Role              SubTeam % {SubTeam}/SubTeamLeader/
                        % ProjectManager
:NumberOfParticipants 1     % An integer [1]
:Skill              Medium % High/[Medium]/Low
:TaskExperience      Medium % High/[Medium]/Low
:ResponsibleFor      Actv_1 % An activity [Null]
:Craft               (Architecture High)
                        % High in architecture,
                        % (Mechanical Low)
                        % Low in Mechanical [Null]
:SupervisedBy        PM4    % An actor [Null]
)
```

Figure 2: Part of an OPDL program

This is part of a program describing a building design project. Comments headed by "%" explain possible values separated by "/", and default values denoted within [].

6.3 FROM REAL PROJECT TO VDT - THE LOAD MODEL

VDT's activities or tasks are described in terms of complexity, uncertainty and interdependency. Therefore, in order to simulate a real engineering project in VDT, one must derive these task properties from the real project data. In our research, we developed a coordination load model that describes real projects in VDT terms and maps the real project into an input file in the VDT simulation environment. This model uses Quality Function Deployment (QFD) [Hauser and Clausing 1988] to derive interactions between requirements and engineering solutions, dependence between design activities in an activity precedence network, and relations between members of the project team, and consequently to predict the required frequency and nature of verification and communication in the design process. A detailed description of the process of modeling coordination load can be found in [Christiansen 93]. Figure 3 shows an overview of this model.

6.4 OUTPUT FROM VDT

The output from VDT includes project duration, total cost, and project quality measures such as verification quality ($\text{IgnoredExceptions} / \text{TotalExceptions}$), schedule quality ($(\text{ActualDuration} - \text{ScheduledDuration}) / \text{ScheduledDuration}$), coordination quality ($\text{NonAttendedCommunication} / \text{TotalCommunication}$), and budget quality ($\text{ReworkVolume} / \text{WorkVolume}$). Besides the performance results, VDT also records dynamic behavioral data of actors such as the number of items in an actor's in-tray at each time, time spent waiting for decision etc., and progress data of activities, such as work completed, amount of rework, etc.

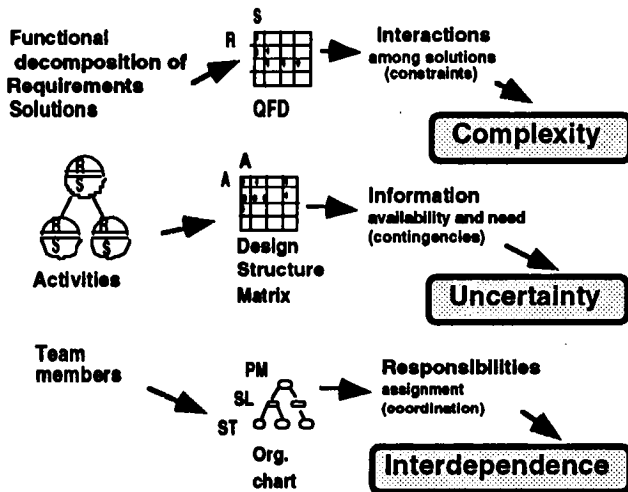


Figure 3: A model of coordination load for design teams

This model uses Quality Function Deployment (QFD) (Hauser and Clausing 1988) to derive interactions between requirements and engineering solutions, dependence between design activities, and relations between members of the project team.

7. VDT VALIDATION

Figure 4 illustrates how the VDT produces a set of project level performance measures (dependent variables), using two dimensions of project decision making policy, organization structure, communication tools (independent variables), and a description of team actors, and project activities (state description). Project centralization policy determines the probability of how "high up in the hierarchy" decisions on how to deal with exceptions are made. For changes in centralization, the VDT simulation will give predictions about changes in project duration, cost and effectiveness of coordination (verification and communication quality). Similarly, project formalization determines the degree to which project communication is made up of formal meetings vs. informal information exchanges. Different types of project organizations, that is organizations with different "matrix strength", will give different priority to formal vs. informal communication. For a project with given matrix strength, the VDT simulation will predict attendance (due to decision making about whether or not to participate in communication), as a function of formalization. Communication attendance is another aspect of the effectiveness of coordination (communication quality), which thus depends on the fit between the matrix strength of the organization and the formality of communication. The state description variables are set up to model the particular project under study, and kept constant for changes in the independent variables. Different projects will thus have different state descriptions. No systematic study of the relationship between state description variables and dependent variables is carried out in the present research, although any of the state variables in the current study could be treated as independent variables in a different set of experiments. For

example, VDT's user can vary the task description (e.g., to study the effect of a shorter schedule with more concurrency) or the actor descriptions (e.g., to study the effect of employing more highly skilled actors in key positions) while holding structure and/or communication tools constant.

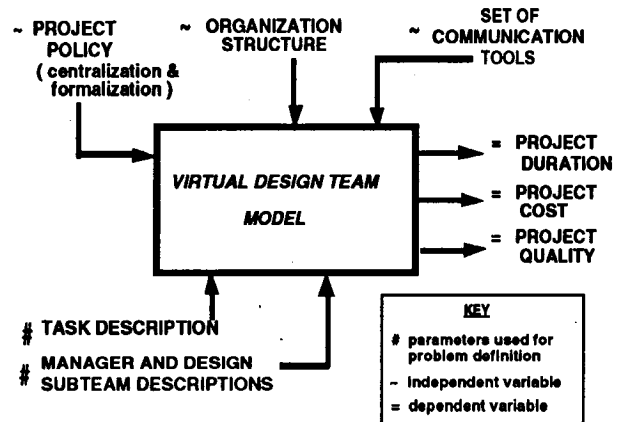


Figure 4: The function of the Virtual Design Team

VDT simulates changes in different aspects of project team performance, given changes in organization structure, communication tool availability and project policy.

We validated the simulation model by carefully observing three separate industrial projects. In each case, we designed a set of experiments in which we varied one or two independent variables and fixed the others at typical values, usually "medium". To average the stochastic simulation behavior, we ran three to five simulations for each scenario with different random number seeds and took the mean values of dependent variables as the results of that scenario. Significance levels of results for each validation case were analyzed with standard statistical techniques.

Figure 5 shows the simulation results of change of duration of a three-year, petroleum refinery design project in response to the change in communication tools and organization structures [Cohen 1992]. VDT contingent predictions of change in project duration compare qualitatively with predictions based on Galbraith's theory. Numbers in each cell show the mean and standard deviation (for 3 runs) of project duration, in working days. Standard deviation is the number shown in parentheses. The ">" indicates prediction of theory, e.g., that the mean project duration of a centralized project without voice mail will exceed that of a centralized organization with voice mail.

Figure 6 illustrates the VDT simulated effect of centralization on the duration of a subsea oil module engineering design project, together with the prediction from the project manager and the qualitative prediction from contingency theory. The expected behavior from the contingency theory is based on the assumption that higher level managers have a more global view and tend to make better (i.e., rework) decisions; but their delayed decisions lead to waiting subteams.

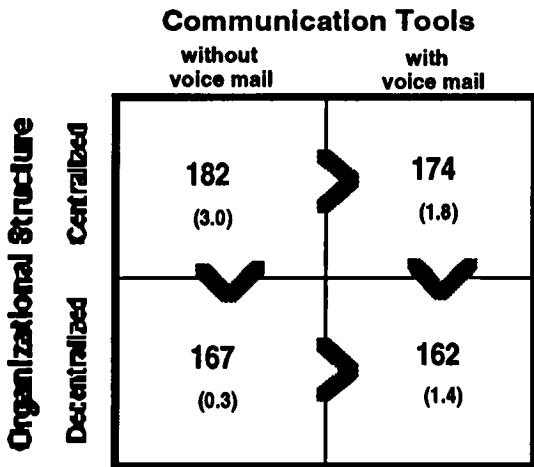


Figure 5: Impact of communication tools and organization structures project duration

The prediction from the project manager matches the theoretical prediction, and the prediction from simulation is qualitatively consistent. The quantitative correlation between the simulation prediction (a total increase of 4 % in duration between lower and higher centralization) and the project manager's prediction (total increase of 17 %) is of the right order of magnitude, and thus acceptable.

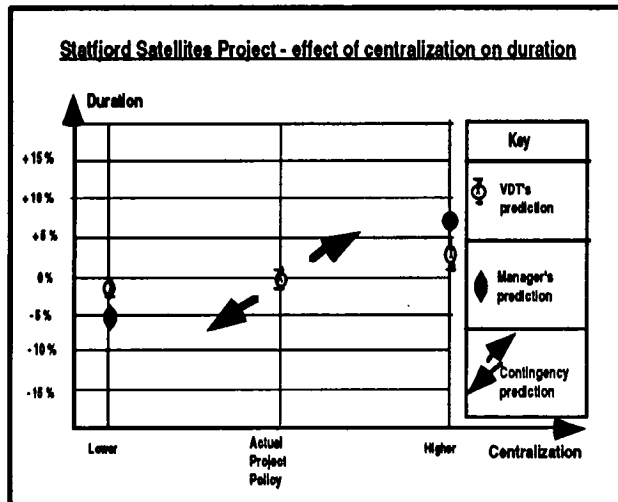


Figure 6: Impact of change centralization on project duration [Christiansen 93]

8. SUMMARY AND FUTURE WORK

In summary, our experimental results show qualitative consistency among the predictions of theory, experienced project managers, and simulations. We claim that, for the types of complex but relatively routine projects that we have modeled, VDT produces aggregate performance predictions that are qualitatively reasonable. We have not yet calibrated the quantitative predictions of the VDT simulation model..

We plan to extend VDT in three respects. First, we will continue to validate and calibrate VDT. We are offering a course at Stanford, CE251 – *Organization Design for Projects and Firms*. Students in this course will help to calibrate VDT by using the simulation system to model a real organization as their term project.

Second, VDT has been developed in the facility engineering domain. We plan to use VDT to model project teams in other domains such as software engineering. We believe that applications of VDT to other engineering domains will result in new requirements and lead to a more general model of design teams.

Third, the current VDT models actors in terms of nominal variables, such as skill and task experience, and the rules for allocating attentions and selecting communication tools. Our ongoing work tries to introduce cognitive aspects (e.g., actors' aspiration, interests, and knowledge) and learning capability into the model of actors [Jin and Levitt 1994]. By doing so, we expect to be able to observe adaptation behavior of organizations emerging from the simulation, and consequently to relate actors' cognitive aspects and dynamic behavior with organization design. We also plan make VDT capable of explicitly simulating multiple projects so that we can study inter-organization issues using VDT.

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