

## Adaptive Information Filtering: Learning in the Presence of Concept Drifts

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### Abstract

The task of information filtering is to classify texts from a stream of documents into relevant and non-relevant, respectively, with respect to a particular category or user interest, which may change over time. A filtering system should be able to adapt to such concept changes. This paper explores methods to recognize concept changes and to maintain windows on the training data, whose size is either fixed or automatically adapted to the current extent of concept change. Experiments with two simulated concept drift scenarios based on real-world text data and eight learning methods are performed to evaluate three indicators for concept changes and to compare approaches with fixed and adjustable window sizes, respectively, to each other and to learning on all previously seen examples. Even using only a simple window on the data already improves the performance of the classifiers significantly as compared to learning on all examples. For most of the classifiers, the window adjustments lead to a further increase in performance compared to windows of fixed size. The chosen indicators allow to reliably recognize concept changes.

### Introduction

With the amount of online information and communication growing rapidly, there is an increasing need for reliable automatic information filtering. Information filtering techniques are used, for example, to build personalized news filters, which learn about the news-reading preferences of a user, or to filter e-mail. The concept underlying the classification of the documents into relevant and non-relevant may change. Machine learning techniques particularly ease the adaption to (changing) user interests.

This paper focuses on the aspect of changing concepts in information filtering. After reviewing the standard feature vector representation of text and giving some references to other work on adaption to changing concepts, this paper describes indicators for recognizing concept changes and uses some of them as a basis for a window adjustment heuristic that adapts the size of a time window on the training data to the current extent

of concept change. The indicators and data management approaches with windows of fixed and adaptive size, respectively, are evaluated in experiments with two simulated concept drift scenarios on real-world text data.

### Text Representation

In Information Retrieval, words are the most common representation units for text documents and it is usually assumed, that their ordering in a document is of minor importance for many tasks. This leads to an attribute-value representation of text, where each distinct word  $w_i$  corresponds to a feature with the number of times it occurs in the document  $d$  as its value (*term frequency*,  $TF(w_i, d)$ ). To reduce the length of the feature vector, words are only considered as features, if they occur at least 3 times in the training data and are not in a given list of stop words (like "the", "a", "and", etc.).

For some of the learning methods used in the experiments described in this paper, a subset of the features is selected using the *information gain* criterion (Quinlan 1993), to improve the performance of the learner and/or speed up the learning process. The remaining components  $w_i$  of the document feature vector are then weighted by multiplying them with their *inverse document frequency* (*IDF*). Given the *document frequency*  $DF(w_i)$ , i. e. the number of documents word  $w_i$  occurs in, and the total number of documents  $|D|$ , the inverse document frequency of word  $w_i$  is computed as  $IDF(w_i) = \log \frac{|D|}{DF(w_i)}$ . Afterwards each document feature vectors is normalized to unit length to abstract from different document lengths.

In the experiments described in this paper, the performance of a classifier is measured using the three metrics accuracy, recall, and precision. *Accuracy* is the probability, that a random instance is classified correctly, and is estimated as the number of correct classifications divided by the total number of classifications. *Recall* is the probability, that the classifier recognizes a relevant document as relevant, and is computed as the number of relevant documents found relevant by the classifier divided by the total number of relevant documents. *Precision* is the probability, that a document found relevant by the classifier actually is relevant, and

is estimated by the number of relevant documents found relevant by the classifier divided by the total number of documents found relevant by the classifier.

### Adapting to Changing Concepts

In machine learning, changing concepts are often handled by using a time window of fixed or adaptive size on the training data (see for example (Widmer & Kubat 1996), (Lanquillon 1997)) or weighting data or parts of the hypothesis according to their age and/or utility for the classification task ((Kunisch 1996), (Taylor, Nakhaeizadeh, & Lanquillon 1997)). The latter approach of weighting examples has already been used in information filtering by the incremental relevance feedback approach (Allan 1996) and by (Balabanovic 1997). In this paper, the earlier approach of maintaining a window of adaptive size on the data and explicitly recognizing concept changes is explored in the context of information filtering. A more detailed description of the techniques described above and further approaches can be found in (Klinkenberg 1998).

For windows of fixed size, the choice of a "good" window size is a compromise between fast adaptability (small window) and good and stable learning results in phases without or with little concept change (large window). The basic idea of the *adaptive window management* is to adjust the window size to the current extent of concept drift. In case of a suspected concept drift or shift, the window size is decreased by dropping the oldest, no longer representative training instances. In phases with a stable concept, the window size is increased to provide a large training set as basis for good generalizations and stable learning results. Obviously, reliable indicators for the recognition of concept changes play a central role in such an adaptive window management.

### Indicators for Concept Drifts

Different types of indicators can be monitored to detect concept changes:

- *Performance measures* (e. g. the accuracy of the current classifier): independent of the hypothesis language, generally applicable.
- *Properties of the classification model* (e. g. the complexity of the current rules): dependent on a particular hypothesis language, applicable only to some classifiers.
- *Properties of the data* (e. g. class distribution, attribute value distribution, current top attributes according to a feature ranking criterion, or current characteristic of relevant documents like cluster memberships): independent of the hypothesis language, generally applicable.

The indicators of the window adjustment heuristic of the FLORA algorithms (Widmer & Kubat 1996), for example, are the accuracy and the coverage of the current concept description, i. e. the number of positive

instances covered by the current hypothesis divided by the number of literals in this hypothesis. Obviously the coverage can only be computed for rule-based classifiers.

The window adjustment approach for text classification problems proposed in this paper, only uses performance measures as indicators, because they can be applied across different learning methods and are expected to be the most reliable indicators. For the computation of performance measures like accuracy, user feedback about the true class of a filtered document is needed. In some applications only partial user feedback is available to the filtering system. For the experiments described in this paper, complete feedback about all filtered documents is assumed. In most information filtering tasks, the irrelevant documents significantly outnumber the relevant documents. Hence a default rule predicting all new documents to be irrelevant can achieve a high accuracy, because the accuracy does not distinguish between different types of misclassifications. Obviously the accuracy alone is only of limited use as performance measure and indicator for text classification systems. Therefore the measures recall and precision are used as indicators in addition to the accuracy (see section *Text Representation* above). because they measure the performance on the smaller, usually more important class of relevant documents.

### Adaptive Window Adjustment

The documents are presented to the filtering system in batches. Each batch is a sequence of several documents from the stream of texts to be filtered. In order to recognize concept changes, the values of the three indicators accuracy, recall, and precision are monitored over time and the average value and the standard sample error are computed for each of these indicators based on the last  $M$  batches at each time step. Each indicator value is compared to a confidence interval of  $\alpha$  times the standard error around the average value of the particular indicator, where the confidence niveau  $\alpha$  is a user-defined constant ( $\alpha > 0$ ). If the indicator value is smaller than the lower end point of this interval, a concept change is suspected. In this case, a further test determines, whether the change is abrupt and radical (*concept shift*) or rather gradual and slow (*concept drift*). If the current indicator value is smaller than its predecessor times a user-defined constant  $\beta$  ( $0 < \beta < 1$ ), a concept shift is suspected, otherwise a concept drift.

In case of a concept shift, the window is reduced to its minimal size, the size of one batch ( $|B|$ ), in order to drop the no longer representative old examples as fast as possible. If only a concept drift has been recognized, the window is reduced less radically by a user-defined reduction rate  $\gamma$  ( $0 < \gamma < 1$ ). This way some of the old data is kept, because it still is at least partially representative for the current concept. This establishes a compromise between fast adaptivity via a reduction of the window size and stable learning results as a result of a sufficiently large training data set. If neither a

Category	Name of the Category	Number of Documents
1	Antitrust Cases Pending	400
3	Joint Ventures	842
4	Debt Rescheduling	355
5	Dumping Charges	483
6	Third World Debt Relief	528
	Total	2608

Table 1: Categories of the TREC data set used in the experiments.

concept shift nor a drift is suspected, all seen examples are stored, in order to provide a training set of maximal size, because in case of a stable concept, text classifiers usually perform the better, the more training examples they have.

While in real applications an upper bound for the size of the adaptive window seems reasonable, no such bound was used for the experiments described in this paper. Figure 1 describes the window adjustment heuristic. For the first  $M_0$  initial batches, the window size is not adapted, but left at its initial value of  $|W_0|$  to establish the average indicator values and their standard errors.  $|W_t|$  denotes the current window size and  $|W_{t+1}|$  the new window size.  $|B|$  is the number of documents in a batch.  $Acc_t$  is the current accuracy value,  $Acc_{t-1}$  is the previous accuracy value,  $Avg_M(Acc)$  is the average accuracy of the last  $M$  batches, and  $StdErr_M(Acc)$  is the standard error of the accuracy on the last  $M$  batches.  $Rec_t$ ,  $Rec_{t-1}$ ,  $Avg_M(Rec)$ , and  $StdErr_M(Rec)$  denote the corresponding recall values, and  $Prec_t$ ,  $Prec_{t-1}$ ,  $Avg_M(Prec)$ , and  $StdErr_M(Prec)$  the corresponding precision values.

## Experiments

The experiments described in this paper are based on a subset of the data set of the *Text REtrieval Conference (TREC)*. This data set consists of English business news texts from different sources. These texts are usually assigned to one or several categories. Here the categories 1, 3, 4, 5, and 6 were used. Table 1 shows the names of these categories along with the numbers of documents assigned to them. For the experiments, two concept change scenarios are simulated. The texts are randomly split into 20 batches of equal size containing 130 documents each<sup>1</sup>. The texts of each category are distributed as equally as possible to the 20 batches.

In the first scenario (*scenario A*), first documents of category 1 (Antitrust Cases Pending) are considered relevant for the user interest and all other documents irrelevant. This changes abruptly (concept shift) in batch 10, where documents of category 3 (Joint Ventures) are relevant and all others irrelevant. Table 2

<sup>1</sup>Hence, in each trial, out of the 2608 documents 8 randomly selected texts are not considered.

specifies the probability of being relevant for documents of each category for each time step (batch). Classes 4, 5, and 6 are never relevant.

In the second scenario (*scenario B*), again first documents of category 1 (Antitrust Cases Pending) are considered relevant for the user interest and all other documents irrelevant. This changes slowly (concept drift) from batch 8 to batch 12, where documents of category 3 (Joint Ventures) are relevant and all others irrelevant. Table 3 specifies the probability of being relevant for documents of each category for each time step (batch). Classes 4, 5, and 6 are never relevant.

## Experimental Setup

The experiments are performed according to the batch learning scenario, i. e. the learning methods learn a new classification model whenever they receive a new batch of training documents. Each of the following *data management approaches* is tested in combination with each of the learning methods listed further below:

- “*Full Memory*”: The learner generates its classification model from all previously seen examples, i.e. it cannot “forget” old examples.
- “*No Memory*”: The learner always induces its hypothesis only from the least recently seen batch. This corresponds to using a window of the fixed size of one batch.
- Window of “*Fixed Size*”: A window of the fixed size of three batches is used.
- Window of “*Adaptive Size*”: The window adjustment heuristic (figure 1) is used to adapt the window size to the current concept drift situation.

For the adaptive window management approach, the initial window size is set to three batches ( $|W_0| := 3 \cdot |B|$ ), the number of initial batches to five ( $M_0 := 5$ ), and the number of batches for the averaging process to 10 ( $M := 10$ ). The width of the confidence interval is set to  $\alpha := 5.0$ , the factor  $\beta := 0.5$ , and the window reduction rate  $\gamma := 0.5$ . These values are arbitrarily set and not result of an optimization.

The parameters of the *learning methods* listed below were found to perform well in a preliminary experiment for a different classification task on the TREC data set, but not optimized for the concept drift scenarios investigated here: the *Rocchio Algorithm* (Rocchio Jr. 1971) as the most popular learning method from information retrieval with  $\alpha := 1.0$  and  $\beta := 1.0$  and a threshold  $\theta$  determined via  $v$ -fold cross validation ( $v = 4$ ), a *Naive Bayes Classifier* (Joachims 1997), the *PrTFIDF Algorithm* (Joachims 1997), a distance-weighted  $k$ -Nearest Neighbors ( $k$ -NN) method (Mitchell 1997) with  $k := 5$ , the *Winnow Algorithm* (Littlestone 1988) from algorithmic learning theory with a learning rate  $\gamma := 1.1$  and 40 iterations for learning, a *Support Vector Machine (SVM)* (Vapnik 1995) with polynomial kernel and polynomial degree one (= linear kernel), the symbolic rule learner *CN2* (Clark & Boswell 1991) using the default

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Procedure DetermineNewWindowSize ( $|W_t|$ ,  $M$ ,  $\alpha$ ,  $\beta$ ,  $\gamma$ )
  if  $((Acc_t < Avg_M(Acc) - \alpha \cdot StdErr_M(Acc))$  and  $(Acc_t < \beta \cdot Acc_{t-1})$ ) or
     $((Rec_t < Avg_M(Rec) - \alpha \cdot StdErr_M(Rec))$  and  $(Rec_t < \beta \cdot Rec_{t-1})$ ) or
     $((Prec_t < Avg_M(Prec) - \alpha \cdot StdErr_M(Prec))$  and  $(Prec_t < \beta \cdot Prec_{t-1})$ )
    then  $|W_{t+1}| := |B|$ ; /* concept shift suspected: reduce window size to one batch */
  else if  $(Acc_t < Avg_M(Acc) - \alpha \cdot StdErr_M(Acc))$  or
     $(Rec_t < Avg_M(Rec) - \alpha \cdot StdErr_M(Rec))$  or
     $(Prec_t < Avg_M(Prec) - \alpha \cdot StdErr_M(Prec))$ 
    then  $|W_{t+1}| := \max(|B|, |W_t| - \gamma \cdot |W_t|)$ ; /* concept drift suspected: reduce window size by  $\gamma \cdot 100\%$  */
  else  $|W_{t+1}| := |W_t| + |B|$ ; /* stable concept suspected: grow window by one batch */
  return  $|W_{t+1}|$ ;

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Figure 1: Window adjustment heuristic for text categorization problems.

Category	Relevance of the categories for each batch																			
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

Table 2: Relevance of the categories in concept change scenario A (abrupt concept shift in batch 10).

parameters to induce unordered rules, and the symbolic decision tree and rule learning system *C4.5* (Quinlan 1993) using the default parameters to induce a decision tree, to transform it to an ordered rule set, and to post-prune the resulting rules. In the experiments described here, Winnow is not used as an online learner, but as a one-shot learner in the batch learning scenario like all other methods listed above. Whenever a new batch of documents is to be processed, Winnow learns its classification model on the current set of training documents in 40 iterations. For Winnow, *C4.5*, and CN2 the 1000 best attributes according to the information gain criterion were selected. All other methods used all attributes. The results reported in the following sections were obtained by averaging over the results of four trials for each combination of learning method, data management approach, and concept drift scenario.

### Experimental Results for Scenario A (Concept Shift)

Table 4 compares accuracy, recall, and precision of all combinations of learning methods and data management approaches averaged over 4 trials according to scenario A (table 2). In addition, this table compares a pair of data management approaches in each of its three right most columns. Column “(2) vs. (1)” is the performance gain obtained by using approach (2) (No Memory) instead of (1) (Full Memory), i. e. the differences of the performance measures of these approaches. Accordingly, the last two columns compare the Adaptive Size approach to the approaches with fixed window size, i. e. No Memory and Fixed Size, respectively.

Column “(2) vs. (1)” shows that for all learning methods a significant improvement is achieved by us-

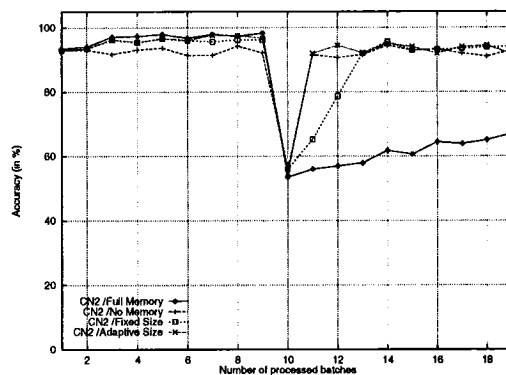


Figure 2: Accuracy of CN2 in combination with the different data management approaches for scenario A.

ing the simple No Memory window approach instead of learning on all known examples (Full Memory). The average gain is 11.8% in accuracy, 25.8% in recall, and 23.6% in precision. An additional improvement can be achieved by using the Adaptive Size approach instead of an approach with fixed window size (see columns “(4) vs. (2)” and “(4) vs. (3)” in table 4). The average gain of Adaptive Size compared to the best approach with a window of fixed size is 1.7% in accuracy, 4.4% in recall, and 2.4% in precision. A closer look at the last two columns of table 4 shows, that some methods like CN2, *C4.5*, the SVM, Winnow, Rocchio, and k-NN achieve significant gains by using Adaptive Size, while other methods like PrTFIDF and Naive Bayes do not show a significant improvement. For PrTFIDF, the precision actually drops by more than 3.2%.

Category	Relevance of the categories for each batch																			
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	0.8	0.6	0.4	0.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.2	0.4	0.6	0.8	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

Table 3: Relevance of the categories in concept change scenario B (slow concept drift from batch 8 to batch 12).

		Full Memory (1)	No Memory (2)	Fixed Size (3)	Adaptive Size (4)	(2) vs. (1)	(4) vs. (2)	(4) vs. (3)
Rocchio	Accuracy	75.95%	84.63%	87.93%	89.38%	+08.68%	+04.75%	+01.45%
	Recall	49.77%	93.59%	87.41%	91.74%	+43.82%	-01.85%	+04.33%
	Precision	48.64%	61.77%	70.43%	72.91%	+13.13%	+11.14%	+02.48%
Naive Bayes	Accuracy	81.96%	93.59%	91.97%	93.97%	+11.63%	+00.38%	+02.00%
	Recall	68.51%	86.96%	84.67%	88.18%	+18.45%	+01.22%	+03.51%
	Precision	67.63%	87.32%	84.69%	87.68%	+19.69%	+00.36%	+02.99%
PrTFIDF	Accuracy	80.67%	88.18%	87.44%	88.87%	+07.51%	+00.69%	+01.43%
	Recall	85.33%	93.49%	93.31%	94.19%	+08.16%	+00.70%	+00.88%
	Precision	56.78%	67.66%	66.21%	64.42%	+10.88%	-03.24%	-01.79%
k-NN	Accuracy	79.32%	91.26%	90.14%	92.33%	+11.94%	+01.07%	+02.19%
	Recall	49.82%	76.60%	74.45%	80.74%	+26.78%	+04.14%	+06.29%
	Precision	63.34%	87.14%	84.29%	87.02%	+23.80%	-00.12%	+02.73%
Winnow	Accuracy	74.48%	89.94%	89.15%	91.64%	+15.46%	+01.70%	+02.49%
	Recall	41.44%	70.09%	70.77%	78.33%	+28.65%	+08.24%	+07.56%
	Precision	48.46%	83.12%	82.03%	85.95%	+34.66%	+02.83%	+03.92%
SVM	Accuracy	79.48%	92.64%	91.80%	94.48%	+13.16%	+01.84%	+02.68%
	Recall	51.03%	74.24%	77.11%	83.95%	+23.21%	+09.71%	+06.84%
	Precision	64.65%	91.27%	87.32%	91.49%	+26.62%	+00.22%	+04.17%
CN2	Accuracy	77.72%	90.50%	90.16%	92.45%	+12.78%	+01.95%	+02.29%
	Recall	41.20%	68.45%	69.68%	76.74%	+27.25%	+08.29%	+07.06%
	Precision	56.49%	85.89%	85.37%	89.56%	+29.40%	+03.67%	+04.19%
C4.5	Accuracy	78.49%	91.40%	90.29%	92.83%	+12.91%	+01.43%	+02.54%
	Recall	49.22%	79.02%	76.49%	83.47%	+29.80%	+04.45%	+06.98%
	Precision	51.24%	82.10%	81.84%	86.03%	+30.86%	+03.93%	+04.19%
Average	Accuracy					+11.76%	+01.73%	+02.13%
	Recall					+25.76%	+04.36%	+05.43%
	Precision					+23.63%	+02.35%	+02.86%

Table 4: Accuracy, recall and precision of all learning methods combined with all data management approaches for scenario A averaged over 4 trials with 20 batches each.

Figures 2 to 5 show the values of the three indicators and the window size over time for the learning method CN2 in combination with all data management approaches and thereby allow a more detailed analysis of the results than table 4. The figures 2 to 4 with the accuracy, recall, and precision values of CN2 show two things. First, in this scenario all three indicators can be used to easily detect the concept shift, because their values decrease very significantly in the batch the shift occurs in (batch 10). Recall and Precision indicate this shift even more clearly than Accuracy.

Second, in this scenario the data management approaches demonstrate their typical behaviour in relation to each other. Before the shift, the Full Memory approach has the advantage of the largest training set and hence shows the most stable performance and outperforms the other three approaches, but it recovers only very slowly from its break-down after the concept shift. The Fixed Size approach shows a relatively good performance in phases with stable target concept, but

needs several batches to recover after the concept shift. The No Memory approach offers the maximum flexibility and recovers from the shift after only one batch, but in phases with a stable concept, this approach is significantly less stable and performs worse than the other approaches. In this scenario and in combination with CN2, the Adaptive Size approach obviously manages to show a high and stable performance in stable concept phases *and* to adapt very fast to the concept shift. Hence Adaptive Size here is able to combine the advantages of different window sizes.

Figure 5 shows the window size of the four data management approaches in combination with CN2 over time. The window of the Full Memory approach grows linearly in the number of examples seen, while the NoMemory approach always keeps a window of the size of one batch. The window of the Fixed Size approach grows up to a size of three batches, which it keeps afterwards. The Adaptive Size window grows up to its initial size of three batches (user-defined constant  $|W_0|$ )

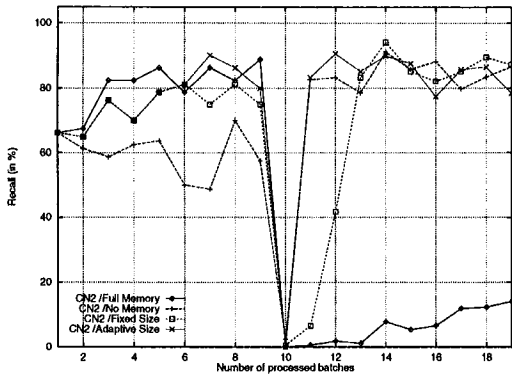


Figure 3: Recall of CN2 in combination with the different data management approaches for scenario A.

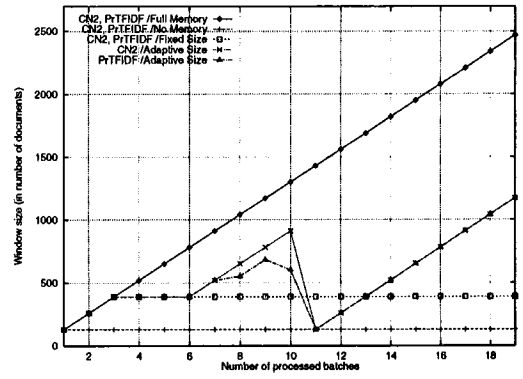


Figure 5: Window size for CN2 and PrTFIDF in combination with the different data management approaches for scenario A.

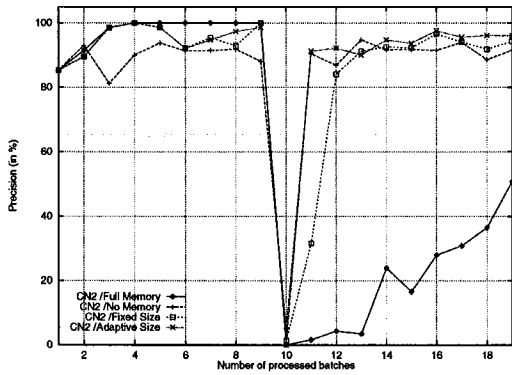


Figure 4: Precision of CN2 in combination with the different data management approaches for scenario A.

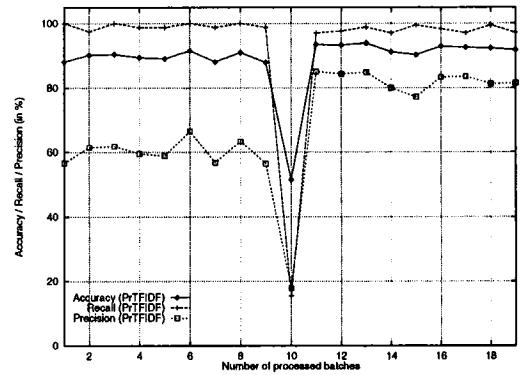


Figure 6: Performance measures accuracy, recall, and precision for the Adaptive Size approach in combination with PrTFIDF for scenario A.

and keeps this size until the last of the initial batches for establishing the average values and standard errors (user-defined constant  $M_0 = 5$ ). From the sixth batch on, the window adjustment becomes active and the window grows until the concept shift occurs in batch 10. Then the window is set to its minimal size of one batch, but starts growing again immediately afterwards, because no further shift or drift is detected.

Figure 6 with the indicator values for PrTFIDF in combination with the adaptive window management shows, that the three indicators work for PrTFIDF as well, i. e. they indicate the concept shift by a significant decrease in their values. Figure 5 with the window size for PrTFIDF in combination with the four data management approaches shows that the window adjustment for PrTFIDF works almost as the one for CN2. But, unlike for CN2, this is not reflected by an increase in the performance of PrTFIDF. A window of the size of one batch already seems to be sufficiently large for PrTFIDF in this scenario, so that the window adjustments cannot provide any improvement.

## Experimental Results for Scenario B (Concept Drift)

Table 5 compares accuracy, recall, and precision of the same pairs of data management approaches as table 4 in combination with all learning methods averaged over 4 trials according to scenario B (table 3). Like in scenario A, using the simple No Memory approach instead of the Full Memory approach yields significant performance improvements (column “(2) vs. (1)”). On average, the accuracy is improved by 10.2%, recall by 22.5%, and precision by 21.2%.

The average increase in performance gained by using the Adaptive Size approach instead of the best approach with fixed window size is 0.3% in accuracy, 1.3% in recall, and 0.9% in precision. (columns “(4) vs. (2)” and “(4) vs. (3)” in table 5). The average positive effect of the window adjustments is obviously smaller than in scenario A. While three methods show no significant positive or even a negative effect through the adjustments, namely PrTFIDF, k-NN, Bayes, most of the methods, i. e. CN2, C4.5, Rocchio, Winnow, and the SVM, profit by the window adjustments.

		(2) vs. (1)	(4) vs. (2)	(4) vs. (3)
Rocchio	Accuracy	+08.16%	+04.79%	+01.53%
	Recall	+41.63%	-03.15%	+02.77%
	Precision	+11.12%	+11.91%	+03.31%
Naive Bayes	Accuracy	+09.85%	+00.28%	+00.44%
	Recall	+16.15%	+01.94%	+01.93%
	Precision	+17.71%	-00.39%	+00.83%
PrTFIDF	Accuracy	+06.17%	-00.58%	-00.39%
	Recall	+09.86%	+00.70%	-01.80%
	Precision	+08.75%	-00.94%	-00.70%
k-NN	Accuracy	+11.41%	-00.59%	-00.41%
	Recall	+24.09%	+00.14%	-00.10%
	Precision	+23.88%	-01.30%	+00.23%
Winnow	Accuracy	+12.66%	+00.15%	-00.14%
	Recall	+23.14%	+04.48%	+01.10%
	Precision	+29.42%	+00.52%	+00.50%
SVM	Accuracy	+12.15%	+00.83%	+00.54%
	Recall	+17.27%	+07.52%	+01.58%
	Precision	+23.74%	-00.73%	+01.49%
CN2	Accuracy	+10.78%	+01.65%	+00.47%
	Recall	+22.97%	+03.51%	+02.36%
	Precision	+25.86%	+03.60%	+00.25%
C4.5	Accuracy	+10.21%	+01.12%	+00.68%
	Recall	+24.49%	+02.99%	+02.89%
	Precision	+28.89%	+02.80%	+01.03%
Average	Accuracy	+10.17%	+00.96%	+00.34%
	Recall	+22.51%	+02.26%	+01.34%
	Precision	+21.17%	+01.93%	+00.87%

Table 5: Accuracy, recall and precision of all learning methods for scenario B compared for the data management approaches No Memory versus Full Memory and Adaptive Size versus No Memory and Fixed Size.

As figure 7 shows for the example CN2, the three indicators work reliably in scenario B as well. Recall and precision again indicate the concept change much better than accuracy. The window size of the Adaptive Size approach with CN2 over time (figure 8) shows, that the window adjustment works in this scenario as well. The concept drift is already detected in batch 9 and the window size is reduced accordingly. The reduction of the window size continues until the end of the concept drift in batch 12. The fact, that the window was not radically set to its minimal size of one batch, shows, that the concept drift was not mistakenly suspected to be a concept shift. Although PrTFIDF does not profit by the window adjustments as CN2 does, its window adjustment works almost as well as for CN2 (figure 8).

### Setting the Parameters of the Window Adjustment Heuristic

The parameters  $\alpha$ ,  $\beta$ , and  $\gamma$  of the window adjustment heuristic were more or less arbitrarily set to 5.0, 0.5, and 0.5, respectively in the experiments for the scenarios A and B described in the two previous sections. In order to evaluate how much the performance of the classifiers depends on the choice of the values for these parameters, an additional experiment is per-

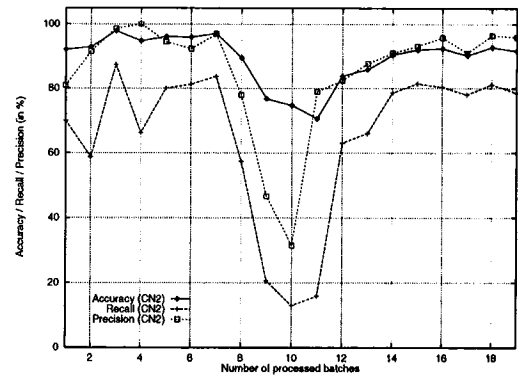


Figure 7: Performance measures accuracy, recall, and precision for the Adaptive Size approach in combination with the learning method CN2 for scenario B.

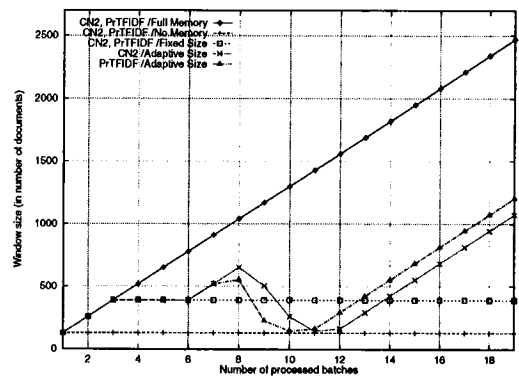


Figure 8: Window size for CN2 and PrTFIDF in combination with the different data management approaches for scenario B.

formed on scenario B, whose concept drift is a little bit more difficult to recognize than the concept shift of scenario A. Table 6 shows the results of applying the learning methods PrTFIDF and C4.5 with all combinations of  $\alpha \in \{2.5, 5.0, 7.5\}$ ,  $\beta \in \{0.25, 0.50, 0.75\}$ , and  $\gamma \in \{0.25, 0.50, 0.75\}$ . For both, PrTFIDF and C4.5, the choice of a good value for  $\alpha$  seems to be more crucial than the choices of  $\beta$  and  $\gamma$ . If  $\alpha$ , which describes the width of the confidence interval for admissible drops in performance, is too large, the concept drift of scenario B is no longer properly recognized and the performance of the classifiers drops significantly. Otherwise the window adjustment heuristic seems to be fairly robust to the choice of the parameters  $\alpha$ ,  $\beta$ , and  $\gamma$ .

### Conclusions

This paper describes indicators for recognizing concept changes and uses some of them as a basis for a window adjustment heuristic that adapts the window size to the current extend of concept change. The experimental results show, that accuracy, recall, and precision are well suited as indicators for concept changes in text classifi-

		$\gamma = 0.25$			$\gamma = 0.50$			$\gamma = 0.75$			
		$\alpha = 2.5$	$\alpha = 5.0$	$\alpha = 7.5$	$\alpha = 2.5$	$\alpha = 5.0$	$\alpha = 7.5$	$\alpha = 2.5$	$\alpha = 5.0$	$\alpha = 7.5$	
PrTFIDF	$\beta = 0.25$	Accuracy	86.76%	85.87%	81.53%	<i>87.11%</i>	86.82%	<i>80.93%</i>	86.87%	86.60%	82.34%
		Recall	94.69%	94.47%	87.81%	93.41%	93.60%	<i>85.80%</i>	94.74%	94.80%	88.88%
		Precision	65.02%	63.47%	57.43%	<i>66.13%</i>	65.56%	<i>56.87%</i>	65.44%	64.97%	58.62%
	$\beta = 0.50$	Accuracy	87.01%	86.12%	81.52%	<i>87.11%</i>	<b>86.82%</b>	<i>80.93%</i>	86.89%	86.72%	82.34%
		Recall	<i>94.90%</i>	94.68%	87.81%	93.41%	<b>93.60%</b>	<i>85.80%</i>	94.70%	94.39%	88.88%
		Precision	65.41%	63.86%	57.43%	<i>66.13%</i>	<b>65.56%</b>	<i>56.87%</i>	65.46%	65.06%	58.62%
	$\beta = 0.75$	Accuracy	86.99%	86.72%	82.47%	87.10%	<i>87.01%</i>	<i>80.93%</i>	86.98%	86.71%	82.47%
		Recall	94.33%	94.39%	88.62%	93.41%	93.36%	<i>85.80%</i>	94.33%	94.39%	88.62%
		Precision	65.53%	65.06%	58.89%	66.11%	65.93%	<i>56.87%</i>	65.51%	65.04%	58.89%
C4.5	$\beta = 0.25$	Accuracy	89.95%	<i>90.05%</i>	<i>81.89%</i>	89.85%	90.00%	82.22%	89.63%	89.63%	83.10%
		Recall	70.81%	70.57%	<i>51.99%</i>	71.67%	71.42%	52.15%	71.09%	71.49%	54.10%
		Precision	<i>82.56%</i>	81.47%	<i>61.02%</i>	81.85%	82.07%	62.31%	81.41%	80.36%	64.13%
	$\beta = 0.50$	Accuracy	89.87%	89.85%	83.08%	89.74%	<b>89.76%</b>	83.08%	89.63%	89.71%	83.08%
		Recall	<i>72.17%</i>	71.25%	53.58%	71.52%	<b>71.45%</b>	53.58%	71.09%	71.49%	53.58%
		Precision	81.31%	81.68%	65.33%	81.54%	<b>81.53%</b>	65.33%	81.41%	81.30%	65.33%
	$\beta = 0.75$	Accuracy	89.82%	89.66%	83.08%	89.72%	89.66%	83.08%	89.60%	89.66%	83.08%
		Recall	71.97%	71.62%	53.58%	71.34%	71.62%	53.58%	70.96%	71.62%	53.58%
		Precision	81.10%	81.17%	65.33%	81.40%	81.17%	65.33%	81.24%	81.17%	65.33%

Table 6: Varying the parameters  $\alpha$ ,  $\beta$ , and  $\gamma$  of the window adjustment heuristic and its effect on the performance of PrTFIDF and C4.5 in scenario B averaged over 4 trials with 20 batches each. The configuration for the previous experiments on the scenarios A and B is printed in bold font. The italic font indicates minimum and maximum values of the particular performance measure for the learning method under consideration.

cation problems, and that recall and precision indicate concept changes more clearly than accuracy. Furthermore it could be observed that even using a very simple window of fixed size on the training data leads to significant performance improvements for all tested learning methods compared to learning on all previously seen examples. Using the proposed adaptive window management approach instead of the best approach with a window of fixed size yields further performance improvements for most of the learning methods. Hence both, the indicators for concept changes and the window adjustment heuristic based on them, provide promising starting points for future research and applications in adaptive information filtering.

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