

# Polynomial Combined Rewritings for Existential Rules

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## Abstract

We consider the scenario of ontology-based data access where a conjunctive query is evaluated against a database enriched with intensional knowledge via an ontology. It is generally accepted that true scalability of query answering in this setting can only be achieved by using standard relational database management systems (RDBMSs). An approach to query answering that enables the use of RDBMSs is the so-called polynomial combined approach. We investigate this approach for the main guarded- and sticky-based classes of existential rules, and we highlight the assumptions on the underlying schema which are sufficient for the polynomial combined first-order rewritability of those classes. To the best of our knowledge, this is the first work which explicitly studies the polynomial combined approach for existential rules.

## 1 Introduction

An ontology is an explicit specification of a conceptualization of an area of interest. One of the main applications of ontologies nowadays is in *ontology-based data access (OBDA)* (Poggi *et al.* 2008), where they are used to enrich the extensional data with intensional knowledge. In this setting, Description Logics (DLs) and rule-based formalisms such as existential rules are popular ontology languages, while conjunctive queries (CQs) are used as a vital querying tool. Therefore, efficient approaches to CQ answering over such languages are of great importance.

It is widely accepted that currently true scalability in OBDA can only be achieved by exploiting standard relational database management systems (RDBMSs), which provide mature and efficient technology for answering queries. A significant step forward in this direction was the introduction of the DL-Lite family of DLs (Calvanese *et al.* 2007; Poggi *et al.* 2008) — the logical underpinning of the OWL 2 QL profile of OWL 2 — and the proposal of the first practical approach to OBDA via query rewriting. Most of the languages of the DL-Lite family have been designed with the aim of being *first-order rewritable*, namely a TBox  $\mathcal{T}$  can be incorporated together with a given CQ  $q$  into a first-order query  $q_{\mathcal{T}}$  such that, for every ABox  $\mathcal{A}$ ,  $q_{\mathcal{T}}$  evaluated

over  $\mathcal{A}$  yields exactly the same result as  $q$  evaluated against  $\mathcal{A}$  and  $\mathcal{T}$ . Clearly,  $q_{\mathcal{T}}$  can be translated into a standard SQL query, and then submitted to the RDBMS holding  $\mathcal{A}$  (as a relational database), where it is evaluated and optimized in the usual way.

Although first-order rewritability is a most desirable property, it suffers from two serious shortcomings: (i) rewriting algorithms, in general, generate from a reasonably sized CQ an exponentially sized SQL query — even for simple cases such as OWL 2 QL this blowup is unavoidable (Kikot *et al.* 2012) — which can be prohibitive for efficient execution by an RDBMS, and (ii) it applies only to lightweight ontology languages for which the data complexity of CQ answering is below LOGSPACE, and thus useful formalisms with PTIME-hard data complexity are excluded a priori.

A more refined approach to query answering, which overcomes the inherent limitations of first-order rewritability, is the *polynomial combined approach* (Lutz *et al.* 2009), that allows the encoding of the consequences of the ontology in the given database (or ABox). This approach applies to languages which are *polynomially combined first-order rewritable*, i.e., for every CQ  $q$ , database  $D$ , and ontology  $\Sigma$ , it is possible to rewrite in *polynomial time* (i)  $q$  and  $\Sigma$  into a first-order query  $q_{\Sigma}$ , and (ii)  $D$  and  $\Sigma$  into a database  $D_{\Sigma}$ , in such a way that the answer to  $q_{\Sigma}$  over  $D_{\Sigma}$  is the same as the answer to  $q$  over  $D$  and  $\Sigma$ .

The polynomial combined approach has been successfully applied to the DL  $\mathcal{ELH}_{\perp}^{dr}$  (Lutz *et al.* 2009), i.e., the extension of  $\mathcal{EL}$  with the inconsistent concept, role inclusions, and domain and range restrictions, and also to DL-Lite $_{horn}^N$  (Kontchakov *et al.* 2010; 2011), one the most commonly used DL-Lite formalisms without role inclusions. Notice that DL-Lite $_{horn}^{(HN)}$ , the extension DL-Lite $_{horn}^N$  with role hierarchies, has been also considered in (Kontchakov *et al.* 2010) but, while the new database can be constructed in polynomial time, the rewritten query is of exponential size in the number of roles occurring in the ontology.

Although the polynomial combined approach has been already applied to several DLs, this is not the case for existential (a.k.a. Datalog<sup>∃</sup>) rules of the form  $\forall \mathbf{X} \varphi(\mathbf{X}) \rightarrow \exists \mathbf{Z} p(\mathbf{X}, \mathbf{Z})$ . Notice that the investigation of expressive fragments of existential rules that can be employed as an alternative way to represent ontologies is currently a field of intense research (Baget *et al.* 2011a; 2011b; Krötzsch and Rudolph

Size	Arity	L	G	S	T
$\infty$	$\infty$	?	$\times$	$[\times]$	$\times$
$\infty$	$d \geq 2$	$\checkmark$	$[\times]$	$\checkmark^*$	$[\times]$
$\infty$	$d \leq 1$	$\checkmark$	$\checkmark^*$	$\checkmark^*$	$\checkmark^*$
$c \geq 1$	$\infty$	?	$\times^*$	$[\times]^*$	$\times^*$
$c \geq 1$	$d \geq 0$	$\checkmark$	$\checkmark^*$	$\checkmark$	$\checkmark^*$

Table 1: Polynomial combined first-order rewritability of (L)inear, (G)uarded, (S)ticky and (T)ame rules. The symbol  $\infty$  (resp.,  $c \geq i$  and  $d \geq i$ ) asserts that the parameter under consideration is unbounded (resp., bounded by the constants  $c$  and  $d$ ). The expression  $d \leq 1$  implies that the arity is either 0 or 1. The symbol ? refers to an open problem. The symbol  $\checkmark$  (resp.,  $\times$ ) refers to a positive (resp., negative) case. The symbol  $[\times]$  refers to a negative case, assuming that  $\text{PSPACE} \neq \text{EXPTIME}$ . Our results are marked with  $\star$ .

2011; Calì *et al.* 2012a; 2012b; 2013; Leone *et al.* 2012). The only known cases of existential rules which are polynomially combined first-order rewritable are the class of *linear* rules when the arity of the schema is bounded, and the class of *sticky* rules when both the size and the arity of the schema are bounded. Those results are implicit in (Gottlob and Schwentick 2012); however, the goal of that paper was not the investigation of the polynomial combined approach for linear and sticky rules, but the construction of a non-recursive Datalog rewriting of polynomial size.

To the best of our knowledge, this is the first work which explicitly studies the combined approach for existential rules. This problem is considered, by the KR community, an interesting research direction that should be investigated (Kontchakov *et al.* 2011). Towards this direction, we explore guarded- and sticky-based classes of existential rules. Both guardedness and stickiness are well-accepted paradigms. On the one hand, guarded rules form a robust language which captures important DLs such as DL-Lite and  $\mathcal{EL}$  (Calì *et al.* 2012a) — a rule is *guarded* if it has a body-atom, called *guard*, which contains all the body-variables. On the other hand, sticky rules allow for joins in rule-bodies which are not always expressible via guarded rules, and they are able to capture well-known data modeling constructs such as inclusion and multivalued dependencies (Calì *et al.* 2012b). Recently, a class called *tame*, which combines guardedness and stickiness by taming the interaction between the sticky rules and the guard atoms occurring in guarded rules, has been proposed (Gottlob *et al.* 2013).

It is well-known that the problem of evaluating first-order queries over a database is feasible in polynomial space. Therefore, the polynomial combined approach is applicable only to ontology languages for which the combined complexity of CQ answering is at most  $\text{PSPACE}$  (assuming that  $\text{PSPACE} \neq \text{EXPTIME}$ ). Since CQ answering under guarded and tame rules is  $2\text{EXPTIME}$ -hard, and under sticky rules is  $\text{EXPTIME}$ -hard, it is interesting to investigate which assumptions on the underlying schema are sufficient for the polynomial combined first-order rewritability of the above classes. The techniques employed for  $\mathcal{ELH}_{\perp}^{dr}$  and DL-Lite $_{horn}^N$ , although quite insightful, leverage specificities of DLs, such as the limit to unary and binary predicates and the special form of DL axioms, so that is not clear how they can be

extended to general rule-based languages. Thus, we had to come up with novel techniques beyond the state of the art. Our contributions can be summarized as follows:

- We first show that guarded rules are, in general, not polynomially combined first-order rewritable, even if the underlying schema contains a single predicate. The same holds even if we concentrate on unary and binary predicates (unless  $\text{PSPACE} = \text{EXPTIME}$ ). However, guarded rules are polynomially combined first-order rewritable if we consider only unary predicates, or when the size and the arity of the underlying schema are bounded.
- We show that sticky rules are, in general, not polynomially combined first-order rewritable, even if the underlying schema contains a single predicate (unless  $\text{PSPACE} = \text{EXPTIME}$ ). Sticky rules are polynomially combined first-order rewritable if we consider schemas of bounded arity.
- Finally, we show that the results for guarded rules described above can be extended to tame rules.

In summary, we form an (almost) complete picture, depicted in Table 1, of the polynomial combined first-order rewritability for the main guarded- and sticky-based classes of existential rules. Notice that the case of linear rules, even when the schema contains a single predicate, is still open. We believe that linear rules are polynomially combined first-order rewritable, and we give some evidence for this in Section 7. At this point, let us clarify that guarded and tame rules are not first-order rewritable since the data complexity of CQ answering under those classes is  $\text{PTIME}$ -hard, even when the size and the arity of the underlying schema are bounded. Moreover, sticky rules are strictly more expressive than OWL 2 QL, and thus they are not polynomially first-order rewritable, even if the arity is fixed. Hence, our results enlarge the family of ontology languages which are suitable for OBDA purposes. Full proofs can be found in <https://www.mat.unical.it/datalog-exists/pub/gmp14.pdf>.

## 2 Preliminaries

**Technical Definitions.** We define the following pairwise disjoint (infinite countable) sets: a set  $\mathbf{C}$  of *constants*, a set  $\mathbf{N}$  of *labeled nulls*, and a set  $\mathbf{V}$  of *regular variables*. A *term*  $t$  is a constant, null, or variable. An *atom* has the form  $p(t_1, \dots, t_n)$ , where  $p$  is an  $n$ -ary predicate, and  $t_1, \dots, t_n$  are terms. Let  $\text{arity}(p)$  be the arity of the predicate  $p$ . For an atom  $\underline{a}$ , we denote  $\text{dom}(\underline{a})$  and  $\text{var}(\underline{a})$  the set of its terms and the set of its variables, respectively; these extend to sets of atoms. Conjunctions of atoms are often identified with the sets of their atoms. An *instance*  $I$  is a (possibly infinite) set of atoms  $p(\mathbf{t})$ , where  $\mathbf{t}$  is a tuple of constants and nulls. Given a set of predicates  $\mathcal{R}$ , let  $I_{|\mathcal{R}} = \{p(\mathbf{t}) \in I \mid p \in \mathcal{R}\}$ , i.e., the restriction of  $I$  on  $\mathcal{R}$ . A *database*  $D$  is a finite instance such that  $\text{dom}(D) \subseteq \mathbf{C}$ . Given a set  $T$  of terms, we denote by  $\text{base}(T, \mathcal{R})$  the set of atoms that can be formed using terms of  $T$  and predicates of  $\mathcal{R}$ . A *homomorphism* is a substitution  $h : \mathbf{CUNUV} \rightarrow \mathbf{CUNUV}$  which is the identity on  $\mathbf{C}$ . Two sets of atoms  $A, A'$  are *homomorphically equivalent*, written  $A \longleftrightarrow A'$ , if there exist homomorphisms  $h$  and  $h'$  such that  $h(A) \subseteq A'$  and  $h'(A') \subseteq A$ . We assume the reader is familiar with *conjunctive queries* (CQs). The

answer to  $q$  over an instance  $I$  is denoted  $q(I)$ . A Boolean CQ (BCQ)  $q$  has a positive answer over  $I$ , denoted  $I \models q$ , if  $() \in q(I)$ .

A  $\text{Datalog}^{\exists}$  rule (or simply rule)  $\sigma$  is a constant-free first-order formula of the form  $\forall \mathbf{X} \forall \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \rightarrow \exists \mathbf{Z} p(\mathbf{X}, \mathbf{Z})$ , where  $\mathbf{X} \cup \mathbf{Y} \cup \mathbf{Z} \subset \mathbf{V}$ ,  $\varphi(\mathbf{X}, \mathbf{Y})$  is a conjunction of atoms, and  $p(\mathbf{X}, \mathbf{Z})$  is a single atom;  $\varphi(\mathbf{X}, \mathbf{Y})$  is the *body* of  $\sigma$ , denoted  $\text{body}(\sigma)$ , while  $p(\mathbf{X}, \mathbf{Z})$  is the *head* of  $\sigma$ , denoted  $\text{head}(\sigma)$ . Given a set  $\Sigma$  of rules,  $\text{sch}(\Sigma)$  is the set of predicates occurring in  $\Sigma$ , and  $\text{arity}(\Sigma)$  is defined as  $\max_{p \in \text{sch}(\Sigma)} \{\text{arity}(p)\}$ . For brevity, we will omit the universal quantifiers in front of rules. An instance  $I$  satisfies  $\sigma$ , written  $I \models \sigma$ , if the following holds: whenever there exists a homomorphism  $h$  such that  $h(\varphi(\mathbf{X}, \mathbf{Y})) \subseteq I$ , then there exists  $h' \supseteq h|_{\mathbf{X}}$ , where  $h|_{\mathbf{X}}$  is the restriction of  $h$  on  $\mathbf{X}$ , such that  $h'(p(\mathbf{X}, \mathbf{Z})) \in I$ ;  $I$  satisfies a set  $\Sigma$  of rules, denoted  $I \models \Sigma$ , if  $I$  satisfies each  $\sigma$  of  $\Sigma$ . The *models* of a database  $D$  and a set  $\Sigma$  of rules, denoted  $\text{mods}(D, \Sigma)$ , is the set of instances  $\{I \mid I \supseteq D \text{ and } I \models \Sigma\}$ . The *answer* to a CQ  $q$  w.r.t.  $D$  and  $\Sigma$  is defined as the set of tuples  $\text{ans}(q, D, \Sigma) = \bigcap_{I \in \text{mods}(D, \Sigma)} \{\mathbf{t} \mid \mathbf{t} \in q(I)\}$ . The answer to a BCQ  $q$  is *positive*, denoted  $D \cup \Sigma \models q$ , if  $\text{ans}(q, D, \Sigma) \neq \emptyset$ . The *problem of CQ answering* is defined as follows: given a CQ  $q$ , a database  $D$ , a set  $\Sigma$  of rules, and a tuple of constants  $\mathbf{t}$ , decide whether  $\mathbf{t} \in \text{ans}(q, D, \Sigma)$ . In case  $q$  is a BCQ, the above problem is called *BCQ answering*. These decision problems are LOGSPACE-equivalent (implicit in (Chandra and Merlin 1977)), and we thus focus only on BCQ answering. For query answering purposes, we can assume, w.l.o.g., that both the BCQ  $q$  and the database  $D$  contain only predicates of  $\text{sch}(\Sigma)$ .

We are going to employ the *chase procedure*, which works on an instance through the so-called *chase rule* defined as follows. Consider an instance  $I$ , and a rule  $\sigma$  as defined above. Then,  $\sigma$  is *applicable* to  $I$  if there exists a homomorphism  $h$  such that  $h(\varphi(\mathbf{X}, \mathbf{Y})) \subseteq I$ . Let  $I' = I \cup \{h'(p(\mathbf{X}, \mathbf{Z}))\}$ , where  $h' \supseteq h|_{\mathbf{X}}$  is such that  $h'(Z)$  is a “fresh” null not occurring in  $I$ , for each  $Z \in \mathbf{Z}$ . The result of applying  $\sigma$  to  $I$  with  $h$  is  $I'$ , and we write  $I \langle \sigma, h \rangle I'$ ; in fact,  $I \langle \sigma, h \rangle I'$  defines a single *chase step*. The chase algorithm for a database  $D$  and a set  $\Sigma$  of rules consists of an exhaustive application of chase steps in a fair fashion, which leads to a (possibly infinite) model of  $D$  and  $\Sigma$ , denoted  $\text{chase}(D, \Sigma)$ ; for more details see, e.g., (Cali *et al.* 2012b). The instance  $\text{chase}(D, \Sigma)$  is a *universal model* of  $D$  and  $\Sigma$ , i.e., for each  $I \in \text{mods}(D, \Sigma)$ , there exists a homomorphism that maps  $\text{chase}(D, \Sigma)$  to  $I$ , and thus  $D \cup \Sigma \models q$  iff  $\text{chase}(D, \Sigma) \models q$ , for each BCQ  $q$  (implicit in (Fagin *et al.* 2005)).

**Fragments of  $\text{Datalog}^{\exists}$ .** A rule  $\sigma$  is called *guarded* if there exists  $\underline{a} \in \text{body}(\sigma)$  which contains all the variables occurring in  $\text{body}(\sigma)$  (Cali *et al.* 2013). Conventionally, the leftmost such an atom is the *guard* of  $\sigma$ , denoted  $\text{guard}(\sigma)$ , while the non-guard atoms are called the *side* atoms of  $\sigma$ . The class of guarded  $\text{Datalog}^{\exists}$ , denoted GUARDED, is defined as the family of all possible sets of guarded rules. Given a set  $\Sigma \in \text{GUARDED}$ , we denote by  $\text{sch}(\Sigma)^-$  the predicates of  $\Sigma$  which occur in at least one side atom in  $\Sigma$ ;

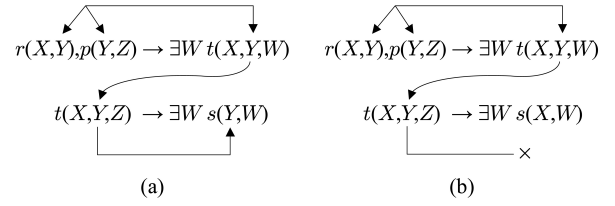


Figure 1: The Sticky Property.

clearly,  $\text{sch}(\Sigma)^- \subseteq \text{sch}(\Sigma)$ . Guarded rules with one body-atom are called *linear* (Cali *et al.* 2012a), and the corresponding class is denoted LINEAR.

Sticky sets of rules have been proposed in (Cali *et al.* 2012b). The central property of stickiness is that, during the chase, terms which are associated with body-variables that appear more than once (i.e., join variables) always are propagated (or “stick”) to the inferred atoms. This is illustrated in Figure 1; the first case is sticky, while the second is not. The associated class is denoted STICKY.

Towards the identification of even more expressive decidable fragments of  $\text{Datalog}^{\exists}$ , a condition, called *tameness*, which allows the consolidation of guardedness with stickiness has been recently proposed (Gottlob *et al.* 2013). In particular, a set  $\Sigma$  of rules is called *tame* if it can be partitioned into  $\{\Sigma[g], \Sigma[s]\}$ , where  $\Sigma[g]$  is a set of guarded rules and  $\Sigma[s]$  is a sticky set of rules, and in addition, none of the rules of  $\Sigma[s]$  “feeds” the guard atom of a guarded rule during the construction of the chase. In other words, whenever a guarded rule  $\sigma$  is applied with homomorphism  $h$ , then its guard must be mapped by  $h$  into an atom obtained from a guarded rule. The corresponding class is denoted TAME.

Fix a class  $\mathbb{C} \in \{\text{GUARDED}, \text{LINEAR}, \text{STICKY}, \text{TAME}\}$ . Given two integer constants  $c > 0$  and  $d \geq 0$ , we define  $\mathbb{C}[c, d] = \{\Sigma \in \mathbb{C} \mid |\text{sch}(\Sigma)| \leq c \text{ and } \text{arity}(\Sigma) \leq d\}$  as the subclass of  $\mathbb{C}$  where the size and the maximum arity of the underlying schema are bounded by  $c$  and  $d$ , respectively. We define the subclass of  $\mathbb{C}$  where the size of the underlying schema is bounded by  $c$  as  $\mathbb{C}[c, \infty] = \bigcup_{j \geq 0} \mathbb{C}[c, j]$ , and the subclass of  $\mathbb{C}$  where the maximum arity of the underlying schema is bounded by  $d$  as  $\mathbb{C}[\infty, d] = \bigcup_{i > 0} \mathbb{C}[i, d]$ .

### 3 The Polynomial Combined Approach

The formal definition of polynomial combined first-order rewritability, introduced in (Lutz *et al.* 2009), which is at the basis of the polynomial combined approach, is as follows:

**Definition 1** A  $\text{Datalog}^{\exists}$  class  $\mathbb{C}$  is combined first-order rewritable if, for every BCQ  $q$ , for every database  $D$ , and for every  $\Sigma \in \mathbb{C}$ , it is possible to rewrite:

- $q$  and  $\Sigma$ , independently of  $D$ , into a first-order query  $q_{\Sigma}$ ,
- $D$  and  $\Sigma$ , independently of  $q$ , into a database  $D_{\Sigma}$ ,

such that  $D \cup \Sigma \models q$  iff  $D_{\Sigma} \models q_{\Sigma}$ . If  $q_{\Sigma}$  and  $D_{\Sigma}$  are constructible in polynomial time, then  $\mathbb{C}$  is polynomially combined (P-combined) first-order rewritable.

**Combined Reducibility.** To show that a  $\text{Datalog}^{\exists}$  class is P-combined first-order rewritable, one may exploit the

fact that existing formalisms already enjoy this property. Towards this direction, we introduce the notion of polynomial combined reducibility:

**Definition 2** Consider two Datalog<sup>∃</sup> classes  $\mathbb{C}$  and  $\mathbb{C}'$ . We say that  $\mathbb{C}$  is combined reducible to  $\mathbb{C}'$  if, for every BCQ  $q$ , database  $D$ , and  $\Sigma \in \mathbb{C}$ , we can construct:

- a BCQ  $q'$  independently of  $D$ ,
- a database  $D'$  independently of  $q$ , and
- a set  $\Sigma' \in \mathbb{C}'$  independently of  $q$  and  $D$ ,

such that  $D \cup \Sigma \models q$  iff  $D' \cup \Sigma' \models q'$ . If  $q'$ ,  $D'$  and  $\Sigma'$  are constructible in polynomial time, then  $\mathbb{C}$  is polynomially combined (P-combined) reducible to  $\mathbb{C}'$ , and the employed reduction is called P-combined.

Obviously, the set of P-combined first-order rewritable Datalog<sup>∃</sup> classes is closed under P-combined reductions:

**Theorem 3** Consider two Datalog<sup>∃</sup> classes  $\mathbb{C}, \mathbb{C}'$ . If  $\mathbb{C}$  is P-combined reducible to  $\mathbb{C}'$ , and  $\mathbb{C}'$  is P-combined first-order rewritable, then also  $\mathbb{C}$  is P-combined first-order rewritable.

The above result suggests the following: to show that a Datalog<sup>∃</sup> class  $\mathbb{C}$  is P-combined first-order rewritable, it suffices to reduce query answering under  $\mathbb{C}$  to query answering under some P-combined first-order rewritable formalism via a P-combined reduction.

**Polynomial Witness Property.** A semantic property which is sufficient for P-combined first-order rewritability is the *polynomial witness property*, introduced in (Gottlob and Schwentick 2012). Roughly, a Datalog<sup>∃</sup> class  $\mathbb{C}$  enjoys this property if, whenever a query  $q$  is entailed by a database  $D$  and a set  $\Sigma \in \mathbb{C}$ , then  $q$  is already entailed by a finite part of  $\text{chase}(D, \Sigma)$  (the witness) of polynomial size in  $q$  and  $\Sigma$ .

**Definition 4** A Datalog<sup>∃</sup> class  $\mathbb{C}$  enjoys the polynomial witness property (PWP) if there exists a polynomial  $f$  such that, for every BCQ  $q$ , database  $D$ , and  $\Sigma \in \mathbb{C}$ ,  $D \cup \Sigma \models q$  implies the existence of a chase sequence  $I_i \langle h_i, \sigma_i \rangle I_{i+1}$  of  $D$  w.r.t.  $\Sigma$ , for  $0 \leq i < f(|q|, |\Sigma|)$ , such that  $I_{f(|q|, |\Sigma|)} \models q$ .

The following key result is implicit in (Gottlob and Schwentick 2012):

**Proposition 5** If a Datalog<sup>∃</sup> class  $\mathbb{C}$  enjoys the PWP, then  $\mathbb{C}$  is P-combined first-order rewritable.

## 4 Guarded Datalog<sup>∃</sup>

By making different assumptions on the underlying schema, we trace the frontier between those sets of guarded rules which are P-combined first-order rewritable and those which are not. On the one hand, we show that guarded Datalog<sup>∃</sup> is not P-combined first-order rewritable even if the underlying schema contains a single predicate, or even if we focus on unary and binary predicates. On the other hand, guarded Datalog<sup>∃</sup> is P-combined first-order rewritable if we consider only unary predicates, or if the size and the arity of the underlying schema are bounded by an integer constant; the latter forms one of the main results of this paper.

It is well-known that query answering under guarded rules is 2EXPTIME-hard (Calì *et al.* 2013). Since the evaluation of a first-order query is feasible in polynomial space,

and also PSPACE  $\subsetneq$  2EXPTIME, we get that GUARDED is not P-combined first-order rewritable. Interestingly, the same argument applies when the underlying schema contains only one predicate. More precisely, query answering under GUARDED[1, ∞] is already 2EXPTIME-hard. This can be shown by a reduction from query answering under arbitrary guarded rules; let us explain the reduction by means of a simple example. Consider the following instance of query answering under guarded Datalog<sup>∃</sup>:

$$\begin{aligned} D &= \{p(a), s(a, b, c)\} \\ \Sigma &= \{p(X), s(X, Y, Z) \rightarrow \exists W r(X, W)\} \\ q &= \exists X \exists Y p(X), r(X, Y). \end{aligned}$$

The idea is to simulate the predicates  $p, r$  and  $s$  using a single predicate  $\text{sim}$  of higher arity; in fact, the atom  $p(a)$  can be encoded as  $\text{sim}(p, a, \diamond_1, \diamond_2, p, r, s, \diamond_1, \diamond_2)$ , where  $\diamond_1, \diamond_2$  are “dummy” constants not occurring in  $D$ , while  $s(a, b, c)$  can be encoded as  $\text{sim}(s, a, b, c, p, r, s, \diamond_1, \diamond_2)$ . Then, the rule of  $\Sigma$  will be rewritten as

$$\begin{aligned} \text{sim}(P_1, X, V_1, V_2, \mathbf{T}), \text{sim}(P_3, X, Y, Z, \mathbf{T}) \\ \rightarrow \exists W \text{sim}(P_2, X, W, V_2, \mathbf{T}), \end{aligned}$$

where  $\mathbf{T} = (P_1, P_2, P_3, V_1, V_2)$ , while the query  $q$  will be rewritten as

$$\exists X \exists Y \exists \mathbf{T} \text{sim}(P_1, X, V_1, V_2, \mathbf{T}), \text{sim}(P_2, X, Y, V_2, \mathbf{T}).$$

From the above discussion, we get the following result:

**Theorem 6** GUARDED[1, ∞] is not P-combined first-order rewritable.

The above result follows also from Theorem 3 and the fact that the reduction from multiple predicates to a single predicate is P-combined.

Let us now consider the bounded arity case. Query answering under the description logic  $\mathcal{ELI}$ , i.e.,  $\mathcal{EL}$  extended with inverse roles, is EXPTIME-hard (implicit in (Baader *et al.* 2008)). Since each  $\mathcal{ELI}$ -TBox  $\mathcal{T}$  can be translated into a set  $\Sigma_{\mathcal{T}}$  of guarded rules, where  $\text{sch}(\Sigma_{\mathcal{T}})$  contains only unary and binary predicates, the next result follows:

**Theorem 7** If PSPACE  $\neq$  EXPTIME, then GUARDED[∞, 2] is not P-combined first-order rewritable.

It is believed that PSPACE  $\subsetneq$  EXPTIME, and therefore it is unlikely for GUARDED[∞, 2] to be P-combined first-order rewritable. However, if we concentrate on unary predicates, then it is possible to establish a positive result. In particular, a set  $\Sigma \in \text{GUARDED}[\infty, 1]$  may contain only rules of the form  $p_1(X), \dots, p_n(X) \rightarrow \underline{a}$ , for  $n \geq 1$ , where  $\underline{a}$  is either  $p(X)$  or  $\exists Z p(Z)$ . This fact allows us to show that GUARDED[∞, 1] enjoys the PWP. Hence, by Proposition 5, we immediately get the following result:

**Theorem 8** GUARDED[∞, 1] is P-combined first-order rewritable.

Let us now investigate whether guarded Datalog<sup>∃</sup> is P-combined first-order rewritable if we bound both the size and the arity of the schema. Notice that query answering under GUARDED[ $c, d$ ] is NP-complete (Calì *et al.* 2013), and thus the answer to this question is likely to be affirmative; the rest of this section is devoted to show that this is the case. Towards this direction, we exploit the following result established in (Gottlob and Schwentick 2012):

**Proposition 9**  $\text{LINEAR}[\infty, d]$  enjoys the PWP.

The above result, together with Proposition 5, implies that  $\text{LINEAR}[\infty, d]$  is P-combined first-order rewritable. Therefore, to establish our desired result, by Theorem 3, it suffices to show that  $\text{GUARDED}[c, d]$  is P-combined reducible to  $\text{LINEAR}[\infty, d]$ . A key notion employed in the studies of query answering under guarded rules is the *type* of an atom. Given a database  $D$  and a set  $\Sigma \in \text{GUARDED}$ , the type of an atom  $\underline{a} \in \text{chase}(D, \Sigma)$  is defined as  $\text{type}(\underline{a}, D, \Sigma) = \{\underline{b} \in \text{chase}(D, \Sigma) \mid \text{dom}(\underline{b}) \subseteq \text{dom}(\underline{a})\}$ . Intuitively, whenever  $\underline{a}$  is associated with the guard atom of a rule  $\sigma$  during a chase step application, then the side atoms of  $\sigma$  are necessarily associated with atoms of  $\text{type}(\underline{a}, D, \Sigma)$ . The abstract idea underlying our P-combined reduction of query answering under GUARDED to query answering under LINEAR is as follows: given a guarded rule  $\sigma$ , encode the shape of the type  $\tau$  of  $\text{guard}(\sigma)$  in a predicate  $[\tau]$ , and then replace  $\sigma$  with a linear rule of the form  $[\tau](\mathbf{X}, \mathbf{Y}) \rightarrow \exists Z p(\mathbf{X}, Z)$ . Let us now give some extra terminology which is needed for the definition of the reduction.

#### 4.1 Technical Definitions and Notation

Fix two sets  $\mathcal{R}, \mathcal{R}'$  of predicates, where  $\mathcal{R}' \subseteq \mathcal{R}$ . A *guarded type* for a predicate  $p \in \mathcal{R}$  w.r.t.  $\mathcal{R}'$  is defined as a pair  $(\underline{a}, S)$ , where  $\underline{a}$  is an atom of the form  $p(t_1, \dots, t_n)$  with  $t_i \in \{1, \dots, \text{arity}(p)\}$ , and  $S \subseteq \text{base}(\text{dom}(\underline{a}), \mathcal{R}') \setminus \{\underline{a}\}$ . Let  $\text{gtypes}(p, \mathcal{R}')$  be the set of all possible guarded types for  $p$  w.r.t.  $\mathcal{R}'$ . We define the guarded types of  $\mathcal{R}$  w.r.t.  $\mathcal{R}'$  as the set  $\text{gtypes}(\mathcal{R}, \mathcal{R}') = \{\text{gtypes}(p, \mathcal{R}')\}_{p \in \mathcal{R}}$ . Given  $\tau = (\underline{a}, S) \in \text{gtypes}(\mathcal{R}, \mathcal{R}')$ , we refer to  $\underline{a}$  by *guard*( $\tau$ ) and to  $(\{\underline{a}\} \cup S)$  as *atoms*( $\tau$ ), respectively. The arity of  $\tau$ , denoted  $\text{arity}(\tau)$ , is the maximum integer occurring in  $\text{guard}(\tau)$ .

**Example 1** Let  $\mathcal{R} = \{p, s, r\}$  and  $\mathcal{R}' = \{s, r\}$ , where  $p$  is a ternary predicate, while  $s$  and  $r$  are binary predicates. A possible guarded type  $\tau$  for  $p$  w.r.t.  $\mathcal{R}'$  is the pair

$$(p(1, 1, 2), \{s(1, 2), s(1, 1), r(2, 1), r(2, 2)\}),$$

with  $\text{arity}(\tau) = 2$ . ■

Roughly speaking, a guarded type describes the equality type of a guard-atom and a set of further side atoms which are “covered” by the guard. The integer arguments occurring in a guarded type, which can be seen as constants, are mere indicators of equalities among arguments. It is important to clarify that their actual values have no semantic meaning beyond this. For normalization reasons, we adopt the convention that the integers appearing in  $\text{guard}(\tau)$ , for some guarded type  $\tau$ , will always start by 1, and will be consecutive, except for repeated integers. Thus, when constructing new guarded types from existing ones, we will have to rename the arguments of a newly constructed set of atoms in order to follow the above convention. To this purpose, we use a renaming function  $\rho$  whose formal definition is obvious and is omitted; for example, given  $\tau = (\underline{a}, S)$ , where  $\underline{a} = p(2, 2, 4, 1)$  and  $S = \{s(1, 2), s(4, 1)\}$ ,  $\rho(\text{atoms}(\tau)) = \{p(1, 1, 2, 3), s(3, 1), s(2, 3)\}$ .

Given a guarded type  $\tau = (\underline{a}, S)$ , and a tuple of terms  $\mathbf{t} = (t_1, \dots, t_n)$ , where  $n = \text{arity}(\tau)$ , the *instantiation* of  $\tau$  over

$\mathbf{t}$ , denoted  $\tau(\mathbf{t})$ , is the set of atoms  $\{h_{\mathbf{t}}(\underline{b}) \mid \underline{b} \in \text{atoms}(\tau)\}$ , where  $h_{\mathbf{t}} = \{i \rightarrow t_i\}_{i \in [n]}$ ; for brevity,  $[n] = \{1, \dots, n\}$ .

**Example 2** Let  $\tau$  be the guarded type for  $p$  given in Example 1. Then,  $\tau((a, b))$  is the pair

$$\{p(a, a, b), s(a, b), s(a, a), r(b, a), r(b, b)\}$$

with  $h_{(a,b)} = \{1 \rightarrow a, 2 \rightarrow b\}$ . ■

The *projection* of  $\tau = (\underline{a}, S)$  over  $I \subseteq [\text{arity}(\tau)]$  is the set of atoms  $\Pi_I(\tau) = \{\underline{b} \in \text{atoms}(\tau) \mid \text{dom}(\underline{b}) \subseteq I\}$ . E.g., given  $\tau = (p(1, 2, 3), \{r(1), s(2)\})$ , then  $\Pi_{\{1,2\}}(\tau) = \{r(1), s(2)\}$ . Having the above notions in place, we are now ready to show that  $\text{GUARDED}[c, d]$  is P-combined reducible to  $\text{LINEAR}[\infty, d]$ .

#### 4.2 A Reduction from GUARDED to LINEAR

Let us first give a general reduction GL of query answering under guarded to query answering under linear Datalog<sup>3</sup>. Fix a database  $D$  and a set  $\Sigma_0 \in \text{GUARDED}$ . We are going to construct a database  $D^*$  and a set  $\Sigma^* \in \text{LINEAR}$  such that, for every BCQ  $q$ ,  $D \cup \Sigma_0 \models q$  iff  $D^* \cup \Sigma^* \models q$ .

**Step 1: Normalization.** The first step is to normalize  $\Sigma_0$  in such a way that each rule contains at most one existentially quantified variable which occurs at the last position of the head-atom. More precisely, for a rule  $\sigma \in \Sigma_0$ , if  $\sigma$  is already in normal form, then  $\mathbf{N}(\sigma) = \{\sigma\}$ ; otherwise, assuming that  $\text{head}(\sigma) = \underline{a}$ ,  $\mathbf{X} = \text{var}(\text{body}(\sigma)) \cap \text{var}(\text{head}(\sigma))$ , and  $Z_1, \dots, Z_m$  are the existentially quantified variables of  $\sigma$ , let  $\mathbf{N}(\sigma)$  be the set of rules

$$\begin{aligned} \text{body}(\sigma) &\rightarrow \exists Z_1 p_\sigma^1(\mathbf{X}, Z_1) \\ p_\sigma^1(\mathbf{X}, Z_1) &\rightarrow \exists Z_2 p_\sigma^2(\mathbf{X}, Z_1, Z_2) \\ &\dots \\ p_\sigma^{m-1}(\mathbf{X}, Z_1, \dots, Z_{m-1}) &\rightarrow \exists Z_m p_\sigma^m(\mathbf{X}, Z_1, \dots, Z_m) \\ p_\sigma^m(\mathbf{X}, Z_1, \dots, Z_m) &\rightarrow \underline{a}, \end{aligned}$$

where  $p_\sigma^i$  is an  $(|\mathbf{X}|+i)$ -ary auxiliary predicate not occurring in  $\text{sch}(\Sigma_0)$ , for each  $i \in [m]$ . Let  $\Sigma = \bigcup_{\sigma \in \Sigma_0} \mathbf{N}(\sigma)$ . □

A key tool that we shall use in our reduction is the *completion* of a (finite) instance  $I$  w.r.t.  $\Sigma$ , i.e., the process of enriching  $I$  with all the possible atoms that can be entailed from  $I$  and  $\Sigma$  without altering the domain of  $I$ . Formally, the result of such a process, denoted  $\text{complete}(I, \Sigma)$ , is the set of atoms  $\{\underline{a} \mid \text{dom}(\underline{a}) \subseteq \text{dom}(I) \text{ and } (I \cup \Sigma) \models \underline{a}\}$ . It is important to say that the atom entailment problem under guarded rules is decidable (Cali *et al.* 2013), and thus the (finite) set  $\text{complete}(I, \Sigma)$  can be explicitly computed. Henceforth, a guarded type for a predicate  $p \in \text{sch}(\Sigma)$  is always w.r.t.  $\text{sch}(\Sigma)^-$ , and we will simply write  $\text{gtypes}(p)$  for the set  $\text{gtypes}(p, \text{sch}(\Sigma)^-)$ . Moreover, we will refer to  $\text{gtypes}(\text{sch}(\Sigma), \text{sch}(\Sigma)^-)$  as  $\text{gtypes}(\Sigma)$ .

**Step 2: Database Construction.** We define

$$D^* = \left\{ [\tau](\mathbf{t}^1) \left| \begin{array}{l} p(\mathbf{t}) \in D, \\ \tau \in \text{gtypes}(p), \\ \text{guard}(\tau) \simeq p(\mathbf{t}), \\ \tau(\mathbf{t}^1) \subseteq \text{complete}(D, \Sigma) \end{array} \right. \right\},$$

where  $[\tau]$ , for some guarded type  $\tau$ , is a new  $arity(\tau)$ -ary predicate not occurring in  $sch(\Sigma)$ ,  $guard(\tau) \simeq p(\mathbf{t})$  means that  $guard(\tau)$  and  $p(\mathbf{t})$  have the same equality type (e.g.,  $p(1, 2, 1, 3, 2) \simeq p(t_1, t_2, t_1, t_3, t_2)$ ), and  $\mathbf{t}^1$  is obtained from  $\mathbf{t}$  by keeping only the first occurrence of each term occurring in  $\mathbf{t}$  (e.g., if  $\mathbf{t} = (t_1, t_2, t_1, t_3, t_2)$ , then  $\mathbf{t}^1 = (t_1, t_2, t_3)$ ). Intuitively, an atom  $[\tau](\mathbf{t}^1)$ , occurring in  $D^*$  due to an atom  $p(\mathbf{t}) \in D$ , encodes a possible subset of  $chase(D, \Sigma)$  which contains the atoms that may act as side atoms whenever  $p(\mathbf{t})$  plays the role of the guard in a chase application.  $\square$

**Example 3** Assume that  $D = \{p(a, a), p(a, b), s(b)\}$  and  $\Sigma = \{p(X, Y), s(Y) \rightarrow s(X)\}$ . For the atom  $p(a, a) \in D$ , an atom  $[\tau](a)$  will be added in  $D^*$ , where  $\tau$  is a guarded type of the form  $(p(1, 1), S)$ . Observe that the only atom, apart from  $p(a, a)$ , which is entailed by  $D$  and  $\Sigma$ , and contains only the term  $a$ , is  $s(a)$ ; therefore,  $S$  is either empty or is the set  $\{s(1)\}$ . Consequently, for  $p(a, a)$ , the atoms  $[(p(1, 1), \emptyset)](a)$ ,  $[(p(1, 1), \{s(1)\})](a)$  will be added in  $D^*$ . Consider now the atom  $p(a, b) \in D$ . It is easy to verify that the set of atoms  $\bigcup_{S \in \mathcal{P}(\{p(1, 1), s(1), s(2)\})} \{[(p(1, 2), S)]\}$ , where  $\mathcal{P}(X)$  denotes the powerset of  $X$ , will be added in  $D^*$ . Finally, for  $s(b) \in D$ , only the atom  $[(s(1), \emptyset)](b)$  will be added in  $D^*$ , since no other atom than  $s(b)$ , which contains only the term  $b$ , is entailed by  $D$  and  $\Sigma$ .  $\blacksquare$

**Step 3: Rules Construction.** The set  $\Sigma^*$  consists of the following two groups of rules:

1. The *type generator*, denoted  $\Sigma_G^*$ , which is responsible for generating new guarded types from existing ones, and
2. The *unfolder*, denoted  $\Sigma_U^*$ , that is responsible for unfolding a derived guarded type  $\tau$ , i.e., to explicitly construct the atoms over the original schema  $sch(\Sigma_0)$  which are encoded in  $\tau$  so that they can be queried directly.

Let us now define formally  $\Sigma_G^*$  and  $\Sigma_U^*$ ; let  $w = arity(\Sigma)$ .

**Step 3.1: Type Generator.** For each  $\sigma \in \Sigma$  of the form

$$body(\sigma) \rightarrow \exists Z p(X_1, \dots, X_n, Z),$$

and for each guarded type  $\tau \in gtypes(\Sigma)$  for which there exists a homomorphism  $h$  such that  $h(body(\sigma)) \subseteq atoms(\tau)$  and  $h(guard(\sigma)) = guard(\tau)$ , in  $\Sigma_G^*$  there exists the rule:

$$[\tau](V_1, \dots, V_m) \rightarrow \exists Z [\tau'](W_1, \dots, W_k, Z),$$

where  $m = arity(\tau)$ , and

- $\{V_1, \dots, V_m\} \subseteq var(body(\sigma))$ , and  $h(V_i) = i$ , for each  $i \in [m]$ ,
- $\{W_\ell\}_{\ell \in [k]} \subseteq \{X_\ell\}_{\ell \in [n]}$  and  $(h(W_1), \dots, h(W_k)) = (h(X_1), \dots, h(X_n))^1$ ,
- $\tau' = (\rho(\underline{a}), (complete(\rho(\{\underline{a}\} \cup S), \Sigma) \setminus \{\rho(\underline{a})\})_{|sch(\Sigma)^-})$ , where  $\underline{a}$  is the atom  $p(h(X_1), \dots, h(X_n), w + 1)$  and  $S$  is the set of atoms  $\Pi_{\{h(X_1), \dots, h(X_n)\}}(\tau)$ .

No other rules occur in  $\Sigma_G^*$ .  $\square$

**Example 4** Assume that  $\Sigma$  consists of the rules

$$\begin{aligned} \sigma_1 &: p(X, Y, X, Z, W), s(X, Z) \rightarrow \exists U r(Z, Y, X, U) \\ \sigma_2 &: r(X, X, Y, Z) \rightarrow t(X, Z). \end{aligned}$$

Let us focus our attention on  $\sigma_1$ . A possible guarded type  $\tau$  for the predicate of the guard of  $\sigma_1$ , that is, the predicate  $p$ , for which there exists a homomorphism that maps  $body(\sigma_1)$  to  $atoms(\tau)$ , and  $guard(\sigma_1)$  to  $guard(\tau)$  is:

$$\tau = (p(1, 2, 1, 2, 3), \{s(1, 1), s(1, 2)\}).$$

Therefore, in  $\Sigma_G^*$  we have the linear rule:

$$[\tau](X, Y, W) \rightarrow \exists U [\tau'](Y, X, U),$$

where  $\tau' = (r(1, 1, 2, 3), \{s(2, 2), s(2, 1), t(1, 3)\})$ .  $\blacksquare$

**Step 3.2: Unfolder.** For each  $\tau \in gtypes(\Sigma_0)$ , if  $guard(\tau)$  is of the form  $p(t_1, \dots, t_n)$ , in  $\Sigma_U^*$  there exists the rule:

$$[\tau](V_1, \dots, V_m) \rightarrow p(W_1, \dots, W_n),$$

where

- $\{V_1, \dots, V_m\} \subset \mathbf{V}$  and  $(V_1, \dots, V_m) = (W_1, \dots, W_n)^1$ ,
- $t_i = t_j$  implies  $W_i = W_j$ , for each  $i, j \in [n]$ .

No other rules occur in  $\Sigma_U^*$ .  $\square$

**Example 5** Consider the guarded type

$$\tau = (p(1, 2, 1, 2, 3), \{s(1, 1), s(1, 2)\}).$$

Due to  $\tau$ , in  $\Sigma_U^*$  we have the linear rule:

$$[\tau](X, Y, Z) \rightarrow p(X, Y, X, Y, Z).$$

Notice that, for any type of the form  $(p(1, 2, 1, 2, 3), S)$ , i.e., that has the same guard as  $\tau$ , the rule added to  $\Sigma_U^*$  is the same as the one above.  $\blacksquare$

We proceed to show that GL is indeed a reduction of query answering under guarded Datalog<sup>3</sup> to query answering under linear Datalog<sup>3</sup>. To this aim, we exploit the central property of the type of an atom. In particular, the subtree of the *guarded chase forest* of  $D$  and  $\Sigma$  — the forest obtained from  $chase(D, \Sigma)$  by keeping only the guards and their children — rooted at  $\underline{a}$  is determined by  $type(\underline{a}, D, \Sigma)$  (modulo renaming of nulls) (Cali *et al.* 2013). This implies that, given an atom  $\underline{a} = p(t_1, \dots, t_n, z)$ , where  $z$  is a null value invented in  $\underline{a}$ , we can construct  $type(\underline{a}, D, \Sigma)$  from  $\underline{a}$  and the restriction of  $type(\underline{a}, D, \Sigma)$  to  $\{t_1, \dots, t_n\}$ . This justifies the definition of the type generator, where we complete the known part of the type, denoted  $S$ , of a generated atom  $\underline{a}$  by calling the procedure *complete* using as a database the instance  $(\{\underline{a}\} \cup S)$ .

The above property allows us to show two useful lemmas. The first one asserts that, for each  $\underline{a} \in chase(D, \Sigma)$ , GL encodes  $type(\underline{a}, D, \Sigma)$  in a complete way. The function  $\gamma_{\underline{a}}$  renames the arguments of an atom of  $type(\underline{a}, D, \Sigma)$  into integers. For example, given  $\underline{a} = p(a, z_1, a, b)$ , then  $\gamma_{\underline{a}}(\underline{a}) = p(1, 2, 1, 3)$ ; the definition of  $\gamma_{\underline{a}}$  is obvious and it is omitted. Let  $\tau_{\underline{a}} = (\gamma_{\underline{a}}(\underline{a}), \gamma_{\underline{a}}(type(\underline{a}, D, \Sigma) \setminus \{\underline{a}\}))$ .

**Lemma 10** *There is a homomorphism  $h$  such that,  $p(\mathbf{t}) \in \text{chase}(D, \Sigma)$  implies  $[\tau_{p(\mathbf{t})}](h(\mathbf{t}^1)) \in \text{chase}(D^*, \Sigma_G^*)$ .*

The second technical lemma states that the reduction GL encodes in a sound way the various types that can be realized in the instance constructed by the chase:

**Lemma 11** *There is a homomorphism  $h$  such that,  $[\tau](\mathbf{t}) \in \text{chase}(D^*, \Sigma_G^*)$  implies  $h(\tau(\mathbf{t})) \subseteq \text{chase}(D, \Sigma)$ .*

By exploiting the above technical lemmas, we can show that  $\text{chase}(D, \Sigma_0)$  and  $\text{chase}(D^*, \Sigma^*)$ , after eliminating the atoms with predicates not occurring in  $\text{sch}(\Sigma_0)$ , are homomorphically equivalent:

**Proposition 12** *It holds that,*

$$\text{chase}(D, \Sigma_0) \longleftrightarrow \text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)}.$$

**Proof (sketch).** By construction, there exists a homomorphism  $\mu$  that maps  $\text{chase}(D, \Sigma_0)$  to  $\text{chase}(D, \Sigma)_{|\text{sch}(\Sigma_0)}$ . Due to  $\Sigma_U^*$ , we get that  $h_1$ , provided by Lemma 10, maps  $\text{chase}(D, \Sigma)_{|\text{sch}(\Sigma_0)}$  to  $\text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)}$ . Therefore,

$$h_1(\mu(\text{chase}(D, \Sigma_0))) \subseteq \text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)}.$$

Conversely, let  $h_2$  be the homomorphism as in Lemma 11. Since  $\text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)} \subseteq \cup_{[\tau](\mathbf{t}) \in \text{chase}(D^*, \Sigma_G^*)} \tau(\mathbf{t})$ ,  $h_2$  maps  $\text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)}$  to  $\text{chase}(D, \Sigma)_{|\text{sch}(\Sigma_0)}$ . Clearly, by construction, there exists a homomorphism  $\mu'$  that maps  $\text{chase}(D, \Sigma)_{|\text{sch}(\Sigma_0)}$  to  $\text{chase}(D, \Sigma_0)$ . Thus,

$$\mu'(h_2(\text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)})) \subseteq \text{chase}(D, \Sigma_0).$$

Consequently, due to  $(h_1 \circ \mu)$  and  $(\mu' \circ h_2)$ , we get that  $\text{chase}(D, \Sigma_0) \longleftrightarrow \text{chase}(D^*, \Sigma^*)_{|\text{sch}(\Sigma_0)}$ .  $\square$

Using Proposition 12, we can show that, for every BCQ  $q$ ,  $\text{chase}(D, \Sigma_0) \models q$  iff  $\text{chase}(D^*, \Sigma^*) \models q$ , since  $q$  contains only predicates of  $\text{sch}(\Sigma_0)$ , and the next result follows:

**Theorem 13** *For every BCQ  $q$ ,  $D \cup \Sigma_0 \models q$  iff  $D^* \cup \Sigma^* \models q$ .*

A natural question is whether GL is a P-combined reduction. Recall that we are interested in sets of guarded rules where the size and the maximum arity of the underlying schema are bounded by a constant. In this case, as we show below, indeed GL is P-combined.

### 4.3 The Reduction GL is P-combined

By construction,  $D^*$  depends only on  $D$  and  $\Sigma_0$ , while  $\Sigma^*$  depends only on  $\Sigma_0$ ; hence, GL is a combined reduction. Thus, to establish the desired result, it remains to show that, if  $\Sigma_0 \in \text{GUARDED}[c, d]$ , then  $D^*$  and  $\Sigma^*$  are constructible in polynomial time. Via a combinatorial analysis, we can establish some upper bounds on the number of all possible guarded types, and also on the size of a guarded type  $\tau$ , denoted  $|\tau|$ , and defined as  $|\text{atoms}(\tau)|$ .

**Lemma 14** *It holds that,*

1.  $|\text{gtypes}(p)| \leq \text{arity}(p)^{\text{arity}(p)} \cdot 2^{|\text{sch}(\Sigma)^-| \cdot \text{arity}(p)^w}$ , for each  $p \in \text{sch}(\Sigma)$ ,
2.  $|\text{gtypes}(\Sigma)| \leq |\text{sch}(\Sigma)| \cdot w^w \cdot 2^{|\text{sch}(\Sigma)^-| \cdot w^w}$ , and
3.  $|\tau| \leq |\text{sch}(\Sigma)| \cdot w^w$ , for each  $\tau \in \text{gtypes}(\Sigma)$ .

Henceforth, we assume that  $\Sigma_0 \in \text{GUARDED}[c, d]$ . Observe that the maximum number of existentially quantified variables that can appear in a rule of  $\Sigma_0$  is  $\text{arity}(\Sigma_0)$ . Therefore,  $|\Sigma| \in \mathcal{O}(|\Sigma_0|)$  and  $|\text{sch}(\Sigma)| \in \mathcal{O}(|\Sigma_0|)$ . Since the auxiliary predicates introduced during the normalization procedure do not appear in side atoms, we get that  $\text{sch}(\Sigma)^- \subseteq \text{sch}(\Sigma_0)$ , and thus  $|\text{sch}(\Sigma)^-| \leq c$ . It is important to note that  $\text{arity}(\Sigma) = \text{arity}(\Sigma_0) \leq d$ .

To construct  $D^*$  we need, for each atom  $p(\mathbf{t}) \in D$ , and for each  $\tau \in \text{gtypes}(p)$  such that  $\text{guard}(\tau) \simeq p(\mathbf{t})$ , to check whether  $D \cup \Sigma \models \underline{a}$ , for each  $\underline{a} \in \tau(\mathbf{t}^1)$ . Importantly, the latter (atom entailment) check is feasible in polynomial time; this follows from the fact that atomic query answering under  $\text{GUARDED}[c, d]$  can be performed in polynomial time in  $D$  and  $\Sigma$  (Calì *et al.* 2013). In the worst case, we need to apply this polynomial check  $\sum_{p(\mathbf{t}) \in D} \sum_{\tau \in \text{gtypes}(p)} |\tau|$  times. By Lemma 14, and since  $|\text{sch}(\Sigma)^-| \leq c$  and  $\text{arity}(p) \leq d$ , for each  $p \in \text{sch}(\Sigma)$ , the crucial entailment check must be performed  $|D| \cdot |\text{sch}(\Sigma)| \cdot 2^{c \cdot d^d} \cdot d^{2d} \in \mathcal{O}(|D| \cdot |\Sigma_0|)$  times, and hence  $D^*$  is constructible in polynomial time.

We now consider the construction of  $\Sigma^*$ . By exploiting Lemma 14, one can show that the maximum number of rules that can appear in  $\Sigma_G^*$  is  $\mathcal{O}(|\Sigma_0|^2)$ . The construction of each linear rule (apart from some easy steps) amounts to compute the set of atoms  $\text{complete}(I, \Sigma)_{|\text{sch}(\Sigma)^-}$ , where  $I$  is an instance of size bounded by  $|\tau|$  with  $\tau$  the guarded type under consideration. This requires  $\mathcal{O}(|\Sigma_0|^d)$  polynomial entailment checks, and hence  $\Sigma_G^*$  is constructible in polynomial time. To construct the unfold  $\Sigma_U^*$ , we actually need to construct  $|\text{gtypes}(\Sigma_0)|$  linear rules, each of which can be constructed in time  $\mathcal{O}(1)$ . Thus, by Lemma 14, the construction of  $\Sigma_U^*$  can be carried out in time  $\mathcal{O}(1)$ .

From the above analysis, we conclude that  $D^*$  and  $\Sigma^*$  are constructible in polynomial time, and the next result follows:

**Proposition 15**  *$\text{GUARDED}[c, d]$  is P-combined reducible to  $\text{LINEAR}[\infty, d]$ .*

By combining Theorem 3 with Propositions 5, 9 and 15, the main result of this section follows:

**Theorem 16**  *$\text{GUARDED}[c, d]$  is P-combined first-order rewritable.*

## 5 Tame Datalog<sup>3</sup>

In this section, we investigate the question whether the class of tame rules is P-combined first-order rewritable whenever the size and the arity of the schema are bounded. One may claim that the technique proposed for guarded rules can be directly applied on tame rules in order to obtain the desired result. More precisely, one can suggest the following: it can be shown that  $\text{TAME}[c, d]$  is P-combined reducible to a P-combined first-order rewritable class — which in turn implies that  $\text{TAME}[c, d]$  is P-combined first-order rewritable — by rewriting the given database  $D$  into  $D^*$ , and the guarded part  $\Sigma[g]$  of the given tame set  $\Sigma \in \text{TAME}[c, d]$  into  $\Sigma[g]^*$ , while keeping the sticky part  $\Sigma[s]$  of  $\Sigma$  untouched. Although this approach is quite promising, there are two non-trivial difficulties:

- $(\Sigma[g]^* \cup \Sigma[s])$  is sticky, and it is not known whether  $\text{STICKY}[\infty, d]$  is P-combined first-order rewritable, and
- GL is not complete on tame rules since a side atom of a guarded rule may depend on the sticky part.

In what follows, we explore the combined approach for sticky rules, and we show that  $\text{STICKY}[\infty, d]$  is P-combined first-order rewritable. We also reveal the key reason of the incompleteness of GL, and we briefly explain how it can be adapted in order to regain completeness.

## 5.1 Stickiness and the Combined Approach

Query answering under sticky sets of rules is EXPTIME-complete (Cali *et al.* 2012b). Interestingly, the problem remains EXPTIME-hard even if the underlying schema contains only one predicate. This can be established by employing the same construction given in the previous section to show an analogous result for guarded rules. Thus, we get the following impossibility result:

**Theorem 17** *If  $\text{PSPACE} \neq \text{EXPTIME}$ , then  $\text{STICKY}[1, \infty]$  is not P-combined first-order rewritable.*

Let us now show that  $\text{STICKY}[\infty, d]$  is P-combined first-order rewritable by showing first that it enjoys the polynomial witness property. Consider a BCQ  $q$ , a database  $D$ , and a set  $\Sigma \in \text{STICKY}[\infty, d]$ . Assume that  $D \cup \Sigma \models q$ . This implies that there exists a homomorphism  $h$  such that  $h(q) = H \subseteq \text{chase}(D, \Sigma)$ . Consider now the proof of  $H$ , i.e., the (finite) part  $P \subseteq \text{chase}(D, \Sigma)$  due to which  $H$  is generated. Because of stickiness, apart from the terms occurring in  $H$ , all the other terms in  $P$  do not participate in a join operation during the construction of  $P$ , and therefore can be seen as “don’t care” terms. Given an atom  $\underline{a} \in P$ , let  $\underline{a}_*$  be the atom obtained from  $\underline{a}$  by replacing the “don’t care” terms, i.e., the terms of  $\text{dom}(\underline{a}), \text{dom}(H)$ , with  $\star$ . It is possible to show that a witness  $P'$  of  $q$  can always be constructed such that, for every  $\underline{a}, \underline{a}' \in P'$ ,  $\underline{a} \neq \underline{a}'$  implies  $\underline{a}_* \neq \underline{a}'_*$ . Since  $|P'| \leq n \cdot (|\text{dom}(H)| + 1)^d$ , where  $n = |\text{sch}(\Sigma)|$ , and  $|\text{dom}(H)| \leq |q| \cdot d$ , we conclude that a witness of size  $\mathcal{O}(n \cdot |q|^d)$  always exists, and the next result follows:

**Lemma 18**  *$\text{STICKY}[\infty, d]$  enjoys the PWP.*

The above result improves an existing one asserting that  $\text{STICKY}[c, d]$  enjoys the PWP (Gottlob and Schwentick 2012). By Proposition 5 and Lemma 18 we get that:

**Theorem 19**  *$\text{STICKY}[\infty, d]$  is P-combined first-order rewritable.*

## 5.2 A Reduction from TAME to STICKY

We now concentrate on the reduction GL. We first show that it is not complete on tame rules. Let  $D = \{p(a, b), p(b, c)\}$ , and  $\Sigma$  be the tame set of rules consisting of:

$$\begin{aligned} \sigma_1 &: p(X, Y) \rightarrow \exists Z r(Y, Z) \\ \sigma_2 &: r(X, Y), s(Y, X) \rightarrow \exists Z r(Y, Z) \\ \sigma_3 &: r(X, Y), p(X, Z) \rightarrow s(Y, X), \end{aligned}$$

where the guarded part  $\Sigma[g] = \{\sigma_1, \sigma_2\}$  and the sticky part  $\Sigma[s] = \{\sigma_3\}$ . The chase of  $D$  w.r.t.  $\Sigma$  is

$$C = \{p(a, b), p(b, c), r(b, z_1), r(c, z_2), s(z_1, b), r(z_1, z_3)\}.$$

Let us now construct  $D^*$  and  $\Sigma[g]^*$ . It is easy to verify that

$$D^* = \{[(p(1, 2), \emptyset)](a, b), [(p(1, 2), \emptyset)](b, c)\}.$$

Due to  $\sigma_1$  and the guarded type  $(p(1, 2), \emptyset)$  for  $p$ , in  $\Sigma[g]^*$  we have the linear rule

$$[(p(1, 2), \emptyset)](X, Y) \rightarrow \exists Z [(r(1, 2), \emptyset)](Y, Z).$$

Moreover, due to  $\sigma_2$  and the type  $(r(1, 2), \{s(2, 1)\})$  for  $r$ , in  $\Sigma[g]^*$  we have the linear rule

$$[(r(1, 2), \{s(2, 1)\})](X, Y) \rightarrow \exists Z [(r(1, 2), \emptyset)](Y, Z).$$

Notice that several other rules occur in  $\Sigma[g]^*$ . However, for this particular example they are meaningless, and therefore they are omitted for brevity; e.g., rules that must occur in  $\Sigma[g]^*$  due to  $\sigma_1$  and a type of the form  $(p(1, 1), S)$  will never be triggered. In addition, in  $\Sigma[g]^*$  we have the linear rules

$$\begin{aligned} [(p(1, 2), \emptyset)](X, Y) &\rightarrow p(X, Y) \\ [(r(1, 2), \emptyset)](X, Y) &\rightarrow r(X, Y) \\ [(r(1, 2), \{s(2, 1)\})](X, Y) &\rightarrow r(X, Y). \end{aligned}$$

Observe that  $\text{chase}(D^*, \Sigma[g]^* \cup \Sigma[s])_{\text{sch}(\Sigma)}$  is

$$C^* = \{p(a, b), p(b, c), r(b, z_1^*), r(c, z_2^*), s(z_1^*, b)\}.$$

The fact that there exists a homomorphism that maps  $C^*$  to  $C$  implies that, for every BCQ  $q$ ,  $C^* \models q$  implies  $C \models q$ . Actually, the reduction GL is sound even if we consider tame rules. However, it is not also complete: consider the BCQ  $q = \exists X \exists Y \exists Z r(X, Y), r(Y, Z)$ , and observe that  $C \models q$  but  $C^* \not\models q$ . The reason why  $C^* \not\models q$  is because GL failed to encode the fact that an atom  $r(z_1^*, z_3^*)$  must also be generated during the construction of  $\text{chase}(D^*, \Sigma[g]^* \cup \Sigma[s])$ . More precisely, the crucial linear rule  $\sigma$  of the form

$$[(p(1, 2), \emptyset)](X, Y) \rightarrow \exists Z [(r(1, 2), \{s(2, 1)\})](Y, Z)$$

is missing from  $\Sigma[g]^*$ . Because of the above rule, the atom  $[(r(1, 2), \{s(2, 1)\})](b, z_1^*)$  will be obtained during the construction of the chase, which in turn will trigger the rule

$$[(r(1, 2), \{s(2, 1)\})](X, Y) \rightarrow \exists Z [(r(1, 2), \emptyset)](Y, Z)$$

and the atom  $[(r(1, 2), \emptyset)](z_1^*, z_3^*)$  will be generated. Then, due to the rule

$$[(r(1, 2), \emptyset)](X, Y) \rightarrow r(X, Y)$$

the desired atom  $r(z_1^*, z_3^*)$  will be eventually obtained. Thus, in order to reveal the real reason why GL is not complete on tame rules, we need to understand why the crucial rule  $\sigma$  does not occur in  $\Sigma[g]^*$ . Clearly,  $\sigma$  should exist in  $\Sigma[g]^*$  due to  $\sigma_1$  and a guarded type  $\tau$  of the form  $(p(1, 2), S)$ , where  $S \subseteq \text{base}(\{1, 2\}, \text{sch}(\Sigma))$ . However,

$$\begin{aligned} \tau' &= (r(1, 2), \text{complete}(\{r(1, 2)\} \cup \Pi_{\{2\}}(\tau), \Sigma)) \\ &= (r(1, 2), \emptyset), \end{aligned}$$

even if  $S = \text{base}(\{1, 2\}, \text{sch}(\Sigma))$ , and therefore there is no way to obtain the head atom  $\exists Z [(r(1, 2), \{s(1, 2)\})](Y, Z)$ . This is because, in order to obtain  $s(2, 1)$  during the completion of  $(\{r(1, 2)\} \cup \Pi_{\{2\}}(\tau))$  w.r.t.  $\Sigma$ , an atom of the form  $p(1, X)$  is needed. However, such an atom does not occur



in  $complete(\{r(1, 2)\} \cup \Pi_{\{2\}}(\tau), \Sigma)$ , and this is exactly the source of incompleteness. It is important to observe that the atom  $p(1, X)$  is needed to trigger the rule  $\sigma_3$ , i.e., the sticky rule of  $\Sigma$ , and since  $X$  is not propagated in the inferred atom, by stickiness, we can safely conclude that such a term  $X$  will never participate in a join operation during the completion process. Hence, what is important is not the actual value of  $X$  but the existence of a witness for  $X$ .

From the above discussion, we conclude that the way to regain completeness is to add in  $side(\tau)$  a witness atom  $p(1, \star)$  for  $p(1, X)$ , and let

$$\tau' = (r(1, 2), complete(\{r(1, 2)\} \cup \Pi_{\{2, \star\}}(\tau), \Sigma)),$$

and also add an additional unfold rule of the form

$$[(r(1, 2), \{s(2, 1), p(1, \star)\})](X, Y) \rightarrow \exists Z p(X, Z)$$

in order to explicitly unfold the witness atom  $p(1, \star)$  by inventing a “fresh” null for each occurrence of  $\star$ . Notice that in this particular example, the above rule is not needed since  $p(1, \star)$  is a witness of the database atom  $p(b, c)$ , and thus it is not necessary to regenerate it. However, in general, such unfolding rules are crucial.

The above approach can be generalized in order to obtain a combined reduction, denoted TS, of query answering under tame sets of rules to query answering under sticky sets of rules. Let us clarify that  $(\Sigma[g]^* \cup \Sigma[s])$  is indeed sticky since, by construction, for each  $\sigma \in \Sigma[g]^*$ , every variable in  $body(\sigma)$  occurs only once, and thus there is no way to violate stickiness after the merging of  $\Sigma[g]^*$  and  $\Sigma[s]$ . Moreover, if the size and arity of the underlying schema is fixed, then TS is P-combined, and the next result follows:

**Proposition 20**  $TAME[c, d]$  is P-combined reducible to  $STICKY[\infty, d]$ .

From Theorem 3, Theorem 19 and Proposition 20, we immediately get the main result of this section:

**Theorem 21**  $TAME[c, d]$  is P-combined first-order rewritable.

## 6 Linear Datalog<sup>∃</sup>: The Missing Tile

It is open whether Linear Datalog<sup>∃</sup> is P-combined first-order rewritable, and we are currently investigating this problem. The purpose of this section is to show that the solution to the above open problem cannot benefit from existing tools, and therefore novel techniques must be devised.

By exploiting the reduction in the proof of Theorem 6, we can show that  $LINEAR$  and  $LINEAR[1, \infty]$  coincide w.r.t. P-combined first-order rewritability. Therefore, in order to establish the desired result, it suffices to focus our attention on sets of linear rules which contain exactly one predicate. Since query answering under  $LINEAR$  is PSPACE-hard, the same problem under  $LINEAR[1, \infty]$  is also PSPACE-hard. Thus, in view of the fact that query answering under the (currently) known Datalog<sup>∃</sup> languages which are P-combined first-order rewritable — i.e., the languages considered in this paper — is in NP, the P-combined reducibility approach cannot be applied (unless  $NP = PSPACE$ ).

Unfortunately, as shown below, the existing techniques of establishing that  $LINEAR[\infty, d]$  is P-combined first-order

rewritable, which are based on the PWP, are also not useful, or, at least, immediately applicable. We define the class  $SUCC = \{\Sigma_n\}_{n>0}$ , where  $\Sigma_n$  is the set of linear rules

$$\{num(Z, O, \mathbf{B}_{n-i}, Z, O^{i-1}) \rightarrow num(Z, O, \mathbf{B}_{n-i}, O, Z^{i-1})\}_{i \in [n]}$$

with  $\mathbf{B}_k = B_1, \dots, B_k$ . Roughly speaking,  $\Sigma_n$  simulates the successor operator on  $m$ -digit binary numbers, where  $m = n - 2$ . The binary number  $b_1b_2 \dots b_m$  is encoded as  $num(Z, O, b_1, \dots, b_m)$ , where  $Z, O$  are auxiliary variables that allow us to have access to the bits 0 and 1, respectively. Given the atomic query  $q = num(0, 1, 1, \dots, 1)$  and the database  $D = \{num(0, 1, 0, \dots, 0)\}$ , we need to apply  $\mathcal{O}(2^m)$  times the chase step in order to entail  $q$ . Consequently,  $SUCC$  does not enjoy the PWP. Since  $SUCC \subset LINEAR[1, \infty]$ , the next result follows:

**Lemma 22**  $LINEAR[1, \infty]$  does not enjoy the PWP.

One may think that Lemma 22 implies that  $LINEAR[1, \infty]$  is not P-combined first-order rewritable. However, the PWP is not a necessary condition for the P-combined first-order rewritability. This is established by showing first that a class for which query answering is PTIME-hard does not enjoy the PWP. Since there exist PTIME-hard classes which are P-combined first-order rewritable, e.g.,  $GUARDED[c, d]$ , the next result follows:

**Lemma 23** There exists a Datalog<sup>∃</sup> class which does not enjoy the PWP, but it is P-combined first-order rewritable.

All the known formalisms that are P-combined first-order rewritable, enjoy a property close to the PWP which we call *weakly PWP (wPWP)*. Roughly, this property is defined as the PWP, with the difference that the polynomial size witness may depend on the given database. Clearly,  $SUCC$ , and thus  $LINEAR[1, \infty]$ , does not enjoy the wPWP. However, it is possible to show that  $SUCC$  is P-combined first-order rewritable, and thus obtaining the first example which does not ensure a witness of polynomial size, but it is P-combined first-order rewritable.

From the above discussion, we conclude that  $LINEAR$ , although it does not guarantee witnesses of polynomial size, is likely to be P-combined first-order rewritable. However, the existing tools are not powerful enough for establishing such a result, and novel techniques must be developed.

## 7 Conclusion

We considered the polynomial combined approach to query answering under expressive classes of existential rules. To the best of our knowledge, this work is the first attempt to explicitly apply the combined approach to existential rules. We established that guarded and tame rules are polynomially combined first-order rewritable, whenever the size and the arity of the underlying schema are bounded. The same holds also for sticky rules, even if the size of the underlying schema is not bounded. Notice that our techniques immediately show that guarded and tame rules are combined first-order rewritable. The results of this work are, for the moment, of theoretical nature and we do not claim that they

will directly lead to better practical algorithms. A smart implementation and an evaluation of the obtained rewritings will be the subject of future research. We are also planning to investigate the notion of *output-polynomial* combined first-order rewritability, i.e., when the rewriting is of polynomial size but not necessarily constructible in polynomial time.

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