Static-Dynamic Co-teaching for Class-Incremental 3D Object Detection

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Abstract

Deep learning-based approaches have shown remarkable performance in the 3D object detection task. However, they suffer from a catastrophic performance drop on the originally trained classes when incrementally learning new classes without revisiting the old data. This "catastrophic forgetting" phenomenon impedes the deployment of 3D object detection approaches in real-world scenarios, where continuous learning systems are needed. In this paper, we study the unexplored yet important class-incremental 3D object detection problem and present the first solution - SDCoT, a novel static-dynamic co-teaching method. Our SDCoT alleviates the catastrophic forgetting of old classes via a static teacher, which provides pseudo annotations for old classes in the new samples and regularizes the current model by extracting previous knowledge with a distillation loss. At the same time, SDCoT consistently learns the underlying knowledge from new data via a dynamic teacher. We conduct extensive experiments on two benchmark datasets and demonstrate the superior performance of our SDCoT over baseline approaches in several incremental learning scenarios. Our code is available at https://github.com/Na-Z/SDCoT.

Introduction

The success of deep learning are seen in many computer vision tasks that include point cloud-based 3D object detection. Many deep learning-based approaches (Li, Zhang, and Xia 2016; Chen et al. 2017; Beltrán et al. 2018; Yan, Mao, and Li 2018; Yang, Luo, and Urtasun 2018; Zeng et al. 2018; Zhou and Tuzel 2018; Chen et al. 2019; Lang et al. 2019; Qi et al. 2019; Shi, Wang, and Li 2019; Yang et al. 2019; Zhou et al. 2019; Yang et al. 2020; Zheng et al. 2021) are proposed and have shown impressive performance in localizing and categorizing objects of interest in the point cloud of a scene. However, these approaches suffer from "catastrophic forgetting", i.e. a significant performance degradation on the old classes (c.f. Row 3 of Table 1 and 2) when applied in a class-incremental scenario where new classes are added incrementally while old data might be unavailable due to storage limitation or privacy issue. The "catastrophic forgetting" phenomenon largely limits the use of these models in realworld applications, where intelligent machines are required to continually learn new knowledge without forgetting the

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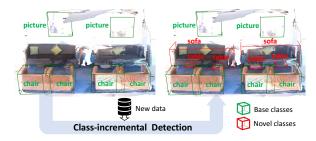


Figure 1: An example of class-incremental 3D object detection.

old one. For example, the detection system on a domestic robot is initially trained to detect several base classes such as 'chair' and 'picture' (see the *left* example in Figure 1). Subsequently, when the examples of novel classes such as 'sofa' and 'table' become available, the system needs to incrementally learn to detect these novel classes without losing the ability to detect the base classes (see the *right* example in Figure 1). Furthermore, the ability to do *class-incremental learning* of 3D object detection gives machines a learning capability closer to humans since we do not forget old concepts after learning new ones.

Although class-incremental learning has been studied in several computer vision tasks (Li and Hoiem 2017; Shmelkov, Schmid, and Alahari 2017; Michieli and Zanuttigh 2019; Dong et al. 2021), especially image classification, classincremental learning of 3D object detection remains unexplored. To our best knowledge, we are the first to study this unexplored yet important problem, and to present an effective Static-Dynamic Co-Teaching solution named SDCoT. Our SDCoT is able to incrementally detect new classes without revisiting any data of the old classes. A challenge in classincrement learning of object detection is the high chance of old (in the background without labels) and new (with labels) classes co-occurring in the new training samples. This causes the model to wrongly suppress the old classes and thus expedites the catastrophic forgetting process. To overcome this challenge, SDCoT leverages the previous model trained on old data to generate pseudo annotations of old classes in the new training samples. Consequently, a mixture of pseudo labels of the old classes and the ground-truth labels of new classes, i.e. "mixed labels" is used to train the current model.

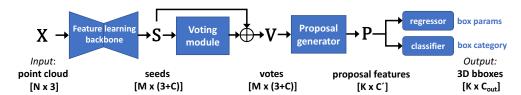


Figure 2: Overview of our backbone - modified VoteNet.

A naive way of pseudo label generation leads to inaccurate and incomplete pseudo labels that deteriorate the detection performance. Our SDCoT alleviates this problem by introducing co-teaching from two teachers: a static teacher and a dynamic teacher. Specifically, the static teacher is a frozen copy of the previous model, which teaches to distill previously learned knowledge from old data with a distillation loss. On the other hand, the dynamic teacher is an ensemble of the current model across its up-to-date training steps, which teaches to consistently learn the underlying knowledge from the new data with a consistency loss. As a result, our SDCoT trains the current model with supervision from the "mixed labels" and regularizations from the two adversarial teachers. We conduct extensive experiments on SUN RGB-D and ScanNet datasets. The performance improvements over the baselines under different incremental learning scenarios demonstrate the effectiveness of our SDCoT in class-incremental 3D object detection. Additionally, we validate the contribution of static and dynamic teachers in knowledge exploitation by evaluating different variants of our SDCoT. Finally, we also show our SDCoT is compatible with examples from old data once they are available.

Related Work

Class-incremental learning is a classical machine learning problem, which refers to the continuous addition of new classes into a model. Most existing class-incremental leaning methods focus on image classification task, which can be classified into two main categories: 1) regularization based methods minimize the discrepancy between either the data (Li and Hoiem 2017; Hou et al. 2019) or parameters (Kirkpatrick et al. 2017; Aljundi et al. 2018) of the previous model and the current model; 2) rehearsal/replay-based methods store a subset of exemplars from previous classes (Rebuffi et al. 2017; Castro et al. 2018; Wu et al. 2019) or produce synthesized exemplars for previous classes using a generative model (Shin et al. 2017; Ostapenko et al. 2019).

Recently, several works apply class-incremental learning on image-based object detection task. Most of them (Shmelkov, Schmid, and Alahari 2017; Chen, Yu, and Chen 2019; Hao et al. 2019; Peng, Zhao, and Lovell 2020) address this problem by exploring knowledge distillation on network responses (*i.e.* data-based regularization). For example, the first study on class-incremental image object detection (Shmelkov, Schmid, and Alahari 2017) leverages Fast R-CNN as object detector and applies distillation losses on the predictions of classification layer and bounding box regression layer. Built upon this first work, CIFRCN (Hao et al. 2019) additionally distills the intermediate features of

RPN by adopting Faster R-CNN. However, these knowledge distillation methods are specifically designed for 2D object detection backbones; how to apply knowledge distillation (e.g. what to distill) on the point cloud-based 3D object detection backbones is unknown. We adapt a standard 3D object detector to class-incremental 3D object detection task, and further show the effects of different choices in employing knowledge distillation on adapted 3D object detector. More recently, IncDet (Liu et al. 2020) adapts Elastic weight consolidation (EWC) (Kirkpatrick et al. 2017), a parameter-based regularization method, to class-incremental image object detection task. IncDet circumvents the co-occurrence challenge in class-incremental object detection by using pseudo bounding box annotations of old classes in new training samples. Similar to IncDet, we also utilize pseudo annotations of old classes to prevent the current model from mistakenly classifying old class objects as background in the new samples. Nonetheless, unlike its image-based counterpart, the generated pseudo annotations in 3D scenario are not very accurate and may cause performance degradation. We solve this issue by proposing a static-dynamic co-teaching technique.

Our Methodology

Problem Definition

In the class-incremental 3D object detection task, there are two non-overlapped sets of classes: base classes set C_{base} and novel classes set C_{novel} . A set of data D_{base} is available for C_{base} , and another set of data D_{novel} is available for C_{novel} . We define the **class-incremental 3D object detection** task as follows: given a well-trained 3D object detector Φ_B (i.e. base model) on D_{base} , our goal is to learn an incremental 3D object detector $\Phi_{B\cup N}$ (i.e. incremental model) using only D_{novel} , such that $\Phi_{B\cup N}$ is able to detect objects from all the classes seen so far, i.e. $C_{base} \cup C_{novel}$. To this end, we propose SDCoT: a novel Static-Dynamic Co-Teaching framework to achieve class-incremental learning on 3D object detection.

Anatomy of VoteNet

We use VoteNet (Qi et al. 2019) as the prototype of our 3D object detector because of its efficiency and simplicity in point cloud-based 3D object detection. In this section, we dissect the anatomy of VoteNet to reveal two observations that we leverage to adapt VoteNet for the design of our SDCoT.

Observation 1. VoteNet inherently includes two subsampling steps: 1) sub-sample M seeds (denoted as \mathbf{S} in Figure 2) from N input points via a feature learning backbone; and 2) sub-sample K votes from \mathbf{V} as cluster centers to

generate K proposals by aggregating neighboring votes. Due to the stochasticity of these sub-sampling steps in VoteNet, different sets of proposals are produced from the same input point cloud at different times.

Remark. The stochasticity of VoteNet implies that the sets of proposals generated from the base and the incremental models, respectively, are not aligned even for the same input point cloud. This impedes a direct comparison of the proposals, which is essential for training an incremental model via knowledge distillation. To circumvent this problem, we store all the indices of the sampled points and the indices of the sampled votes from the incremental model, and re-use these indices in the base model. Consequently, the two sets of proposals produced from the two models are aligned and can be compared to measure the output discrepancy.

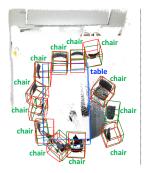
Observation 2. After obtaining the proposal features (denoted as **P** in Figure 2), VoteNet adopts one multi-layer perceptron (MLP) layer to yield prediction scores for each proposal. The prediction scores consist of 2 objectness scores, 3 center offsets, 2NH heading scores (NH heading bins), 4NC box size scores (NC size templates), and NC category scores. Note that the box size scores include 1 classification score and 3 size offsets for each size template, and the size templates correspond to the class categories. The size of prediction scores is fixed after VoteNet is trained.

Remark. The fixed prediction scores size of VoteNet after training is problematic for class-incremental learning. To enroll new classes in class-incremental learning, the weights for class-aware predictions need to be dynamically updated according to the addition of novel classes. We solve this problem by first decoupling the last MLP layer into two parts (*i.e.* regressor and classifier in Figure 2) to separate the category prediction from the predictions of other scores, and then adding new weights to the classifier according to the novel classes. We concurrently replace the class-aware size prediction with class-agnostic one to achieve a simpler implementation for class-incremental 3D object detection.

Our SDCoT

Pseudo Label Generation. A challenge in class-incremental learning of object detection is the high possibility of co-occurrence of different classes in some scenes. Concretely, there is a high probability that instances belonging to the base classes appear as background in the samples of D_{novel} . As a result, these regions that contain the old class objects are wrongly suppressed during incremental class training and thus expedite catastrophic forgetting. Moreover, the presence of base classes without annotations confuses the incremental learning model.

To overcome the co-occurrence challenge, we take a frozen copy of the base model Φ_B to generate pseudo labels with respect to C_{base} for each training sample in D_{novel} . The generation of pseudo labels from Φ_B can also be considered as a way to exploit previous knowledge. More specifically, after obtaining the predicted 3D bounding boxes (bboxes) from Φ_B , we filter out low-confidence bboxes by setting two thresholds with respect to the objectness score and classification probability, denoted as τ_o and τ_c . Unfortunately, the



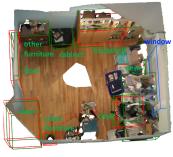


Figure 3: Example of generated pseudo labels from SUN RGB-D (left) and ScanNet (right). Red bboxes are generated pseudo annotations w.r.t C_{base} . Green and Blue bboxes are GT annotations w.r.t C_{base} and C_{novel} , respectively.

resulting pseudo labels with the hard thresholding strategy are often inaccurate and incomplete, *i.e.* there are missing annotations for some objects of base classes (see examples in Figure 3). Consequently, these inaccurate and incomplete labels can affect the learning of the incremental model. We alleviate the detrimental effects of these labels by a static-dynamic co-teaching strategy.

Static-Dynamic Co-Teaching. We design our staticdynamic co-teaching strategy based on the conjecture that the incremental model is less susceptible to noisy and incomplete labels when it is able to largely exploit the underlying knowledge from the base model and new data. Generally, the well-trained base model encodes valuable knowledge of base classes. In view of this, we adopt a frozen copy of the base model as our **static teacher**. Through the use of pseudo labels, we impede the catastrophic forgetting of base classes caused by the absence of base class annotations in novel training samples. To further exploit more knowledge from the base model, we introduce a distillation scheme with the aim of keeping responses from the base and incremental models to be as close as possible. Specifically, our distillation scheme targets the predicting layer and computes a distillation loss that measures the difference between the classification logits with respect to C_{base} from the base and incremental models. This knowledge distillation scheme can compensate for the missing labels with respect to C_{base} when the base class objects co-occur in a scene of D_{novel} . Furthermore, the responses, *i.e.* classification logits with respect to C_{base} can provide some useful information of the background, i.e. dark knowledge (Furlanello et al. 2018; Hinton, Vinyals, and Dean 2015), even when there is no base class object.

To exploit more information from the new data, we also design a **dynamic teacher** that is able to consistently learn the underlying knowledge in terms of both base and novel classes. The design of our dynamic teacher is inspired by Mean Teacher (Tarvainen and Valpola 2017): a self-ensembling technique that is originally proposed to effectively exploit unlabeled data for reducing over-fitting in semi-supervised learning. SESS (Zhao, Chua, and Lee 2020) adapts this self-ensembling technique to semi-supervised 3D object detection task by proposing a perturbation scheme and a consistency

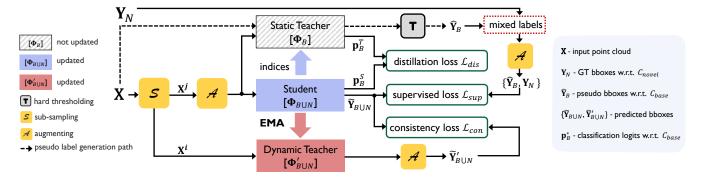


Figure 4: The architecture of our SDCoT. The student and two teachers are 3D object detectors based on modified VoteNet.

loss that enforces the consensus of locations, sizes, categories of the output proposals between a student and a teacher network. More importantly, they show that their superior performance under 100% labeled data is due to the consistency regularization of the mean-teacher paradigm, which gives their framework the capability to exploit additional underlying knowledge from the data. Thus, we incorporate the dynamic teacher, and adopt the perturbation scheme and the consistency loss of SESS in our SDCoT for a deeper knowledge exploitation of the new data. Consequently, the dynamic teacher guides the incremental model to be more robust against imperfect pseudo labels in new data and also concurrently to be more expressive on new classes.

SDCoT Details. The architecture of our SDCoT is illustrated in Figure 4. It consists of three networks: one student, one static teacher, and one dynamic teacher. Both the student and two teacher networks are 3D object detectors that use the modified VoteNet as backbone. Particularly, the student is the incremental detector $\Phi_{B\cup N}$ that incrementally learns from C_{novel} . It is co-taught by the static and dynamic teachers. The static teacher is a frozen copy of the base model Φ_B , which is used to generate pseudo labels for objects of C_{base} in D_{novel} and prevent the incremental model from drifting too much away from the base model. The dynamic teacher network $\Phi_{B \cup N}'$ is an exponential moving average of the student network, which dynamically generates targets of all classes for the student network. Note that the parameters of the student and dynamic teacher networks are initialized from Φ_B , with the exception that the added weights in the classifier for novel classes are randomly initialized.

Given an input point cloud denoted as \mathbf{X} in Figure 4, our SDCoT first forwards it to the static teacher to generate K 3D bounding boxes (*i.e.* proposals) for the base classes. A subset of these 3D bboxes are selected as pseudo labels $\hat{\mathbf{Y}}_B$ by thresholding with τ_o and τ_c . The pseudo labels $\hat{\mathbf{Y}}_B$ are combined with the ground-truth labels of novel classes \mathbf{Y}_N to form "mix labels".

Concurrently, SDCoT sub-samples the input point cloud twice to get two point clouds, *i.e.* \mathbf{X}^i and \mathbf{X}^j in Figure 4. \mathbf{X}^i is directly passed to the dynamic teacher network, while \mathbf{X}^j is further augmented before inputting into the student and static teacher networks. The sub-sampling and augmentation (*i.e.* stochastic flipping, rotation, and scaling) are components

of the perturbation scheme, which allows the model to learn useful knowledge rather than memorizing the training data.

As discussed in Observation 1, the two stochastic sampling steps (i.e. the sampling of seeds and votes) cause the base and incremental models to give unaligned proposals despite the same input. We overcome this problem by re-using the selected indices yielded by the student in the static teacher network. A **distillation loss** \mathcal{L}_{dis} is computed to measure the discrepancy between the classification logits of the proposals from the static teacher (i.e. \mathbf{p}_B^T in Figure 4) and the logits corresponding to C_{base} from the student (i.e. \mathbf{p}_B^S in Figure 4). We normalize the classification logits by subtracting its mean over class dimension, which yield $\bar{\mathbf{p}}_B^T$ and $\bar{\mathbf{p}}_B^S$, respectively. More formally, the distillation loss is computed as:

$$\mathcal{L}_{dis} = \frac{1}{K} \sum_{i=1}^{K} ||\bar{\mathbf{p}}_{B,i}^{S} - \bar{\mathbf{p}}_{B,i}^{T}||_{2}.$$
 (1)

 $ar{\mathbf{p}}_{B,i}^*$ is a $|C_{base}|$ -dimensional vector, which represents normalized classification logit of i-th 3D object proposal. On the other hand, the output proposals of the student network (i.e. $\tilde{\mathbf{Y}}_{B\cup N}$ in Figure 4) are compared with: 1) the mixed labels $\{\hat{\mathbf{Y}}_B, \mathbf{Y}_N\}$ transformed by the same augmentation step that is applied on \mathbf{X}^j to compute a **supervised loss** \mathcal{L}_{sup}^{-1} , similar as the multi-task loss in VoteNet; and 2) the output proposals of the dynamic teacher network $\tilde{\mathbf{Y}}_{B\cup N}'$ transformed by the same augmentation step as above to compute a **consistency loss** \mathcal{L}_{con} as in SESS, respectively.

At each training iteration t, the student network is updated by the stochastic gradient descent based on a weighted sum of the three losses:

$$\mathcal{L} = \lambda_s \mathcal{L}_{sup} + \lambda_d \mathcal{L}_{dis} + \lambda_c \mathcal{L}_{con}.$$
 (2)

After updating the student network, the dynamic teacher is updated as an exponential moving average (EMA) of the student parameters: $\Phi'_t = \alpha \Phi'_{t-1} + (1-\alpha)\Phi_t^{\ 2}$. α is a hyperparameter to determine the amount of information taken from the student network. At inference time, an input point cloud is directly passed to the dynamic teacher network³ to predict

¹The details of \mathcal{L}_{sup} are provided in the supplementary material.

²The subscripts of $\Phi_{B\cup N}$ and $\Phi'_{B\cup N}$ are omitted for brevity. ³Both the student and dynamic teacher networks can be used for prediction during inference. We empirically found that the dynamic teacher gives better prediction results and thus use it for inference.

a set of 3D bounding boxes, which are post-processed by a 3D NMS module.

Discussion. Interestingly, the static teacher and the dynamic teacher are opposing each other. The conservative former is preventing the student from deviating too much from the base model, while the radical latter is pushing the student to update with new knowledge. Nonetheless, an equilibrium would be reached by the knowledge distilling static teacher and the consistency regularizing dynamic teacher when the co-training converges.

Experiments

Datasets and Settings

Datasets. We evaluate SDCoT on the SUN RGB-D 3D object detection benchmark and ScanNet dataset. **SUN RGB-D** (Song, Lichtenberg, and Xiao 2015) consists of 5,285 training samples and 5,050 validation samples for hundreds of object classes. To be consistent with the standard evaluation protocol in prior works (*e.g.* VoteNet), we perform evaluation on the 10 most common categories. **ScanNet** (Dai et al. 2017) consists of 1,201 training samples and 312 validation samples, where there is no amodal oriented 3D bounding boxes but point-level semantic segmentation labels. We follow VoteNet to derive the axis-aligned bounding boxes from the point-level labeling and adopt the same 18 object classes for evaluation. The differences between the two datasets are highlighted in the supplementary material.

Setup. To customize the datasets to the class-incremental learning setting, we take a subset of classes in alphabetical order from each dataset as C_{base} and treat the remaining as C_{novel} , following the class splitting strategy in class-incremental image-based object detection (Shmelkov, Schmid, and Alahari 2017). D_{base} is composed of training samples that contain any class of C_{base} and ignores annotations for C_{novel} . D_{novel} is constructed in a similar way. Note that D_{base} and D_{novel} may contain the same sample, but the annotations of this sample are different due to the change of interest on the classes.

Evaluation metric. We adopt the widely used metric in 3D point cloud object detection, *i.e.* mean average precision (mAP). By default, we report mAP under 3D IoU threshold 0.25, denoted as mAP@0.25, in the following experiments.

Implementation Details

We set τ_o and τ_c that control the selection of pseudo labels as 0.95 and 0.9, respectively. The weights in the loss function (i.e. Eq. 2) are set as λ_s =10, λ_d =1, λ_c =10. We adopt a rampup technique (Tarvainen and Valpola 2017) to schedule the respective contributions of λ_d and λ_c . Specifically, λ_d and λ_c ramp up from 0 to their corresponding maximum value during the first 30 epochs, using a sigmoid-shaped function $e^{-5(1-t)^2}$, where t increases linearly from 0 to 1 during the ramp-up period. Following SESS, we set α in EMA as 0.99 during the ramp-up period and raise it to 0.999 in the following training. The base model Φ_B and the student network $\Phi_{B\cup N}$ are trained by an Adam optimizer. The initial learning

rate for Φ_B is set to 0.001 and then decayed by 0.1 at the 80^{th} and 120^{th} epoch. The initial learning rate for $\Phi_{B\cup N}$ varies based on the settings of class-incremental learning.

Baselines

We design two direct and naive baselines for class-incremental 3D object detection. The first is "freeze and add": freeze the base model Φ_B that is well-trained with D_{base} , and then add a new classifier for C_{novel} trained on D_{novel} to the classifier branch of Φ_B . The other is "fine-tuning": fine-tune all parameters of the base model (except the old classifier) as well as a new classifier for C_{novel} (randomly initialized) with D_{novel} . In addition to the two naive baselines, we also compare our SDCoT with its three variants, i.e. without either the distillation loss (\mathcal{L}_{dis}) or the consistency loss (\mathcal{L}_{con}). Concretely, we remove the entire dynamic teacher when w/o \mathcal{L}_{con} is applied; and the static teacher is just used to generate pseudo labels when w/o \mathcal{L}_{dis} is applied. Finally, joint training that is trained on all the classes serves as the upper-bound.

Quantitative Results

We evaluate the effectiveness of SDCoT in class-incremental 3D object detection task by designing two different scenarios: 1) batch incremental learning: all the novel classes are available at once for $\Phi_{B\cup N}$ to update; and 2) sequential incremental learning: the novel classes are split into subsets and become available sequentially. Note that the next static teacher network is updated by the current learned student network in sequential incremental learning. Furthermore, we consider different settings on the number of novel classes in batch incremental learning to eliminate the bias caused by particular classes. Specifically, we evaluate on three settings: a) $|C_{novel}| = |C_{base}|$; b) $|C_{novel}| < |C_{base}|$ and $|C_{novel}| > 1$; c) $|C_{novel}| = 1$.

Batch incremental learning. Table 1 and 2 show the comparison results of batch incremental 3D object detection performed under the three settings on SUN RGB-D and ScanNet, respectively. In each table, the upper part is a standard training on C_{base} , the middle part lists the results when C_{novel} is incrementally added, and the bottom part is an upper-bound jointly trained on $C_{base} \cup C_{novel}$. As can be seen from the tables, the two naive solutions (i.e. freeze and add, and finetuning) lead to extremely poor performance on either novel classes or base classes in all settings on both datasets. It is apparent that the "freeze and add" solution leads to sub-optimal results on C_{novel} , although it can largely preserve the performance on C_{base} . On the other hand, "fine-tuning" the model with new object classes leads to catastrophic forgetting of old classes.

It is notable that incorporating pseudo labels into ground-truth labels (see 4^{th} row of Table 1 and 2) can greatly help the incremental model preserve the knowledge from the previous classes. Furthermore, compared to only using mixed labels, the addition of the distillation loss (see 6^{th} row of Table 1 and 2) gains various improvements on the base classes in different settings. This shows that the distillation loss do help exploit extra knowledge from the static teacher. We also notice that the performance with \mathcal{L}_{dis} surpasses that without

| | Method | $ C_{novel} = 5$ | | | $ C_{novel} = 3$ | | | $ C_{novel} = 1$ | | |
|---|---|-------------------|--------------|--------------|-------------------|--------------|--------------|-------------------|--------------|--------------|
| | Method | Base | Novel | All | Base | Novel | All | Base | Novel | All |
| 1 | Base training | 57.58 | _ | _ | 53.73 | _ | _ | 55.10 | _ | _ |
| 2 | Freeze and add | 54.24 | 10.61 | 32.42 | 51.94 | 12.64 | 40.16 | 54.63 | 0.9 | 49.26 |
| 3 | Fine-tuning | 3.48 | 54.09 | 28.79 | 4.1 | 60.17 | 20.92 | 14.86 | 1.38 | 13.51 |
| 4 | SDCoT w/o \mathcal{L}_{dis} & \mathcal{L}_{con} | 52.17 | 50.12 | 51.14 | 38.96 | 63.68 | 46.38 | 26.83 | 24.77 | 26.63 |
| 5 | SDCoT w/o \mathcal{L}_{dis} | 50.35 | 59.88 | 55.12 | 37.91 | 66.39 | 46.45 | 30.85 | 29.96 | 30.76 |
| 6 | SDCoT w/o \mathcal{L}_{con} | 52.92 | 57.11 | 55.01 | 41.81 | 63.45 | 48.30 | 31.61 | 25.78 | 31.02 |
| 7 | SDCoT | <u>53.61</u> | <u>60.80</u> | <u>57.21</u> | <u>44.48</u> | <u>67.41</u> | <u>51.36</u> | <u>36.81</u> | <u>42.69</u> | <u>37.40</u> |
| 8 | Joint training | 58.92 | 58.80 | 58.86 | 54.80 | 68.33 | 58.86 | 55.36 | 90.36 | 58.86 |

Table 1: Batch incremental 3D object detection performance (mAP@0.25) on SUN RGB-D val set. All the methods listed in the middle table incrementally learn on $|C_{novel}|$ novel classes. Base training is with $(10 - |C_{novel}|)$ base classes and joint training is with all 10 classes.

| | Method | (| $ C_{novel} =$ | 9 | $ C_{novel} = 4$ | | | $ C_{novel} = 1$ | | |
|---|---|-------|-----------------|--------------|-------------------|--------------|--------------|-------------------|--------------|--------------|
| | Method | Base | Novel | All | Base | Novel | All | Base | Novel | All |
| 1 | Base training | 60.75 | _ | _ | 53.14 | _ | _ | 56.89 | _ | _ |
| 2 | Freeze and add | 58.85 | 4.22 | 31.53 | 49.85 | 3.15 | 39.47 | 56.24 | 0.29 | 53.14 |
| 3 | Fine-tuning | 1.91 | 52.39 | 27.15 | 1.09 | 59.44 | 14.05 | 0.25 | 12.98 | 0.96 |
| 4 | SDCoT w/o \mathcal{L}_{dis} & \mathcal{L}_{con} | 53.09 | 46.42 | 49.76 | 48.27 | 63.87 | 51.74 | 47.91 | 27.89 | 46.80 |
| 5 | SDCoT w/o \mathcal{L}_{dis} | 51.21 | 53.58 | 52.39 | 48.45 | 69.82 | 53.19 | 48.60 | 30.07 | 47.57 |
| 6 | SDCoT w/o \mathcal{L}_{con} | 53.31 | 51.22 | 52.26 | 48.54 | 67.52 | 52.76 | 49.31 | 30.52 | 48.26 |
| 7 | SDCoT | 53.75 | <u>54.91</u> | <u>54.33</u> | <u>49.50</u> | <u>70.85</u> | <u>54.25</u> | <u>52.01</u> | <u>31.71</u> | <u>50.89</u> |
| 8 | Joint training | 58.90 | 54.13 | 56.51 | 53.16 | 68.23 | 56.51 | 57.83 | 34.16 | 56.51 |

Table 2: Batch incremental 3D object detection performance (mAP@0.25) on ScanNet val set. All the methods listed in the middle table incrementally learn on $|C_{novel}|$ novel classes. Base training is with $(18 - |C_{novel}|)$ base classes and joint training is with all 18 classes.

 \mathcal{L}_{dis} on the novel classes in most settings. The outperformance may be due to the advantage of the distillation loss in preventing background regions from confusing the incremental model. When the consistency loss is added (see 5^{th} row of Table 1 and 2), we observe consistent and significant improvements on the novel classes on all settings. The improvements show that the dynamic teacher is very useful in learning the underlying knowledge from new data. Finally, despite the dataset and setting differences, our SDCoT combining the three losses (see 7^{th} row of Table 1 and 2) achieves the best performance on both base and novel classes compared to its three variants. This clearly demonstrates the superiority of SDCoT in adapting to novel knowledge while maintaining the previous knowledge. It also empirically agrees with our conjecture, i.e. the deep distillation of knowledge from the new data and base model makes the model be less susceptible to noisy and incomplete pseudo labels.

It is interesting to see that in some settings, $e.g.\ |C_{novel}|=5$ on SUN RGB-D and $|C_{novel}|=9$ on ScanNet, SDCoT outperforms the upper-bound on novel classes. We attribute this outperformance to the cooperation of consistency regularization provided by the dynamic teacher and the confusion alleviation supported by the static teacher. Another interesting finding is the large performance gap between SDCoT and the upper-bound when only the "toilet" class is added (i.e. $|C_{novel}|=1$) on SUN RGB-D. This is likely due to

the "toilet" class having very few instances (*c.f.* Table 1 in the supplementary material) in the training set, which are insufficient for the model to learn well.

Sequential incremental learning. In Table 3 and 4, we show per-class average precision (AP) of SDCoT when novel classes are added sequentially for class-incremental learning. We evaluate with two consecutive subsets of novel classes on SUN RGB-D and ScanNet, respectively. The incremental model adapts to the first subset of classes from the previous base model, it is subsequently treated as the base model and adapts to the second subset of classes. On SUN RGB-D, we achieve 44.13% mAP on all classes (see last entry of 3^{rd} row in Table 3) after adding 5 novel classes in two consecutive batches, which is lower than 57.21% achieved by adding the 5 classes at once (see the entry at 4^{th} column and 7^{th} row of Table 1). Similar pattern is found on ScanNet: the performance (i.e. 40.89% mAP) after sequentially adding 4 novel classes is lower than 54.25% obtained by adding 4 classes together. According to the performance of each individual base class in Table 3 and 4, we find that the classes which undergoes severe performance degradation during sequential incremental learning usually have relatively poor detection ability at the beginning stage, i.e. base training. Despite the performance drop of sequential incremental learning compared to batch incremental learning, it does not cause a severe catastrophic forgetting like fine-tuning.

| | | bathtub | bed | bookshelf | chair | desk | dresser | nightstand | sofa | table | toilet | mAP |
|---|-----------|---------|-------|-----------|-------|-------|---------|------------|-------|-------|--------|-------|
| 1 | B[1-5] | 73.97 | 84.71 | 30.19 | 75.09 | 23.93 | | | | | | 57.58 |
| 2 | +N[6,7,8] | 51.57 | 84.04 | 23.83 | 62.83 | 16.94 | 26.04 | 57.34 | 59.75 | | | 47.79 |
| 3 | +N[9,10] | 36.59 | 79.60 | 10.35 | 60.12 | 15.16 | 12.80 | 35.15 | 56.51 | 46.95 | 88.08 | 44.13 |
| 4 | B[1-10] | 78.49 | 84.31 | 32.62 | 73.73 | 25.44 | 30.90 | 58.11 | 64.15 | 50.48 | 90.36 | 58.86 |

Table 3: Per-class performance (AP@0.25) of SDCoT on SUN RGB-D val set. *Setting*: sequential incremental learning of 5 novel classes. B[1-5] denotes standard training on 5 base classes. B[1-10] denotes joint training on all classes.

| | bath | bed | bkshf | cabnt | chair | cntr | curtn | desk | door | |
|-----------------------------------|----------------|----------------|-----------------|----------------|----------------|------------------------|----------------|----------------|----------------|-----------|
| B[1-14] | 75.93 | 84.17 | 47.86 | 35.73 | 87.09 | 51.50 | 44.02 | 68.67 | 45.52 | |
| +N[15,16] +N[17,18] | 49.10 39.31 | 84.28 83.22 | 39.24 37.60 | 30.70 18.62 | 86.16 82.04 | 39.16 0.39 | 40.29 30.76 | 58.86 36.78 | 35.09 21.57 | |
| B[1-18] | 70.85 | 85.12 | 46.70 | 37.37 | 85.79 | 54.15 | 40.83 | 66.08 | 43.17 | |
| | | | | | | | | | | |
| | ofurn | pic | refrig | showr | sink | sofa | table | toil | wind | mAP |
| B[1-14] | ofurn 41.47 | pic 6.86 | refrig 44.08 | showr 60.13 | sink 50.97 | sofa | table | toil | wind | mAP 53.14 |
| B[1-14] +N[15,16] +N[17,18] | | 1 | | | | sofa 86.65 84.32 | 56.66 57.18 | toil 95.34 | wind 37.73 | |

Table 4: Per-class performance (AP@0.25) of SDCoT on ScanNet val set. *Setting*: sequential incremental learning of 4 novel classes. B[1-14] denotes standard training on 14 base classes. B[1-18] denotes joint training on all classes.

| class | center | size | Base | Novel | All |
|----------|--------|------|-------|-------|-------|
| ✓ | ✓ | | 52.54 | | |
| ✓ | | 1 | 53.57 | 60.38 | 56.98 |
| ✓ | ✓ | ✓ | 52.53 | 60.37 | 56.45 |
| √ | | | 53.61 | 60.80 | 57.21 |

Table 5: Effects of different distillation targets under the setting of $|C_{novel}|=5$ on SUN RGB-D dataset.

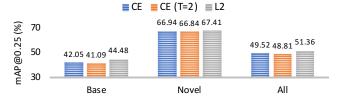


Figure 5: Effects of different distillation loss functions under the setting of $|C_{novel}|=3$ on SUN RGB-D dataset.

Design Choices of Distillation Loss

We investigate the effects of various designs of the distillation loss. More specifically, we study different distillation targets (*i.e.* classification logit, bounding box regression values including *center* and *size*) and alternative loss functions (*i.e.* cross-entropy and knowledge distillation losses).

What to distill? Table 5 summarizes the effects of using different distilled targets when computing the final distillation loss. Note that we compute the mean square error between the corresponding outputs from Φ_B and $\Phi_{B\cup N}$ for size- and

center-aware distillation losses, in addition to our original class-aware distillation loss. As can be seen from the table, the size- and center-aware distillation are unable to extract more useful information from the previous knowledge. In fact, they slightly harm the performance on the base classes in the given setting. Consequently, we only distill knowledge from the classification logits.

How to distill? To evaluate the effects of different loss functions, we replace the L2 norm loss in Eq. 1 with crossentropy loss and knowledge distillation loss (Hinton, Vinyals, and Dean 2015) that is an cross-entropy loss with temperature, respectively. Figure 5 shows that the L2 norm loss is a better choice for class-incremental 3D object detection.

Qualitative Results

Figure 7 and 8 show the qualitative results of our SDCoT on SUN RGB-D and ScanNet, respectively. Despite the very challenging (*e.g.* partially visible objects and cluttered scenes) and diverse (*e.g.* bedroom, bathroom, and conference room) scenes, our SDCoT is able to nicely detect the novel classes as well as greatly retain the detection capacity on the base classes in all these scenes. In addition, we provide some failure examples in the supplementary material.

Compatibility with Replayed Exemplars

In the class-incremental learning of image classification task, it is common to store a small set of samples from old data (*i.e.* exemplars) to prevent catastrophic forgetting. However, the amount of its contribution in the class-incremental 3D object detection task is unclear. We adopt the simplest but effective

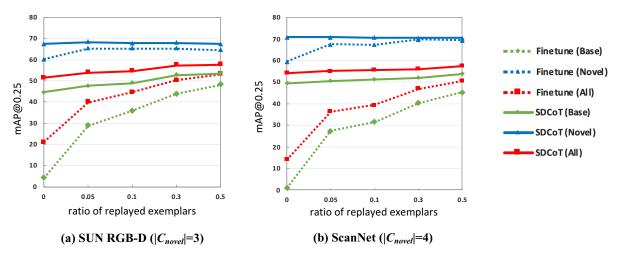


Figure 6: Comparison with fine-tuning baseline on SUN RGB-D and ScanNet val sets with varying ratios of old data. Setting: batch incremental 3D object detection with $|C_{novel}|$ novel classes.

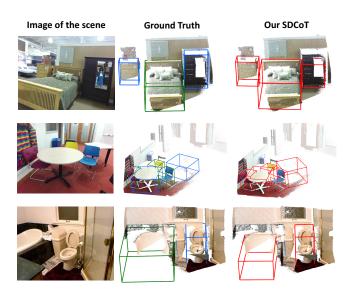


Figure 7: Qualitative results on SUN RGB-D val set. Green and Blue represent GT annotations w.r.t C_{base} and C_{novel} , respectively.

strategy, *i.e.* random sampling (Chaudhry et al. 2018), to select exemplars from old data⁴. Interestingly, our SDCoT can easily incorporate these exemplars into the "mixed labels" as labeled instances without any change to the framework. To demonstrate the effects of different number of replayed exemplars in class-incremental 3D object detection, we sample different ratios of old data and compare the results with the baseline method (*i.e.* fine-tuning) on the two datasets, as shown in Figure 6. As can be seen, when more replayed exemplars are added, fine-tuning baseline achieves significant improvements on base classes while our SDCoT only gets very slight improvements. This indicates that our method



Figure 8: Qualitative results on ScanNet val set. Green and Blue represent GT annotations w.r.t C_{base} and C_{novel} , respectively.

is capable of persevering old knowledge, which makes it less sensitive to the addition of replayed exemplars. Furthermore, it can be seen that our SDCoT consistently outperforms fine-tuning over all percentages of replaying (*c.f.* the supplementary material for the numerical comparisons).

Conclusion

This paper studies the new and practical problem of class-incremental 3D object detection. To this end, we proposed SDCoT: an effective static-dynamic co-teaching method to incrementally detect novel classes without revisiting any previous training sample. Our SDCoT greatly addresses the catastrophic forgetting issue and further helps the model adapt to the novel classes. We demonstrated the effectiveness SDCoT over a variety of class-incremental 3D object detection scenarios on SUN RGB-D and ScanNet datasets. We hope that our study serves as a motivation for future works on this practical problem.

⁴We ensure that all base classes are present in the exemplars, or otherwise we re-sample until the condition is met.

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