# **Probing Natural Language Inference Models through Semantic Fragments**

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#### Abstract

Do state-of-the-art models for language understanding already have, or can they easily learn, abilities such as boolean coordination, quantification, conditionals, comparatives, and monotonicity reasoning (i.e., reasoning about word substitutions in sentential contexts)? While such phenomena are involved in natural language inference (NLI) and go beyond basic linguistic understanding, it is unclear the extent to which they are captured in existing NLI benchmarks and effectively learned by models. To investigate this, we propose the use of semantic fragments-systematically generated datasets that each target a different semantic phenomenon-for probing, and efficiently improving, such capabilities of linguistic models. This approach to creating challenge datasets allows direct control over the semantic diversity and complexity of the targeted linguistic phenomena, and results in a more precise characterization of a model's linguistic behavior. Our experiments, using a library of 8 such semantic fragments, reveal two remarkable findings: (a) State-of-the-art models, including BERT, that are pre-trained on existing NLI benchmark datasets perform poorly on these new fragments, even though the phenomena probed here are central to the NLI task; (b) On the other hand, with only a few minutes of additional finetuning-with a carefully selected learning rate and a novel variation of "inoculation"-a BERT-based model can master all of these logic and monotonicity fragments while retaining its performance on established NLI benchmarks.

#### Introduction

Natural language inference (NLI) is the task of detecting inferential relationships between natural language descriptions. For example, given the pair of sentences *All dogs chased some cat* and *All small dogs chased a cat* shown in Figure 1, the goal for an NLI model is to determine that the second sentence, known as the **hypothesis** sentence, follows from the meaning of the first sentence (the **premise** sentence). Such a task is known to involve a wide range of reasoning and knowledge phenomena, including knowledge that goes beyond basic linguistic understanding (e.g., elementary logic). As one example of such knowledge, the *inference* in Figure 1 involves monotonicity reasoning (i.e.,

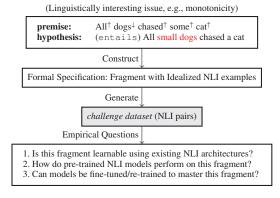


Figure 1: An illustration of our proposed method for studying NLI model behavior through *semantic fragments*.

reasoning about word substitutions in context); here the position of *dogs* in the premise occurs in a *downward monotone* context (marked as  $\downarrow$ ), meaning that it can be *specialized* (i.e., substituted with a more specific concept such as *small dogs*) to generate an entailment relation. In contrast, substituting *dogs* for a more generic concept, such as *animal*, has the effect of generating a NEUTRAL inference.

In an empirical setting, it is desirable to be able to measure the extent to which a given model captures such types of knowledge. We propose to do this using a suite of controlled dataset probes that we call *semantic fragments*.

While NLI has long been studied in linguistics and logic and has focused on specific types of logical phenomena such as monotonicity inference, attention to these topics has come only recently to empirical NLI. Progress in empirical NLI has accelerated due to the introduction of new large-scale NLI datasets, such as the Stanford Natural Language Inference (SNLI) dataset (Bowman et al. 2015) and MultiNLI (MNLI) (Williams, Nangia, and Bowman 2018), coupled with new advances in neural modeling and model pre-training (Conneau et al. 2017; Devlin et al. 2019). With these performance increases has come increased scrutiny of systematic annotation biases in existing datasets (Poliak et al. 2018b; Gururangan et al. 2018), as well as attempts to

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build new *challenge datasets* that focus on particular linguistic phenomena (Glockner, Shwartz, and Goldberg 2018; Naik et al. 2018; Poliak et al. 2018a). The latter aim to more definitively answer questions such as: are models able to effectively learn and extrapolate complex knowledge and reasoning abilities when trained on benchmark tasks?

To date, studies using challenge datasets have largely been limited by the simple types of inferences that they included (e.g., lexical and negation inferences). They fail to cover more complex reasoning phenomena related to logic, and primarily use adversarially generated corpus data, which sometimes makes it difficult to identify exactly the particular semantic phenomena being tested for. There is also a focus on datasets that are easily able to be constructed and/or verified using crowd-sourcing techniques. Adequately evaluating a model's *competence* on a given reasoning phenomena, however, often requires datasets that are hard even for humans, but that are nonetheless based on sound formal principles (e.g., reasoning about monotonicity where, in contrast to the simple example in Figure 1, several nested downward monotone contexts are involved to test the model's capacity for compositionality, cf. Lake and Baroni (2017)).

In contrast to existing work on challenge datasets, we propose using semantic fragments-synthetically generated challenge datasets, of the sort used in linguistics, to study NLI model behavior. Semantic fragments provide the ability to systematically control the semantic complexity of each new challenge dataset by bringing to bear the expert knowledge excapsulated in formal theories of reasoning, making it possible to more precisely identify model performance and competence on a given linguistic phenomenon. While our idea of using fragments is broadly applicable to any linguistic or reasoning phenomena, we look at eight types of fragments that cover several fundamental aspects of reasoning in NLI, namely, monotonicity reasoning using two newly constructed challenge datasets as well as six other fragments that probe into rudimentary logic using new versions of the data from Salvatore, Finger, and Hirata Jr (2019).

As illustrated in Figure 1, our proposed method works in the following way: starting with a particular linguistic fragment of interest, we create a formal specification (or a formal rule system with certain guarantees of correctness) of that fragment, with which we then automatically generate a new *idealized* challenge dataset, and ask the following three empirical questions. 1) Is this particular fragment learnable from scratch using existing NLI architectures (if so, are the resulting models useful)? 2) How well do large state-of-theart pre-trained NLI models (i.e., models trained on all known NLI data such as SNLI/MNLI) do on this task? 3) Can existing models be *quickly* re-trained or re-purposed to be robust on these fragments (if so, does mastering a given linguistic fragment affect performance on the original task)?

We emphasize the *quickly* part in the last question; given the multitude of possible fragments and linguistic phenomena that can be formulated and that we expect a widecoverage NLI model to cover, we believe that models should be able to efficiently learn and adapt to new phenomena as they are encountered without having to learn entirely from scratch. In this paper we look specifically at the question: are there particular linguistic fragments (relative to other fragments) that are hard for these pre-trained models to adapt to or that confuse the model on its original task?

On these eight fragments, we find that while existing NLI architectures can effectively learn these particular linguistic pheneomena, pre-trained NLI models do not perform well. This, as in other studies (Glockner, Shwartz, and Goldberg 2018), reveals weaknesses in the ability of these models to generalize. While most studies into linguistic probing end the story there, we take the additional step to see if attempts to continue the learning and re-fine-tune these models on fragments (using a novel and cheap inoculation (Liu, Schwartz, and Smith 2019) strategy) can improve performance. Interestingly, we show that this yields mixed results depending on the particular linguistic phenomena and model being considered. For some fragments (e.g., comparatives), re-training some models comes at the cost of degrading performance on the original tasks, whereas for other phenomena (e.g., monotonicity) the learning is more stable, even across different models. These findings, and our technique of obtaining them, make it possible to identify the degree to which a given linguistic phenomenon stresses a benchmark NLI model, and suggest a new methodology for quickly making models more robust.

### **Related Work**

The use of semantic fragments has a long tradition in logical semantics, starting with the seminal work of Montague (1973), as well as earlier work on NLI (Cooper et al. 1996). We follow Pratt-Hartmann (2004) in defining a semantic fragment more precisely as a subset of a language equipped with semantics which translate sentences in a formal system such as first-order logic. In contrast to work on empirical NLI, such linguistic work often emphasizes the complex cases of each phenomena in order measure competence (see Chomsky (1965) for a discussion about competence vs. performance). For our fragments that test basic logic, the target formal system includes basic boolean algebra, quantification, set comparisons and counting (see Figure 2), and builds on the datasets from Salvatore, Finger, and Hirata Jr (2019). For our second set of fragments that focus on monotonicity reasoning, the target formal system is based on the monotonicity calculus of van Benthem (1986) (see review by Icard and Moss (2014)). To construct these datasets, we build on recent work on automatic polarity projection (Hu and Moss 2018; Hu, Chen, and Moss 2019; Hu et al. 2019).

Our work follows other attempts to learn neural models from fragments and small subsets of language, which includes work on syntactic probing (McCoy, Pavlick, and Linzen 2019; Goldberg 2019), probing basic reasoning (Weston et al. 2015; Geiger et al. 2018; 2019) and probing other tasks (Lake and Baroni 2017; Chrupała and Alishahi 2019; Warstadt et al. 2019). Geiger et al. (2018) is the closest work to ours. However, they intentionally focus on artificial fragments that deviate from ordinary language, whereas our fragments (despite being automatically constructed and sometimes a bit pedantic) aim to test naturalistic subsets of English. In a similar spirit, there have been other attempts

Fragments	<pre>Example (premise,label,hypothesis)</pre>	Genre	Vocab. Size	# Pairs	Avg. Sen. Len.
Negation	Laurie has only visited Nephi, Marion has only visited Calistoga. Countries/Trave CONTRADICTION Laurie didn't visit Calistoga		3,581	5,000	20.8
Boolean	Travis, Arthur, Henry and Dan have only visited Georgia Countries/Travel   ENTAILMENT Dan didn't visit Rwanda		4,172	5,000	10.9
Quantifier	Everyone has visited every place   Countries/Travel     NEUTRAL Virgil didn't visit Barry   Countries/Travel		3,414	5,000	9.6
Counting	<i>Nellie has visited Carrie, Billie, John, Mike, Thomas, Mark,, and Arthur.</i> ENTAILMENT <i>Nellie has visited more than 10 people.</i> Countries/Travel		3,879	5,000	14.0
Conditionals	Francisco has visited Potsdam and if Francisco has visited Potsdam Count then Tyrone has visited Pampa ENTAILMENT Tyrone has visited Pampa.		4,123	5,000	15.6
Comparatives	John is taller than Gordon and Erik, and Mitchell is as tall as John People/I NEUTRAL Erik is taller than Gordon.		1,315	5,000	19.9
Monotonicity	All black mammals saw exactly 5 stallions who danced ENTAILMENT A brown or black poodle saw exactly 5 stallions who danced	Animals	119	10,000	9.38
SNLI+MNLI	During calf roping a cowboy calls off his horse. CONTRADICTION A man ropes a calf successfully.	Mixed	101,110	942,069	12.3

Figure 2: Information about the semantic fragments considered in this paper, where the top four fragments test basic logic (Logic Fragments) and the last fragment covers monotonicity reasoning (Mono. Fragment).

to collect datasets that target different types of inference phenomena (White et al. 2017; Poliak et al. 2018a), which have been limited in linguistic complexity. Other attempts to study complex phenomena such as monotonicity reasoning in NLI models has been limited to training data augmentation (Yanaka et al. 2019b), whereas we create several new challenge test sets to directly evaluate NLI performance on each phenomenon (see Yanaka et al. (2019a) for closely related work that appeared concurrently with our work).

Unlike existing work on building NLI challenge datasets (Glockner, Shwartz, and Goldberg 2018; Naik et al. 2018), we focus on the trade-off between mastering a particular linguistic fragment or phenomena independent of other tasks and data (i.e., Question 1 from Figure 1), while also maintaining performance on other NLI benchmark tasks (i.e., related to Question 3 in Figure 1). To study this, we introduce a novel variation of the inoculation through finetuning methodology of Liu, Schwartz, and Smith (2019), which emphasizes maximizing the model's aggregate score over multiple tasks (as opposed to only on challenge tasks). Since our new challenge datasets focus narrowly on particular linguistic phenomena, we take this in the direction of seeing more precisely the extent to which a particular linguistic fragment stresses an existing NLI model. In addition to the task-specific NLI models looked at in Liu, Schwartz, and Smith (2019), we inoculate with the state-of-the-art pretrained BERT model, using the fine-tuning approach of Devlin et al. (2019), which itself is based on the transformer architecture of Vaswani et al. (2017).

## **Some Semantic Fragments**

As shown in Figure 1, given a particular semantic fragment or linguistic phenomenon that we want to study, our starting point is a formal specification of that fragment (e.g., in the form of a set of templates/formal grammar that encapsulate expert knowledge of that phenomenon), which we can sample in order to obtain a new challenge set. In this section, we describe the construction of the particular fragments we investigate in this paper, which are illustrated in Figure 2. While these particular fragments seem to capture many of the core phenomena involved in NLI, we emphasize that any arbitrary linguistic fragment of interest could be constructed and subjected to the sets of experiments we describe in the next section.

The Logic Fragments The first set of fragments probe into problems involving rudimentary logical reasoning. Using a fixed vocabulary of people and place names, individual fragments cover *boolean coordination* (boolean reasoning about conjunction and), simple negation, quantification and quantifier scope (quantifier), comparative relations, set counting, and conditional phenomena all related to a small set of traveling and height relations.

These fragments (with the exception of the conditional fragment, which was built specially for this study) were first built using the set of verb-argument templates first described in Salvatore, Finger, and Hirata Jr (2019). Since their original rules were meant for 2-way NLI classification (i.e., ENTAILMENT and CONTRADICTION), we repurposed their rule sets to handle 3-way classification, and added other inference rules, which resulted in some of the simplified templates shown in Figure 3. For each fragment, we uniformly generated 3,000 training examples and reserved 1,000 examples for testing. As in Salvatore, Finger, and Hirata Jr (2019), the people and place names for testing are drawn from an entirely disjoint set from training. We also reserve 1,000 for development. While we were capable of generating more data, we follow Weston et al. (2015) in limiting the size of our training sets to 3,000 since our goal is to learn from as little data as possible, and found 3,000 training examples to be sufficient for most fragments and models.

As detailed in Figure 2, these new fragments vary in complexity, with the negation fragment (which is limited to verbal negation) being the least complex in terms of linguistic phenomena. We also note that all other fragments include

Logic Fragment	<b>Rule Template:</b> [ premise ], { hypothesis <sub>1</sub> , } $\Rightarrow$ label; Labeled Examples (simplified)					
Negation	$[only-did-p(x)], \neg p(x) \Rightarrow CONTRADICTION Dave_x has only visited Israel_p, Dave_x didn't_ visit Israel_p$					
	$[only-did-p(x)]$ , $\neg p'(x) \Rightarrow ENTAILMENT$ Dave <sub>x</sub> has only visited Israel <sub>p</sub> , Dave <sub>x</sub> <u>didn't</u> $\neg$ visit Russia <sub>p'</sub>					
	$[\operatorname{only-did}-p(x)], \neg p(x') \implies \operatorname{NEUTRAL} \qquad \operatorname{Dave}_x \text{ has only visited Israel}_p, \operatorname{Bill}_x \frac{\operatorname{didn}' t_{\neg}}{\operatorname{visit}} \operatorname{Israel}_p$					
Boolean	$[p(x_1) \land \land p(x_p)], \neg p(x_j) \Rightarrow \texttt{CONTRADICTION}  \texttt{Dustin}_{x_1},  \texttt{Milton}_{x_2}, \texttt{have only} \text{ visited Equador}_p;  \texttt{Dustin}_{x_1}  \texttt{dim't}_{x_2} \text{ visit Equador}_p \in \texttt{Dustin}_{x_1}  \texttt{Dustin}_{x_2} \text{ visit Equador}_p \in \texttt{Dustin}_{x_2} \text{ visit Equador}_p \in \texttt{Dustin}_{x_1} \text{ visit Equador}_p \in \texttt{Dustin}_{x_2} \text{ visit Equador}_p \in \texttt{Dustin}_{x_2$					
	$[p_1(x_1) \land \dots \land p_n(x_n)], \neg p_j(x') \Rightarrow \text{NEUTRAL} \qquad \text{Dustin}_x \text{ only visited}_p \text{ Portugal}_1 \text{ and } \text{Spain}_2 ; \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ Spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ didn't}_{\neg} \text{ visit}_p \text{ spain}_2 : \text{ James}_{x'} \text{ didn't}_{\neg} \text{ visit}_p \text{ didn't}_{\neg} \text{ didn't}_{\neg} \text{ visit}_p \text{ didn't}_{\neg} \text{ didn't}_{\neg} \text{ didn't}_{\neg} \text{ didn't}_{\neg} \text{ didn't}_{\neg} \text{ visit}_p \text{ didn't}_{\neg} $					
	$[p_1(x) \land \land p_n(x)], \neg p'(x) \Rightarrow \texttt{ENTAILMENT} \qquad Dustin_x \text{ only visited}_p \text{ Portugal}_1 \text{ and } Spain_2; Dustin_x \text{ didn't}_{\neg} visit_p \text{ Germany}_1  and  Spain_2; Dustin_x  didn't_{\neg} visit_p  dermany_2  and   and  \mathsf$					
Conditional	$[(p \rightarrow q) \land p], q \Rightarrow \text{ENTAILMENT} \qquad \text{Dave visited Israel}_p \text{ and if } \text{Dave visited Israel}_p \xrightarrow{\text{then}} \text{Bill visited Russia}_q : \text{Bill visited Russia}_q :$					
	$[(p \rightarrow q) \land p], \neg q \qquad \Rightarrow \texttt{CONTRADICTION}  \text{Dave visited Israel}_p \text{ and if } \text{Dave visited Israel}_p \underbrace{\texttt{hen}_{\rightarrow}}_{\text{Bill visited Russia}_q}; \text{ Bill didn't visit Russia}_p.$					
	$[(p \rightarrow q) \land \neg p], \{q, \neg q\} \Rightarrow \text{NEUTRAL} $ Dave didn't visit Israel <sub>p</sub> , and if Dave visited Israel <sub>p</sub> then <sub><math>\rightarrow</math></sub> Bill visited Russia <sub>q</sub> : Bill visited Russia <sub>q</sub> :					
Quantifier	$ [\forall x.\forall y. p(x,y)], \exists x.ty. \neg p(x,y) \Rightarrow \texttt{CONTRADICTION}  \text{Everyone}_{\forall x} \text{ visite}_p \text{ every}_{\forall} \text{ country}_y \text{ ; Someone}_{\exists x} \underline{\text{didn}^{*}_{\neg}} \text{ visit}_p \text{ Jordan}_{ey} $					
	$ \left[ \exists x. \forall y. \ p(x,y) \right], \ tx. \exists y. \ \left\{ \neg p(x,y), p(x,y) \right\} \\ \Rightarrow \text{NEUTRAL} \\ \text{Someone}_{\exists x} \ \text{visite}_p \ \text{every} \ \text{person}_y \ \text{Tim}_{\iota x} \ \underline{\text{did}}_t^*, \ \text{visit}_p \ \text{someone}_{\exists y} \\ \text{someone}_{\exists y} \ \text{visite}_p \ v$					
	$ \exists x. \forall y. \ p(x,y) \ ], \ \exists x. y. \ p(x,y) \ \Rightarrow \texttt{ENTAILMENT} \qquad \texttt{Someone}_{\exists x} \ \texttt{visited}_p \ \texttt{every} \ \texttt{person}_y \ \texttt{; A person}_{\exists x} \ \texttt{visited}_p \ \texttt{Mark}_{ey} $					

Figure 3: A simplified description of some of the templates used for 4 of the logic fragments (stemming from Salvatore, Finger, and Hirata Jr (2019)) expressed in a quasi-logical notation with predicates p, q, only-did-p and quantifiers  $\exists$  (there exists),  $\forall$  (for all),  $\iota$  (there exists a unique) and boolean connectives ( $\land$  (and),  $\rightarrow$  (if-then),  $\neg$  (not)).

basic negation and boolean operators, which we found to help preserve the naturalness of the examples in each fragment. As shown in last column of Figure 2, some of our fragments (notably, negation and comparatives) have, on average, sentence lengths that exceed that of benchmark datasets. This is largely due to the productive nature of some of our rules. For example, the comparatives rule set allows us to create arbitrarily long sentences by generating long lists of people that are being compared (e.g., In *John is taller than* ..., we can list up to 15 people in the subsequent list of people).

Whenever creating synthetic data, it is important to ensure that one is not introducing into the rule sets particular annotation artifacts (Gururangan et al. 2018) that make the resulting challenge datasets trivially learnable. As shown in the top part of Table 1, which we discuss later, we found that several strong baselines failed to solve our fragments, showing that the fragments, despite their simplicity and constrained nature, are indeed not trivial to solve.

The Monotonicity Fragments The second set of fragments cover monotonicity reasoning, as first discussed in the introduction. This fragment can be described using a regular grammar with polarity facts according to the monotonicity calculus, such as the following: every is downward monotone/entailing in its first argument but upward monotone/entailing in the second, denoted by the  $\downarrow$  and  $\uparrow$  arrows in the example sentence *every*  $\uparrow$  *small*  $\downarrow$   $dog \downarrow$   $ran^{\uparrow}$ . We have manually encoded monotonicity information for 14 types of quantifiers (every, some, no, most, at least 5, at most 4, etc.) and negators (not, without) and generated sentences using a simple regular grammar and a small lexicon of about 100 words. We then use the system described by Hu and Moss  $(2018)^{1}$ to automatically assign arrows to every token (see Figure 4, note that = means that the inference is *neither* monotonically up or down in general). Because we manually encoded the monotonicity information of each token in the lexicon and built sentences via a controlled set of grammar rules, the resulting arrows assigned by Hu and Moss (2018) can be proved to be correct.

Once we have the sentences with arrows, we use the algorithm of Hu, Chen, and Moss (2019) to generate pairs of sentences with ENTAIL, NEUTRAL or CONTRADICTORY relations, as exemplified in Figure 4. Specifically, we first define a knowledge base that stores the relations of the lexical items in our lexicon, e.g., *poodle*  $\leq dog \leq mammal \leq an$ *imal*; also, *waltz* < *dance* < *move*; and *every* < *most* < *some* = a. For nouns,  $\leq$  can be understood as the subset-superset relation. For higher-type objects like the determiners above, see Icard and Moss (2013) for discussion. Then to generate entailments, we perform substitution (shown in Figure 4 in blue). That is, we substitute upward entailing tokens or constituents with something "greater than or equal to"  $(\geq)$ them, or downward entailing ones with something "less than or equal to" them. To generate neutrals, substitution goes the reverse way. For example,  $all^{\uparrow} dogs^{\downarrow} danced^{\uparrow}$  ENTAIL all poodles danced, while  $all^{\uparrow} dogs^{\downarrow} danced^{\uparrow} NEUTRAL all$ mammals danced. This is due to the facts which we have seen: *poodle*  $\leq$  *dog*  $\leq$  *mammal*. Simple rules such as "replace some/many/every in subjects by no" or "negate the main verb" are applied to generate contradictions.

Using this basic machinery, we generated two separate challenge datasets, one with limited complexity (e.g., each example is limited to 1 relative clause and uses an inventory of 5 quantifiers), which we refer to throughout as monotonicity (simple), and one with more overall quantifiers and substitutions, or monotonicity (hard) (up to 3 relative clauses and a larger inventory of 14 unique quantifiers). Both are defined over the same set of lexical items (see Figure 2).

## **Experimental Setup and Methodology**

To address the questions in Figure 1, we experiment with two task-specific NLI models from the literature, the **ESIM** model of Chen et al. (2017) and the decomposable-attention (**Decomp-Attn**) model of Parikh et al. (2016) as implemented in the AllenNLP toolkit (Gardner et al. 2018), and the pre-trained **BERT** architecture of Devlin et al. (2019).<sup>2</sup>

<sup>&</sup>lt;sup>1</sup>https://github.com/huhailinguist/ccg2mono

<sup>&</sup>lt;sup>2</sup>We use the **BERT-base** uncased model in all experiments, as implemented in HuggingFace: https://github.com/huggingface/ pytorch-pretrained-BERT.

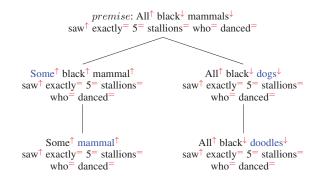


Figure 4: Generating ENTAILMENT for monotonicity fragments starting from the *premise* (top). Each node in the tree shows an entailment generated by one *substitution* (in blue). Substitutions are based on a hand-coded knowledge base with information such as: *all*  $\leq$  *some/a*, *poodle*  $\leq$  *dog*  $\leq$  *mammal*, and *black mammal*  $\leq$  *mammal*. CONTRADICTION examples are generated for each inference using simple rules such as "replace *some/many/every* in subjects by *no*". NEUTRALs are generated in a reverse manner as the entailments.

When evaluating whether fragments can be learned from scratch (Question 1), we simply train models on these fragments directly using standard training protocols. To evaluate pre-trained NLI models on individual fragments (Question 2), we train BERT models on combinations of the SNLI and MNLI datasets from GLUE (Wang et al. 2018), and use pretrained ESIM and Decomp-Attn models trained on MNLI following Liu, Schwartz, and Smith (2019).

To evaluate whether a pre-trained NLI model can be retrained to improve on a fragment (Question 3), we employ the recent inoculation by fine-tuning method (Liu, Schwartz, and Smith 2019). The idea is to re-fine-tune (i.e., continue training) the models above using k pieces of fragment training data, where k ranges from 50 to 3,000 (i.e., a very small subset of the fragment dataset to the full training set; see horizontal axes in Figures 5, 6, and 7). The intuition is that by doing this, we see the extent to which this additional data makes the model more robust to handle each fragment, or stresses it, resulting in performance loss on its original benchmark. In contrast to re-training models from scratch with the original data augmented with our fragment data, fine-tuning on only the new data is substantially faster, requiring in many cases only a few minutes. This is consistent with our requirement discussed previously that training existing models to be robust on new fragments should be quick, given the multitude of fragments that we expect to encounter over time. For example, in coming up with new linguistic fragments, we might find newer fragments that are not represented in the model; it would be prohibitive to re-train the model each time entirely from scratch with its original data (e.g., the 900k+ examples in SNLI+MNLI) augmented with the new fragment.

Our approach to inoculation, which we call *lossless in*oculation, differs from Liu, Schwartz, and Smith (2019) in *explicitly* optimizing the aggregate score of each model on both its original and new task. More formally, let k denote the number of examples of fragment data used for fine-tuning. Ideally, we would like to be able to fine-tune each pre-trained NLI model architecture a (e.g., BERT) to learn a new fragment perfectly with a minimal k, while—importantly—not losing performance on the original task that the model was trained for (e.g., SNLI or MNLI). Given that fine-tuning is sensitive to hyper-parameters,<sup>3</sup> we use the following methodology: For each k we fine-tune J variations of a model architecture, denoted  $M_j^{a,k}$  for  $j \in \{1, \ldots, J\}$ , each characterized by a different set of hyper-parameters. We then identify a model  $M_*^{a,k}$  with the best *aggregated* performance based on its score  $S_{\text{frag}}(M_j^{a,k})$  on the fragment dataset and  $S_{\text{orig}}(M_j^{a,k})$  on the original dataset. For simplicity, we use the average of these two scores as the aggregated score.<sup>4</sup> Thus, we have:

$$M^{a,k}_* = \underset{M \in \{M^{a,k}_1, \dots, M^{a,k}_J\}}{\operatorname{argmax}} \operatorname{AVG}\left(S_{\operatorname{frag}}(M), S_{\operatorname{orig}}(M)\right)$$

By keeping the hyper-parameter space consistent among all fragments, the point is to observe how certain fragments behave relative to one another.

Additional Baselines To ensure that the challenge datasets that are generated from our fragments are not trivially solvable and subject to annotation artifacts, we implemented variants of the Hypothesis-Only baselines from Poliak et al. (2018b), as shown at the top of Table 1. This involves training a single-layered biLSTM encoder for the hypothesis side of the input, which generates a representation for the input using max-pooling over the hidden states, as originally done in Conneau et al. (2017). We used the same model to train a **Premise-Only** model that instead uses the premise text, as well as an encoder that looks at both the premise and hypothesis (**Premise+Hyp.**) separated by an artificial token (for more baselines, see Salvatore, Finger, and Hirata Jr (2019)).

#### **Results and Findings**

We discuss the different questions posed in Figure 1.

Answering Questions 1 and 2. Table 1 shows the performance of baseline models and pre-trained NLI models on our different fragments. In all cases, the baseline models did poorly on our datasets, showing the inherent difficulty of our challenge sets. In the second case, we see clearly that stateof-the-art models do not perform well on our fragments, consistent with findings on other challenge datasets. One result to note is the high accuracy of BERT-based pre-trained

<sup>&</sup>lt;sup>3</sup>We found all models to be sensitive to learning rate, and performed comprehensive hyper-parameters searches to consider different learning rates, # iterations and (for BERT) random seeds.

<sup>&</sup>lt;sup>4</sup>Other ways of aggregating the two scores can be substituted. E.g., one could maximize  $S_{\text{frag}}(M_j^{a,k})$  while requiring that  $S_{\text{orig}}(M_j^{a,k})$  is not much worse relative to when the model's hyperparameters are optimized directly for the original dataset.

Model <sub>train_data</sub>	SNLI Test	Logic Fragments (Avg. of 6)	Mono. Fragments (Avg. over 2)	Breaking NLI					
Random/Trained Baselines									
Majority Baseline	34.2	34.6	34.0						
Hypothesis-Only biLSTM 69.0		49.3	56.7	-					
Premise-Only biLSTM	-	44.3	57.4	-					
Premise+Hyp. biLSTM	-	52.0	59.1	-					
Pre-Trained NLI Models									
<b>BERT</b> SNLI+MNLI	91.0	47.3	62.8	95.8					
BERT <sub>SNLI</sub>	90.7	46.1	56.8	94.3					
Decomp-Attn <sub>SNLI</sub>	86.4	42.1	48.4	49.9					
ESIM <sub>SNLI</sub>	88.5	44.3	62.8	68.7					
	MNLI Dev (Avg.) Re-Trained Models with Fragments (frag)								
BERT <sub>SNLI+MNLI+frag</sub>	83.7 - (↓ 1.3)	98.0	97.8						
ESIM <sub>MNLI+frag</sub>	72.0 $(\downarrow 5.9)$	86.4	96.5	-					
Decomp-Attn <sub>MNLI+frag</sub>	$66.1 \qquad (\downarrow 6.7)$	71.7	93.5	-					

Table 1: Baseline models and model performance (accuracy %) on NLI benchmarks and challenge test sets (before and after re-training), including the **Breaking NLI** challenge set from Glockner, Shwartz, and Goldberg (2018). The arrows  $\downarrow$  in the last section show the average drop in accuracy on MNLI benchmark after re-training with the fragments.

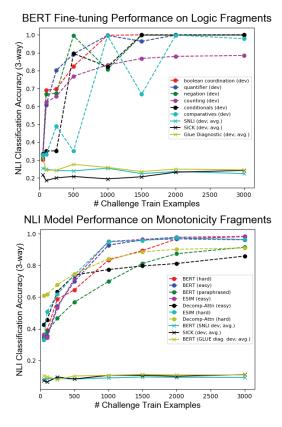


Figure 5: Dev. results on training NLI models from scratch on the different fragments and architectures.

models on the **Breaking NLI** challenge set of Glockner, Shwartz, and Goldberg (2018), which previously proved to be a difficult benchmark for NLI models. This result, we believe, highlights the need for more challenging NLI benchmarks, such as our new datasets.

Figure 5 shows the results of training NLI models from scratch (i.e., without NLI pre-training on other benchmarks)

on the different fragments. In nearly all cases, it is possible to train a model to master a fragment (with counting being the hardest fragment to learn). In other studies on learning fragments (Geiger et al. 2018; Salvatore, Finger, and Hirata Jr 2019), this is the main result reported, however, we also show that the resulting models perform below random chance on benchmark tasks, meaning that these models are not by themselves very useful for general NLI. This even holds for results on the GLUE diagnostic test (Wang et al. 2018), which was hand-created and designed to model many of the logical phenomena captured in our fragments.

We note that in the monotonicity examples, we included results on a development set (in dashed green) that was built by systematically paraphrasing all the nouns and verbs in the fragment to be disjoint from training. Even in this case, when lexical variation is introduced, the BERT model is robust (see Rozen et al. (2019) for a more systematic study of this type of generalization using BERT for NLI in different settings).

Answering Question 3. Figures 6 and 7 show the results of the re-training study. They compare the performance of a retrained model on the challenge tasks (dashed lines) as well as on its original benchmark tasks (solid lines)<sup>5</sup>. We discuss here results from the two illustrative fragments depicted in Figure 6. All 4 models can master Monotonicity Reasoning while retaining accuracy on their original benchmarks. However, non-BERT models lose substantial accuracy on their original benchmark when trying to learn comparatives, suggesting that comparatives are generally harder for models to learn. In Figure 7, we show the results for all other fragments, which show varied, though largely stable, trends depending on the particular linguistic phenomena.

At the bottom of Table 1, we show the resulting accuracies on the challenge sets and MNLI bechmark for each model after re-training (using the optimal model  $M_*^{a,k}$ , as described previously). In the case of **BERT**<sub>SNLI+MNLI+frag</sub>, we see that despite performing poorly on these new chal-

<sup>&</sup>lt;sup>5</sup>For **MNLI**, we report results on the mismatched dev. set.

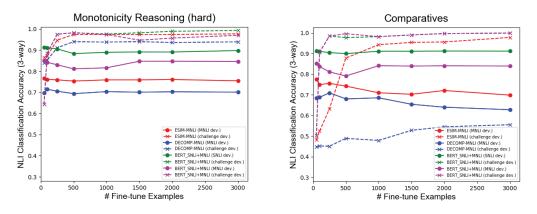


Figure 6: Inoculation results for two illustrative semantic fragments, Monotonicity Reasoning (left) and Comparatives (right), for 4 NLI models shown in different colors. Horizontal axis: number of fine-tuning challenge set examples used. Each point represents the model  $M_k^*$  trained using hyperparameters that maximize the accuracy averaged across the model's original benchmark dataset (solid line) and challenge dataset (dashed line).

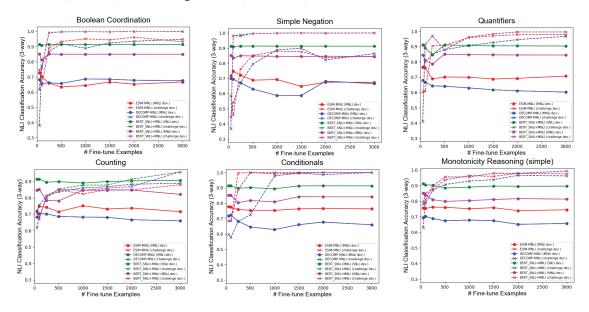


Figure 7: Inoculation results for 6 semantic fragments not included in Figure 6, using the same setup.

lenge dataset before re-training, it can learn to master these fragments with minimal losses to performance on its original task (i.e., it only loses on average about 1.3% accuracy of the original MNLI dev set). In other words, it is possible teach BERT (given its inherent capacity) a new fragment quickly through re-training without affecting its original performance, assuming however that time is spent on carefully finding the optimal model.<sup>6</sup> For the other models, there is more of a trade-off; **Decomp-Attn** on average never quite masters the logic fragments (but does master the Monotonicity Fragments), and incurs an average 6.7% loss on MNLI after re-training. In the case of comparatives, the inability of the model to master this fragment likely reveals a certain architectural limitation of the model given that it is not sensitive to word-order. Given such losses, perhaps in such cases a more sophisticated re-training scheme is needed in order to optimally learn particular fragments.

### **Discussion and Conclusion**

We explored the use of *semantic fragments*—systematically controlled subsets of language—to probe into NLI models and benchmarks. Our investigation considered 8 particular fragments and new challenge datasets that center around basic logic and monotonicity reasoning. In answering the questions first introduced in Figure 1, we found that while existing NLI architectures are able to learn these fragments from scratch, the resulting models are of limited interest. Further, pre-trained models perform poorly on these new datasets (even relative to other available challenge benchmarks), revealing the weaknesses of these models. Interestingly, how-

<sup>&</sup>lt;sup>6</sup>We note that models without optimal aggregate performance are often prone to catastrophic forgetting.

ever, we show that many models can be quickly re-tuned (e.g., often in a matter of minutes) to master these different fragments using a novel variant of the *inoculation through fine-tuning* strategy (Liu, Schwartz, and Smith 2019) that we introduce called *lossless inoculation*.

Our results suggest the following methodology for improving models: Given a particular linguistic hole in an NLI model, one can plug this hole by simply generating synthetic data and using it to re-train a model. This methodology comes with some caveats, however: Depending on the model and particular linguistic phenomena, there may be some trade-offs with the model's original performance, which should first be looked at empirically and compared against other linguistic phenomena. Our work is one small step in trying to gather an inventory of NLI phenomena and look rigorously at model performance, which follows earlier work on NLI (see Zaenen, Karttunen, and Crouch (2005)).

**Can we find more difficult fragments?** Despite differences across various fragments, we largely found NLI models to be robust when tackling new linguistic phenomena and easy to quickly re-purpose (especially with BERT). This generally positive result begs the question: Are there more challenging fragments and linguistic phenomena that we should be studying?

The ubiquity of logical and monotonicity reasoning provides a justification for our particular fragments, and we take it as a positive sign that models are able to solve these tasks. As we emphasize throughout, however, our general approach is amenable to any linguistic phenomena, and future work may focus on developing more complicated fragments that capture a wider range of linguistic phenomena and inference. This could include, for example, efforts to extend to fragments in a way that moves beyond elementary logic to systematically target the types of commonsense reasoning known to be common in existing NLI tasks (LoBue and Yates 2011). We believe that semantic fragments are a promising way to introspect model performance generally, and can also be used to forge interdisciplinary collaboration between neural NLP research and traditional linguistics.

Benchmark NLI annotations and judgements are often imperfect and error-prone (cf. Kalouli, de Paiva, and Real (2017), Pavlick and Kwiatkowski (2019)), partly due to the loose way in which the task is traditionally defined (Dagan, Glickman, and Magnini 2005). For models trained on benchmarks such as SNLI, understanding model performance not only requires probing how each target model works, but also probing the particular flavor of NLI that is captured in each benchmark. We believe that our variant of inoculation and overall framework can also be used to more systematically look at these issues, as well as help identify annotation errors and artifacts.

What are Models Actually Learning? One open question concerns the extent to which models trained on narrow fragments can generalize beyond them. Newer *analysis methods* that attempt to correlate neural activation patterns and target symbolic patterns (Chrupała and Alishahi 2019) might help determine the extent to which models are truly generalizing, and provide insights into alternative ways of training more robust and generalizable models.

A key feature of our *lossless* inoculation strategy, which differs from the original proposal of Liu, Schwartz, and Smith (2019), is that each time we teach the model something new, we explicitly take into account how much loss this same model has on its original task, and balance the two scores accordingly. The fact that models such as BERT can effectively learn new tasks with minimal loss on their original tasks gives some indication that, even if the models are not generalizing too far beyond the provided challenge tasks, one way to increase generalization is by continuously feeding models new challenge tasks. This type of continuous or never-ending learning scenario is one promising area for future work that one may pursue by looking at more robust methods for model inoculation and fine-tuning.

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